

TRUSTEES OF THE CALIFORNIA STATE UNIVERSITY

California State University
Office of the Chancellor—Glenn S. Dumke Auditorium*
401 Golden Shore
Long Beach, CA 90802

Agenda May 12, 2020

Time**	Committee	Location ¹
<i>TUESDAY, MAY 12, 2020</i>		
8:00 a.m.	<u>Board of Trustees—Closed Session</u> Executive Personnel Matters Government Code §11126(a)(1) Pending Litigation Government Code §11126(e)(1) Miller v. CSU (UD District Court – Central District)	
8:45 a.m.	<u>Committee on Collective Bargaining—Closed Session</u> Government Code §3596(d)	
9:00 a.m.	<u>Public Comment—Open Session</u>	
10:00 a.m.	<u>Committee of the Whole</u> Consent <i>Action</i> 1. Approval of Minutes Discussion <i>Information</i> 2. Chancellor’s Report on COVID-19 Implications for CSU	

¹ The May 12, 2020 meeting will take place entirely virtually via teleconference.

***PLEASE NOTE: Due to the Governor’s proclamation of a State of Emergency resulting from the threat of COVID-19, and pursuant to the Governor’s Executive Orders N-25-20 and N-29-20 issued on March 12, 2020 and March 17, 2020, respectively, all members of the Board of Trustees may participate in this meeting remotely, either by telephonic or video conference means. Out of consideration for the health, safety and well-being of the members of the public and the Chancellor’s Office staff, the Dumke Auditorium will not be open to the public during the meeting, and no Trustees or staff will be present in the Auditorium. Members of the public may offer public comment pursuant to the revised published protocol for “Addressing the Board of Trustees” as noted in this agenda.**

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TUESDAY, MAY 12, 2020 (cont.)

11:00 a.m. Committee on Collective Bargaining—Open Session

Consent

- Action* 1. Approval of Minutes
- Action* 2. Adoption of Initial Proposals for a Successor Collective Bargaining Agreement with Bargaining Unit 1, Union of American Physicians and Dentists
- Action* 3. Adoption of Initial Proposals for a Successor Collective Bargaining Agreement with Bargaining Unit 11, United Auto Workers Local 4123

11:05 a.m. Committee on Institutional Advancement

Consent

- Action* 1. Approval of Minutes
- Action* 2. Naming of The Donald E. Gumz Enology Building – California State University, Fresno
- Action* 3. Naming of Bashor Field in Aztec Stadium in Mission Valley – San Diego State University

11:10 a.m. Committee on Audit

Consent

- Action* 1. Approval of Minutes
- Information* 2. Status Report on Current and Follow-up Internal Audit Assignments

11:15 a.m. Committee on Committees

Consent

- Action* 1. Approval of Minutes
- Action* 2. Approval of Board of Trustees’ Standing Committee Assignments for 2020-2021
- Action* 3. Election of the Chair and Vice Chair of the Board of Trustees for 2020-2021

11:30 a.m. Lunch Break

***PLEASE NOTE: Due to the Governor’s proclamation of a State of Emergency resulting from the threat of COVID-19, and pursuant to the Governor’s Executive Orders N-25-20 and N-29-20 issued on March 12, 2020 and March 17, 2020, respectively, all members of the Board of Trustees may participate in this meeting remotely, either by telephonic or video conference means. Out of consideration for the health, safety and well-being of the members of the public and the Chancellor’s Office staff, the Dumke Auditorium will not be open to the public during the meeting, and no Trustees or staff will be present in the Auditorium. Members of the public may offer public comment pursuant to the revised published protocol for “Addressing the Board of Trustees” as noted in this agenda.**

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TUESDAY, MAY 12, 2020 (cont.)

12:00 p.m. Committee on Campus Planning, Buildings and Grounds

Consent

Action 1. Approval of Minutes

Discussion

Action 2. California Polytechnic State University, San Luis Obispo Master Plan Revision and Enrollment Ceiling Increase

12:30 p.m. Committee on Governmental Relations

Consent

Action 2. Approval of Minutes

Discussion

Information 3. State Legislative Update

1:00 p.m. Committee on Finance

Consent

Action 1. Approval of Minutes

Information 2. California State University Quarterly Investment Report

Discussion

Information 3. California State University Fiscal Status Report

Information 4. California State University Doctorate of Occupational Therapy Tuition

2:00 p.m. Committee on Educational Policy

Consent

Action 1. Approval of Minutes

Discussion

Information 2. Recommended Amendment to Title 5 Regarding Residency Reclassification – Financial Independence

Information 3. Recommended Amendment to Title 5 Regarding Ethnic Studies and Social Justice

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TUESDAY, MAY 12, 2020 (cont.)

3:15 p.m. Board of Trustees

Call to Order

Roll Call

Chair’s Report

Chancellor’s Report

Report of the Academic Senate CSU: *Chair—Catherine Nelson*

Report of the California State Student Association: *President—Michael Wiafe*

Report of the California State University Alumni Council: *President—Michelle Power*

Consent

- Action** 1. Approval of the Minutes of the Board of Trustees Meeting of March 24, 2020
Action 2. Approval of Committee Resolutions as follows:

Committee on Institutional Advancement

2. Naming of The Donald E. Gumz Enology Building – California State University, Fresno
3. Naming of Bashor Field in Aztec Stadium in Mission Valley – San Diego State University

Committee on Committees

2. Approval of Board of Trustees’ Standing Committee Assignments for 2020-2021
3. Election of the Chair and Vice Chair of the Board of Trustees for 2020-2021

Committee on Campus Planning, Buildings and Grounds

2. California Polytechnic State University, San Luis Obispo Master Plan Revision and Enrollment Ceiling Increase

4:00 p.m. Board of Trustees—Closed Session

Executive Personnel Matters

Government Code §11126(a)(1)

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Addressing the Board of Trustees

***PLEASE NOTE:** Due to the Governor's proclamation of a State of Emergency resulting from the threat of COVID-19, and pursuant to the Governor's Executive Orders N-25-20 and N-29-20 issued on March 12, 2020 and March 17, 2020, respectively, the Board of Trustees meeting will take place **virtually on one day only, Tuesday, May 12, 2020**. Members of the board will participate in this meeting remotely, either by telephonic or video conference means. Out of consideration for the health, safety and well-being of the members of the public and the Chancellor's Office staff, the Dumke Auditorium will not be open to the public during the meeting, and no Trustees or staff will be present in the Auditorium. **Therefore, there will be no public access to the previously noticed meeting site and no in-person public comment.**

Members of the public will still have full opportunity to observe the meeting and to offer public comment as follows:

- Members of the public are encouraged to watch the meeting via [livestream](#) through the Board of Trustees website.
- **Written comments may be e-mailed in advance of the meeting by Monday, May 11, 2020 to trusteesecretariat@calstate.edu.** To the extent possible all written comments will be distributed to members of the Board, or its appropriate committee, prior to the beginning of the Board or committee meeting.
- **Members of the public who wish to offer public comment *during the meeting* may do so by phone by providing written request in advance, including their telephone number, to trusteesecretariat@calstate.edu.** The request should also identify the agenda item the speaker wishes to address, or if the speaker wishes to address the full Board in the plenary session, the notice should state the subject of the intended presentation. The trustee secretariat will call the number provided during the public comment portion for the committee requested. Efforts will be made to accommodate each individual who has requested to speak.

Public comments must relate to an item on the committee's agenda. Members of the public may also address the full Board of Trustees during the plenary session on any non-agendized topic that is related to the University. The purpose of public comments is to provide information to the board, and not to evoke an exchange with board members. Questions that board members may have resulting from public comments will be referred to appropriate staff for response.

In fairness to all speakers who wish to speak, and to allow the committees and board to hear from as many speakers as possible, while at the same time conducting the public business of their meetings within the time available, **public comment will be limited to 1 minute per speaker**. Ceding, pooling or yielding remaining time to other speakers is not permitted. Speakers are requested to make the best use of the public comment opportunity and to follow the rules established.

Trustee Secretariat
Office of the Chancellor
401 Golden Shore
Long Beach, CA 90802
Phone: 562-951-4020

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AGENDA

COMMITTEE OF THE WHOLE

Meeting: 10:00 a.m., Tuesday, May 12, 2020
Virtually via Teleconference

Adam Day, Chair
Lillian Kimbell, Vice Chair
Silas H. Abrego
Larry L. Adamson
Jane W. Carney
Rebecca D. Eisen
Douglas Faigin
Debra S. Farar
Jean Picker Firstenberg
Wenda Fong
Juan F. Garcia
Maryana Khames
Jeffrey R. Krinsk
Jack McGrory
Hugo N. Morales
Romey Sabalius
Lateefah Simon
Christopher Steinhauser
Peter J. Taylor
Timothy P. White, Chancellor

Consent 1. Approval of Minutes of the Meeting of November 19, 2019, *Action*
Discussion 2. Chancellor's Report on COVID-19 Implications for CSU, *Information*

**MINUTES OF THE MEETING OF
COMMITTEE OF THE WHOLE**

**Trustees of The California State University
Glenn S. Dumke Auditorium
401 Golden Shore
Long Beach, California**

November 19, 2019

Members Present

Adam Day, Chair
Lillian Kimbell, Vice Chair
Silas H. Abrego
Larry L. Adamson
Jane W. Carney
Rebecca D. Eisen
Douglas Faigin
Debra S. Farar
Jean Picker Firstenberg
Wenda Fong
Juan F. Garcia
Maryana Khames
Jeffrey R. Krinsk
Jack McGrory
Thelma Meléndez de Santa Ana
Hugo N. Morales
Romey Sabalius
Lateefah Simon
Christopher Steinhauser
Peter J. Taylor
Timothy P. White, Chancellor
Eleni Kounalakis, Lieutenant Governor

Chair Day called the meeting to order.

Consent Agenda

Chair Day presented the consent agenda – consisting of the minutes of the May 22, 2019 meeting – for approval. There was a motion and a second. The consent agenda was approved.

Whole
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Discussion Agenda

Conferral of the Title of Trustee Emerita— Thelma Meléndez de Santa Ana

The Board unanimously approved conferring the title of Trustee Emerita on Thelma Meléndez de Santa Ana for her dedication and service to public education and the CSU system (RCOW 11-19-08).

Chair Day adjourned the meeting.

COMMITTEE OF THE WHOLE

Chancellor's Report on COVID-19 Implications for CSU

Presentation By

Timothy P. White
Chancellor

Summary

This information item will provide the Board with an update on CSU current and future planning in response to the Coronavirus (COVID-19) pandemic.

AGENDA

COMMITTEE ON COLLECTIVE BARGAINING

Meeting: 8:45 a.m., Tuesday, May 12, 2020
Virtually via Teleconference—Closed Session
Government Code §3596(d)

11:00 a.m., Tuesday, May 12, 2020
Virtually via Teleconference—Open Session

Lateefah Simon, Chair
Douglas Faigin, Vice Chair
Debra S. Farar
Lillian Kimbell
Jack McGrory
Christopher Steinhauser
Peter J. Taylor

Open Session– Virtually via Teleconference

- Consent**
1. Approval of Minutes of the Meeting of March 24, 2020, *Action*
 2. Adoption of Initial Proposals for a Successor Collective Bargaining Agreement with Bargaining Unit 1, Union of American Physicians and Dentists, *Action*
 3. Adoption of Initial Proposals for a Successor Collective Bargaining Agreement with Bargaining Unit 11, United Auto Workers Local 4123, *Action*

**MINUTES OF THE MEETING OF
COMMITTEE ON COLLECTIVE BARGAINING**

**Trustees of the California State University
Office of the Chancellor
Glenn S. Dumke Auditorium*
401 Golden Shore
Long Beach, California**

March 24, 2020

Members Present

Lateefah Simon, Chair
Douglas Faigin, Vice Chair
Debra S. Farar
Lillian Kimbell
Jack McGrory
Peter J. Taylor
Adam Day, Chair of the Board
Timothy P. White, Chancellor

Public Speakers

Due to the virtual format of the March 24, 2020 meeting, all public comment took place at the beginning of the meeting's open session prior to all committees. There were eight (8) speakers who commented on items related to Collective Bargaining.

Chair Simon called the Committee on Collective Bargaining to order.

Consent Agenda

Chair Simon presented the consent agenda consisting of the minutes of the January 28, 2020 meeting; adoption of initial proposals for a successor collective bargaining agreement with Bargaining Unit 4, Academic Professionals of California; and adoption of initial proposals for a successor collective bargaining agreement with Bargaining Unit 10, the International Union of Operating Engineers, Stationary Engineers, Local 39. The consent agenda was approved as submitted.

Chair Simon adjourned the committee meeting.

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COMMITTEE ON COLLECTIVE BARGAINING

Adoption of Initial Proposals for a Successor Collective Bargaining Agreement with Bargaining Unit 1, Union of American Physicians and Dentists

Presentation By

Evelyn Nazario
Vice Chancellor
Human Resources

Summary

The initial proposals for a successor collective bargaining agreement between the California State University and Bargaining Unit 1, Union of American Physicians and Dentists, will be presented to the Board of Trustees for adoption. The proposals are attached to this item.

Recommended Action

The following resolution is recommended for adoption:

RESOLVED, by the Board of Trustees of the California State University, that the initial proposals for a successor collective bargaining agreement between the California State University and Bargaining Unit 1, Union of American Physicians and Dentists, is hereby adopted.



The California State University's
Initial Collective Bargaining
Proposals

Between

The Board of Trustees Of
The California State University And
Union of American Physicians and Dentists
(Bargaining Unit 1)

May 2020

**Bargaining Unit 1
2020 Successor Agreement Negotiations
California State University Bargaining Proposals**

ARTICLE 2 – Definition

- Review existing contractual definitions against campus operational needs and make corresponding proposals.
- Update definitions to reflect any substantive changes elsewhere in the Agreement.

ARTICLE 6 – Union Rights

- Review current procedures and amend as appropriate in relation to the information to the union; union leave; and resources for union business.

ARTICLE 8 – Grievance Procedures

- The University will make proposals to amend the grievance procedure to increase efficiency and effectiveness.

ARTICLE 11 - Employee Status

- The University will make proposals that preserve flexibility to make bargaining unit appointments and are consistent with the operational needs of the appointing campus.

ARTICLE 14 – Sick Leave

- Review current contractual provisions and amend as appropriate in relation to use and reporting of accrued sick leave.

ARTICLE 15 – Leaves of Absence with Pay

- Review current contractual provisions and amend as appropriate to ensure compliance with various state and federal laws, and in relation to use and reporting of employee leaves with pay.

ARTICLE 16 – Leaves of Absence without Pay

- Review current contractual provisions and amend as appropriate to ensure compliance with various state and federal laws, and in relation to use and reporting of employee leaves without pay.

ARTICLE 19 - Salary

- The CSU may make proposals to amend the salary Article, including but not limited to employee salary rates and in-range progression procedures.

ARTICLE 20 - Benefits

- The University will make proposals to modify employee benefits.

ARTICLE 22 - Vacation

- Review current contractual provisions and amend as appropriate in relation to use and reporting of accrued employee vacation.

ARTICLE 25 - Layoff

- Review current contractual provisions in relation to layoffs and amend as appropriate ensuring that existing processes and procedures contained in this Article are operationally efficient and provide the necessary institutional flexibility as well as procedural and definitional clarity.

ARTICLE 26 – General Provisions

- Review current contract provisions in relation to non-discrimination and amend as required to be consistent with federal and state law as well as the CSU Executive Order and policies.

ARTICLE 28 - Duration and Implementation

- The University will make proposals regarding the duration of any successor Agreement.

Appendices and Side Letters

- The CSU will review all Appendices and Side Letters, and make proposals to amend, retain, or delete as appropriate.

The University reserves the right to add to, modify, or delete proposals for any/all Articles during negotiations, in accordance with applicable laws.



UNION OF AMERICAN PHYSICIANS AND DENTISTS
AFFILIATED WITH AFSCME, AFL-CIO

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STUART A. BUSSEY, M.D., J.D.
PRESIDENT

MUBASHIR A. FAROOQI, M.D.
VICE PRESIDENT

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VICE PRESIDENT

SHAKALPI R. PENDURKAR, D.D.S., M.P.H.
SECRETARY

VICTORIA BEHRMAN, M.D.
TREASURER

R. DOUGLAS CHIAPPETTA, M.A.
EXECUTIVE DIRECTOR

April 27th, 2020

To: Joseph Jelincic
Senior Manager of System Wide Labor Relations/Collective Bargaining Specialist

From: Patricia Castillo,
UAPD Senior Representative, Chief Negotiator

Re: Sunshine Proposals

Dear Joseph,

UAPD wishes to sunshine the following articles for upcoming negotiations.

- Article 1: Recognition
- Article 2: Definitions
- Article 3: Effects of Bargaining
- Article 4: Savigs Clause
- Article 5: Management Rights
- Article 6: Union Rights
- Article 7: Concerted Activities
- Article 8: Grievance Procedure
- Article 9: Personal File
- Article 10: Progressive Discipline, Temporary Suspension
- Article 11: Employee Status
- Article 12: Assignment/Reassignment
- Article 13: Evaluation
- Article 14: Sick Leave
- Article 15: Leave of Absence With Pay
- Article 16: Leave of Absence Without Pay
- Article 17: Unathorized Leaves of Absence
- Article 18: Hours of Work
- Article 19: Salary

Attachment B
CB - Agenda Item 2
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Article 20: Benefits
Article 21: Holidays
Article 22: Vacation
Article 23: Professional Development
Article 24: Health and Safety
Article 25: Layoff
Article 26: General Provisions
Article 27: Labor and Management Committees
Article 28: Duration and Implementation

SIDE LETTER-DEA REGISTRATION REQUEST
APPENDIX A SALARY SCHEDULE
APPENDIX B SUPERSESION
SIDE LETTER AGREEMENT #1

Add:

New Article: Hazard Pay/Emergency Bonus

New Article : Telehealth

New Article: Defense and Indemnification /Legal Representation

UAPD reserves their right to introduce new articles if through the course of bargaining either party identifies a need to introduce. Further UAPD will be forwarding a separate information request.

Respectfully,



Patricia Castillo
UAPD Senior Representative/Chief Negotiator

cc: Stuart A. Bussey, M.D., J.D., UAPD President
Doug Chiappetta, M.A., UAPD Executive Director
Chris Ige, UAPD Regional Administrator
Chritina Checél, CSU Vice Chancellor HR & ER

COMMITTEE ON COLLECTIVE BARGAINING

Adoption of Initial Proposals for a Successor Collective Bargaining Agreement with Bargaining Unit 11, United Auto Workers Local 4123

Presentation By

Evelyn Nazario
Vice Chancellor
Human Resources

Summary

The initial proposals for a successor collective bargaining agreement between the California State University and Bargaining Unit 11, United Auto Workers Local 4123, will be presented to the Board of Trustees for adoption. The proposals are attached to this item.

Recommended Action

The following resolution is recommended for adoption:

RESOLVED, by the Board of Trustees of the California State University, that the initial proposals for a successor collective bargaining agreement between the California State University and Bargaining Unit 11, United Auto Workers Local 4123 is hereby adopted.



**The California State University's
Initial Collective Bargaining
Proposals**

Between

**The Board of Trustees Of
The California State University And
United Auto Workers Local 4123
(Bargaining Unit 11)**

May 2020

**Bargaining Unit 11
2020 Successor Agreement Negotiations
California State University Bargaining Proposals**

Article 3 – Benefits

- The University will make proposals to amend benefits.

Article 14 – Leaves of Absence

- The University will make proposals to amend leaves of absence.

Article 16 – Non-Discrimination

- The University will make proposals to amend the non-discrimination article to be consistent with CSU Executive Order processes and prevailing law.

Article 18 – Salary

- The University may make proposals to amend salary.

Article 23 – Union Access

- The University will make proposals in relation to union access.

Article 26 – Workload

- The University will make proposals to amend workload.

Article 27 – Duration

- The University will make proposals to amend duration.

Appendices and Side Letters

- The CSU will review all Appendices and Side Letters, and make proposals to amend, retain, or delete as appropriate.

The University reserves the right to add to, modify, or delete proposals for any/all Articles during negotiations, in accordance with applicable laws.



UAW Local 4123
2234 N Bellflower Blvd # 15677
Long Beach, CA 90815
Phone: (916) 498-8452
Email: union@uaw4123.org
Website: www.uaw4123.org

UAW Local 4123, the Union for Instructional Student Assistants, Graduate Assistants, and Teaching Associates presents the following initial bargaining demands for ratification.

- 1). Provide Compensation and Benefits that provides a living wage and reduces debt for student workers.
 - Provide Academic Student Employees with a living wage and benefits to eliminate food insecurity and homelessness.
 - Provide Tuition Waivers to all Academic Student Employees as a condition of employment
 - Workload that provides compensation for all hours worked.
 - Guarantee minimum hours for all appointments.

- 2). Develop workplace conditions that promote safety, diversity, and access
 - Reduce sexual harassment in the workplace and ensure that victims have timely, accountable investigations of their claims.
 - Ensure a safe work environment and proper PPE for all in person instruction
 - Stronger regulation and measures to stop bullying and sexual harassment from supervisors

- 3). Better benefits and services for Academic Student Employees
 - Provide Parental Leave and Sick Leave for all Academic Student Employees
 - Provide Paid Time Off for all Academic Student Employees
 - Better substitute work support when you are sick and unable to work

NOTE: The Union reserves the right to submit proposals on additional bargaining topics not specifically listed here.

AGENDA

COMMITTEE ON INSTITUTIONAL ADVANCEMENT

Meeting: 11:05 a.m., Tuesday, May 12, 2020
Virtually via Teleconference

Jean P. Firstenberg, Chair
Wenda Fong, Vice Chair
Larry L. Adamson
Debra S. Farar
Maryana Khames
Lillian Kimbell
Jeffrey R. Krinsk
Hugo N. Morales

- Consent**
1. Approval of Minutes of the Meeting of March 24, 2020, *Action*
 2. Naming of The Donald E. Gumz Enology Building – California State University, Fresno, *Action*
 3. Naming of Bashor Field in Aztec Stadium in Mission Valley – San Diego State University, *Action*

**MINUTES OF THE MEETING OF
COMMITTEE ON INSTITUTIONAL ADVANCEMENT**

**Trustees of the California State University
Office of the Chancellor
Glenn S. Dumke Auditorium*
401 Golden Shore
Long Beach, California**

March 24, 2020

Members Present

Jean P. Firstenberg, Chair
Wenda Fong, Vice Chair
Larry L. Adamson
Debra S. Farar
Maryana Khames
Lillian Kimbell
Jeffrey R. Krisk
Hugo N. Morales
Adam Day, Chair of the Board
Timothy P. White, Chancellor

Trustee Firstenberg called the meeting to order.

Approval of Minutes

The minutes of January 28, 2020, were approved as submitted.

**Naming of the Shakarian Family Student Success Center – California State University,
Long Beach**

The committee approved the proposed resolution (RIA 03-20-03) on consent that the Student Success Center at California State University, Long Beach, be named as the Shakarian Family Student Success Center.

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Recognition of Dolores Huerta

The committee approved the proposed resolution (RIA 03-20-04) on consent that on the occasion of her 90th birthday on April 10, 2020, each of the 23 campuses of the California State University will pay tribute to her legacy for generations to come by planting a tree – rooted in the same earth tended by many thousands of California farmworkers, including Dolores Huerta herself – or by taking other appropriate commemorative action to honor her lifelong work in service to California and Californians.

Trustee Firstenberg adjourned the meeting.

COMMITTEE ON INSTITUTIONAL ADVANCEMENT

Naming of The Donald E. Gumz Enology Building – California State University, Fresno

Presentation By

Garrett P. Ashley
Vice Chancellor
University Relations and Advancement

Joseph I. Castro
President
California State University, Fresno

Summary

This item will consider naming the Enology Building at California State University, Fresno as The Donald E. Gumz Enology Building.

This proposal, submitted by California State University, Fresno, meets the criteria and other conditions specified in the Board of Trustees Policy on Naming California State University Facilities and Properties, including approval by the system review panel and the campus academic senate.

Background

The proposed naming of this facility recognizes the generous bequest from Mr. Donald E. Gumz, a long-time supporter of Fresno State.

The existing Enology Building is located on the University Farm Laboratory and has a total of 36,049 gross square feet. It is estimated to be valued at \$12.8 million. Mr. Gumz's realized bequest of \$4,666,500 is a substantial benefit to Fresno State. This generous gift to the Jordan College of Agricultural Sciences and Technology will provide \$3.7 million towards the cost of expanding and renovating the research winery, located within the building, for the benefit of students and faculty.

Donald Emil Gumz was born in Fresno in 1934 when the city's population was about 55,000. He saw the population grow nearly 10 times during his life. He graduated in 1952 from Edison High School and upon graduating he began his accounting career. He retired at the age of 57 from Vie Del Company, where he developed his love of wine and wine-processing.

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In the 2010s, Mr. Gumz began attending the yearly wine tasting hosted by the Ag One Foundation at the Fresno State Winery in support of the Jordan College of Agricultural Sciences and Technology. He met many enology students over the years, and always enjoyed learning about wine characteristics from them.

Mr. Gumz was generous both in life and in death. He supported Fresno State's enology, irrigation and horticulture programs, and contributed to many other important local causes to help fight hunger, and abuse, and promote children's health as well as the arts. His bequest of \$4,666,500 will help the university expand and renovate the Fresno State research winery and further develop the university's Center for Irrigation Technology.

In recognition of this transformational gift, we request the Fresno State Enology Building be named The Donald E. Gumz Enology Building.

Recommended Action

The following resolution is recommended for approval:

RESOLVED, by the Board of Trustees of the California State University, that the Enology Building at California State University, Fresno be named as The Donald E. Gumz Enology Building.

COMMITTEE ON INSTITUTIONAL ADVANCEMENT

Naming of Bashor Field in Aztec Stadium in Mission Valley – San Diego State University

Presentation By

Garrett P. Ashley
Vice Chancellor
University Relations and Advancement

Adela de la Torre
President
San Diego State University

Summary

This item will consider naming the field in the prospective Aztec Stadium in Mission Valley at San Diego State University as Bashor Field.

This proposal, submitted by San Diego State University, meets the criteria and other conditions specified in the Board of Trustees Policy on Naming California State University Facilities and Properties, including approval by the system review panel and the campus academic senate.

Background

The naming of the field at Aztec Stadium in Mission Valley recognizes a commitment of \$15,000,000 from Mrs. Dianne Bashor. This gift accounts for approximately 5% of the projected cost of the entire stadium. The gift brings the total philanthropy dedicated to Aztec Stadium to over \$30,000,000.

Mrs. Dianne Bashor and her late husband, businessman Jim Bashor, are known for their significant gifts to many local institutions including the San Diego Zoo and the San Diego-Imperial Council of the Boy Scouts of America. In September, the San Diego County Board of Supervisors proclaimed Dianne Bashor Day for her generosity and dedication to the community. Jim Bashor was a graduate of Hoover High School, near San Diego State University's main campus.

San Diego State University is pleased to recognize Dianne Bashor for her generosity and desire to provide our student athletes with the facilities needed to remain national contenders.

Recommended Action

The following resolution is recommended for approval:

RESOLVED, by the Board of Trustees of the California State University, that the San Diego State University Aztec Stadium field be named Bashor Field.

AGENDA

COMMITTEE ON AUDIT

Meeting: 11:10 a.m., Tuesday, May 12, 2020
Virtually via Teleconference

Jack McGrory, Chair
Hugo N. Morales, Vice Chair
Silas H. Abrego
Jane W. Carney
Douglas Faigin
Jean P. Firstenberg
Wenda Fong
Lateefah Simon

Consent

1. Approval of Minutes of the Meeting of March 24, 2020, *Action*
2. Status Report on Current and Follow-up Internal Audit Assignments, *Information*

**MINUTES OF THE MEETING OF
COMMITTEE ON AUDIT**

**Trustees of the California State University
Office of the Chancellor
Glenn S. Dumke Auditorium*
401 Golden Shore
Long Beach, California**

March 24, 2020

Members Present

Jack McGrory, Chair
Hugo N. Morales, Vice Chair
Silas H. Abrego
Jane W. Carney
Douglas Faigin
Jean P. Firstenberg
Wenda Fong
Lateefah Simon
Adam Day, Chair of the Board

Trustee Jack McGrory called the meeting to order.

Approval of Minutes

The minutes of January 28, 2020, were approved as submitted.

Status Report on Current and Follow-up Internal Audit Assignments

With the concurrence of the committee, Trustee McGrory presented agenda item 2 as a consent information item.

Status Report on the California State Auditor Report on Accounts Outside the State Treasury and Campus Parking Programs

With the concurrence of the committee, Trustee McGrory presented agenda item 3 as a consent information item.

Trustee McGrory adjourned the Committee on Audit.

***PLEASE NOTE: Due to the Governor's proclamation of a State of Emergency resulting from the threat of COVID-19, and pursuant to the Governor's Executive Orders N-25-20 and N-29-20 issued on March 12, 2020 and March 17, 2020, respectively, all members of the Board of Trustees may participate in meetings remotely, either by telephonic or video conference means. Out of consideration for the health, safety and well-being of the members of the public and the Chancellor's Office staff, the March 24, 2020 meeting of the CSU Board of Trustees was conducted entirely virtually via Zoom teleconference.**

COMMITTEE ON AUDIT

Status Report on Current and Follow-up Internal Audit Assignments

Presentation By

Larry Mandel
Vice Chancellor and Chief Audit Officer
Audit and Advisory Services

Summary

This item includes both a status report on the 2020 audit plan and follow-up on past assignments. For the 2020 year, assignments were made to execute individual campus audit plans; conduct audits of information technology (IT), sponsored programs and construction; use continuous auditing techniques; and provide advisory services and investigation reviews. Follow-up on current and past assignments is being conducted on approximately 42 completed campus reviews. Attachment A summarizes the audit assignments in tabular form.

Due to the COVID-19 pandemic, the scope of the audits portion of the 2020 audit plan will inevitably be reduced. Recognizing the tremendous burden that this public health emergency has placed on CSU campuses, and in line with local, state, and federal public health guidelines and related travel restrictions, Audit and Advisory Services suspended all on-campus audit and advisory activity from March 13 through at least May 15. Although on-campus activity has paused, Audit and Advisory Services continues to make progress on audits and advisory reviews remotely. On-campus audit and advisory activity will resume when it is safe and appropriate to do so.

AUDITS

As part of the annual audit planning process, customized individual campus audit plans are developed and are aligned with campus and auxiliary organization risks and systemwide goals and strategies. Initial audit plans have been completed for all campuses. The 2020 plan anticipated providing audit coverage in seven distinct organizational areas: academic administration; finance and administration; information technology; student activities and services; human resources; risk management; and auxiliary organizations.

General Audits

The initial audit plan indicated that reviews would be performed for audit topics including, but not limited to, auxiliary organizations, emergency management, facilities management, housing and residential services, minors on campus, and professional and continuing education. Reviews

for these topics are in process. Two campus reports have been completed, report writing is being completed for one campus, and six reports are awaiting a campus response prior to finalization.

Information Technology Audits

The initial audit plan indicated that reviews of accessible technology, cloud computing, IT disaster recovery, and information security would be performed at those campuses where a greater degree of risk was perceived for each topic. Reviews of accessible technology and information security are currently in process. Scheduled reviews may also include campus-specific concerns or follow-up on prior campus issues. Report writing is being completed for two campuses and one report is awaiting a campus response prior to finalization.

Sponsored Programs

The initial audit plan indicated that reviews of post-award activities would be performed. Post-award reviews emphasize review of operational, administrative, and financial controls to determine whether processes and expenditures are in accordance with both sponsor terms and conditions, and applicable policies, procedures, and regulations. Scheduled reviews may also include campus-specific concerns or follow-up on prior campus issues relating to sponsored programs activities. One report is awaiting a campus response prior to finalization.

Construction

The initial audit plan indicated that reviews of recently completed construction projects, including activities performed by the campus, general contractor, and selected subcontractors would be performed. Areas to be reviewed include, but are not limited to approval of project design, budget and funding; administration of the bid and award process; the closeout process; and overall project accounting and reporting. Fieldwork is being conducted at one campus but is currently on hold due to the COVID-19 pandemic.

Continuous Auditing

The initial audit plan indicated that continuous auditing techniques would be used to build upon the reviews of credit card data that took place in 2018 and 2019. Credit card information management tools (dashboards) are being created and finalized in the first half of the audit year, which will be used to provide periodic updates and information to campus management on campus credit card programs. Additionally, continuous audit tests will be piloted on human resources and payroll data to identify potential risks and anomalies. Reviews in this area will be performed on a limited number of campuses during the latter half of the year. Continuous auditing uses data analytics tools and techniques to analyze large volumes of data, look for anomalies and trends, and complement the existing audit process.

ADVISORY SERVICES

Audit and Advisory Services partners with management to identify solutions for business issues, offer opportunities to improve the efficiency and effectiveness of operating areas, and assist with special requests, while ensuring the consideration of related internal control issues. Advisory services are more consultative in nature than traditional audits and are performed in response to requests from campus management. The goal is to enhance awareness of risk, control and compliance issues and to provide a proactive independent review and appraisal of specifically identified concerns. Reviews are ongoing.

INVESTIGATIONS

Audit and Advisory Services is periodically called upon to provide investigative reviews, which are often the result of alleged misappropriations or conflicts of interest. Further, whistleblower investigations are being performed on an ongoing basis, both by referral from the state auditor and directly from the CSU Chancellor's Office. In addition, the investigations unit tracks external audits being conducted by state and federal agencies, acts as a liaison for the system throughout the audit process, and offers assistance to campuses undergoing such audits.

COMMITTEES/SPECIAL PROJECTS

Audit and Advisory Services is periodically called upon to provide consultation to the campuses and/or to participate on committees such as those related to information systems implementation and policy development, and to perform special projects.

AUDIT SUPPORT

Annual Audit Planning Process

Audit and Advisory Services performs an annual audit planning process using risk questionnaires and other surveys, management interviews, audit history, and other factors. Periodically, other audit topics are selected for review due to their high profile nature in order to assure the board that appropriate policies and procedures are in place to mitigate risk to the system.

Administration

Day-to-day administration of the Audit and Advisory Services division includes such tasks as scheduling, personnel administration, maintenance of department standards and protocols,

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administration of the department's automated workpaper system and SharePoint website, and department quality assurance and improvement.

Pursuant to IIA Standards and the division's quality assurance improvement program, ongoing monitoring of Audit and Advisory activities for calendar year 2019 has been completed. Based on this internal review, Audit and Advisory Services generally conforms with IIA *Standards*, as well as division policies and procedures.

**Status Report on Current and Past
Audit Assignments
(as of 4/30/2020)**

Campus	Audit Topic	Audit Plan Year	Audit Status	Follow-up on Current and Past Audit Assignments	
				*Recs	**Mo.
Bakersfield	Health and Safety	2019	AC	10/10	-
	Student Union	2019	AC	2/6	3
Channel Islands	Health and Safety	2019	AC	0/6	4
	Faculty Reassigned Time & Addtl. Employ.	2019	AC	0/4	2
	Information Security	2020	RW		
Chico	Special Investigation - Misuse of Campus Resources and Improper Reim. Claims	2018	AC	6/7	6
	Housing and Residential Services	2019	AC	0/11	6
Dominguez Hills	International Activities	2018	AC	8/9	19 ¹
	Health and Safety	2019	AC	3/15	5
East Bay	Health and Safety	2019	AC	13/13	-
	IT Disaster Recovery	2019	AC	0/4	2
Fresno	Health and Safety	2019	AC	12/12	-
	Associated Students, Inc.	2019	AC	7/9	4
Fullerton	Associated Students, Inc.	2019	AC	2/11	5
	Accessible Technology	2019	AC	0/2	2
Humboldt	Facilities Management	2020	AI		
Long Beach	Health and Safety	2019	AC	13/15	9
	Emergency Management	2019	AC	3/6	6
	Special Investigation - Inaccuracies in Time Reporting for Grant Program	2019	AC	0/5	4
	Const. - College of Continuing Professional Education Classroom Bldg.	2019	AI		
	49er Foundation	2020	AI		
Los Angeles	Const. - Rongxiang Xu Bioscience Inn. Cntr.	2019	AC	2/2	-
Maritime Academy	IT Disaster Recovery	2019	AC	0/3	2
	Foundation	2020	AI		
Monterey Bay	Health and Safety	2019	AC	3/13	3
	Information Security	2020	RW		
Northridge	Cloud Computing	2019	AC	0/3	3
	Emergency Management	2019	AI		
Pomona	Professional and Continuing Education	2019	AC	6/7	5
	Health and Safety	2019	AC	0/7	2
Sacramento	Associated Students of CSU, Sacramento	2018	AC	9/10	15 ²
	Emergency Management	2019	AC	5/7	8
	Health and Safety	2019	AC	3/5	3
San Bernardino	Information Security	2019	AC	3/4	7
	Sponsored Programs - Post Award	2020	AI		

**Status Report on Current and Past
Audit Assignments
(as of 4/30/2020)**

Campus	Audit Topic	Audit Plan Year	Audit Status	Follow-up on Current and Past Audit Assignments	
				*Recs	**Mo.
San Diego	Health and Safety	2019	AC	5/6	9
	Emergency Management	2020	AI		
San Francisco	Information Security	2019	AC	0/9	4
	Emergency Management	2019	AC	0/11	4
	Minors on Campus	2020	AI		
San Jose	Information Security	2019	AC	1/4	6
	Facilities Management	2019	AC	0/5	2
	Special Investigation - Athletics Donations, Scholarships, & Endowments	2019	AC	0/8	2
	Professional and Continuing Education	2020	RW		
San Luis Obispo	Const. - Student Rec. and Aquatics Center	2020	FW		
	Sponsored Programs	2019	AC	0/5	5
	Housing and Residential Services	2020	AI		
San Marcos	IT Disaster Recovery	2019	AC	0/4	5
	Special Investigation - Fraudulent Reim. Claims and Excessive Spending	2019	AC	3/6	3
	Emergency Management	2020	AC	0/6	1
Sonoma	Health and Safety	2019	AC	0/11	3
	Accessible Technology	2020	AI		
Stanislaus	Sponsored Programs - Post Award	2019	AC	0/4	3
	Emergency Management	2020	AC	0/4	1
Chancellor's Office	Accessible Technology	2019	AC	4/7	10 ³
	Health and Safety	2019	AC	0/5	3
<p>Status FW - Field Work In Progress RW - Report Writing in Progress AI - Audit Incomplete (awaiting formal exit conference and/or campus response) AC - Audit Complete</p> <p>Follow-Up * The number of recommendations satisfactorily addressed followed by the number of recommendations in the original report. ** The number of months recommendations have been outstanding from date of report.</p> <p>¹ Approved extended completion date of 6/30/20. ² Approved extended completion date of 7/1/20. ³ Approved extended completion date of 11/30/20.</p>					

AGENDA

COMMITTEE ON COMMITTEES

Meeting: 11:15 a.m., Tuesday, May 12, 2020
Virtually via Teleconference

Wenda Fong, Chair
Debra S. Farar, Vice Chair
Lateefah Simon
Christopher Steinhauser
Peter J. Taylor

- Consent**
1. Approval of Minutes of the Meeting of April 28, 2020, *Action*
 2. Approval of Board of Trustees' Standing Committee Assignments for 2020-2021, *Action*
 3. Election of the Chair and Vice Chair of the Board of Trustees for 2020-2021, *Action*

**MINUTES OF MEETING OF
COMMITTEE ON COMMITTEES**

**Trustees of The California State University
Office of the Chancellor
Glenn S. Dumke Auditorium*
401 Golden Shore
Long Beach, California**

April 28, 2020

Members Present

Wenda Fong, Chair
Debra S. Farar, Vice Chair
Lateefah Simon
Christopher Steinhauser
Peter J. Taylor
Adam Day, Chair of the Board

Call to Order

Trustee Fong called the Zoom teleconference meeting to order and requested that a roll call be taken. Ms. Kiss took the roll. There were no requests for public comment.

Approval of Minutes

The minutes of November 19, 2019 were approved unanimously by roll call as submitted.

Discussion

Trustee Fong presented the proposed 2020-2021 standing committee assignments, including committee chairs and vice chairs. She provided general background on the process for developing the proposed assignments noting many factors including trustees' stated preferences, experience and expertise, balanced workload, leadership development and the unique challenges facing the board immediately and in the long-term due to the pandemic. Trustee Fong also noted that she confirmed all proposed committee chair and vice chair appointments with the respective trustees and that they all concurred. All trustees were assigned four committees and at least one committee chair or vice chair position. Trustees Eisen and Morales were assigned committees though were not assigned a chair or vice chair position due to their pending reappointments on their expired terms.

***PLEASE NOTE: Due to the Governor's proclamation of a State of Emergency resulting from the threat of COVID-19, and pursuant to the Governor's Executive Orders N-25-20 and N-29-20 issued on March 12, 2020 and March 17, 2020, respectively, all members of the Board of Trustees may participate in meetings remotely, either by telephonic or video conference means. Out of consideration for the health, safety and well-being of the members of the public and the Chancellor's Office staff, the April 28, 2020 meeting of the Committee on Committees was conducted entirely virtually via Zoom teleconference.**

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Trustee Fong called for a motion to approve the proposed standing committee assignments – including committee chairs and vice chairs – for 2020-2021. There was a motion and a second. Trustee Taylor noted that the chair of the Committee on Finance appoints a trustee to serve on the CSU Investment Advisory Committee, a subcommittee that Trustee Taylor has chaired for the past three years. Trustee Taylor emphasized the important work of the investment subcommittee and noted the incoming Finance Committee chair will need to carefully consider who to appoint to fill this critical role. Chair Day offered his appreciation to Trustee Fong for her diligent and thoughtful work chairing the Committee on Committees.

Following discussion, Trustee Fong called for a vote. The votes were taken by roll call. The committee unanimously passed the resolution.

Trustee Fong then called for nominations for chair and vice chair of the Board. Chair Day moved to nominate Lillian Kimbell as chair and Wenda Fong as vice chair. There was a second. Trustee Taylor acknowledged that his name had also been put forth as chair or vice chair of the board and expressed his appreciation to his trustee colleagues for the nomination. However he noted that due to his term expiring in 2021, he would be turning his focus to other items and opportunities.

Following discussion, Trustee Fong called for a vote on Chair Day's motion. The votes were taken by roll call. The committee unanimously passed the resolution.

The resolutions approved by the committee will be presented to the full board for approval at the May 12, 2020 meeting.

Trustee Fong adjourned the Committee on Committees.

COMMITTEE ON COMMITTEES

Approval of Board of Trustees' Standing Committee Assignments for 2020-2021

Presentation By

Wenda Fong
Chair, Committee on Committees

The following resolution is recommended for approval:

RESOLVED, by the Board of Trustees of The California State University, on recommendation by the Committee on Committees that the following appointments be made to the Standing Committees for 2020-2021 to take effect immediately following the conclusion of the May 12, 2020 board meeting.

AUDIT

Adam Day, Chair
Jane W. Carney, Vice Chair
Silas H. Abrego
Jean P. Firstenberg
Jack McGrory
Hugo N. Morales
Peter J. Taylor

**CAMPUS PLANNING, BUILDINGS
AND GROUNDS**

Jane W. Carney, Chair
Lateefah Simon, Vice Chair
Larry L. Adamson
Rebecca D. Eisen
Jeffrey R. Krinsk
Romey Sabalius
Peter J. Taylor

COLLECTIVE BARGAINING

Christopher Steinhauser, Chair
Douglas Faigin, Vice Chair
Debra S. Farar
Wenda Fong
Jack McGrory
Peter J. Taylor

EDUCATIONAL POLICY

Wenda Fong, Chair
Romey Sabalius, Vice Chair
Silas H. Abrego
Larry L. Adamson
Jane W. Carney
Rebecca D. Eisen
Douglas Faigin
Debra S. Farar
Maryana Khames
Christopher Steinhauser

FINANCE

Jack McGrory, Chair
Peter J. Taylor, Vice Chair
Larry L. Adamson
Jane W. Carney
Rebecca D. Eisen
Wenda Fong
Maryana Khames
Jeffrey R. Krinsk
Hugo N. Morales
Lateefah Simon

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GOVERNMENTAL RELATIONS

Debra S. Farar, Chair
Maryana Khames, Vice Chair
Silas H. Abrego
Douglas Faigin
Jeffrey R. Krinsk
Jack McGrory
Romey Sabalius
Lateefah Simon

INSTITUTIONAL ADVANCEMENT

Jean P. Firstenberg, Chair
Douglas Faigin, Vice Chair
Adam Day
Hugo N. Morales
Romey Sabalius
Lateefah Simon

ORGANIZATION AND RULES

Larry L. Adamson, Chair
Jeffrey R. Krinsk, Vice Chair
Adam Day
Jean P. Firstenberg
Maryana Khames
Christopher Steinhauser

**UNIVERSITY AND FACULTY
PERSONNEL**

Silas H. Abrego, Chair
Debra S. Farar, Vice Chair
Adam Day
Rebecca D. Eisen
Jean P. Firstenberg
Wenda Fong
Hugo N. Morales
Christopher Steinhauser

COMMITTEE ON COMMITTEES

Election of the Chair and Vice Chair of the Board of Trustees for 2020-2021

Presentation By

Wenda Fong
Chair, Committee on Committees

The following resolutions are recommended for approval:

RESOLVED, by the Board of Trustees of The California State University, on recommendation by the Committee on Committees, that Lillian Kimbell be elected chair for 2020-2021 to take effect immediately following the conclusion of the May 12, 2020 board meeting.

RESOLVED, by the Board of Trustees of The California State University, on recommendation by the Committee on Committees, that Wenda Fong be elected vice chair for 2020-2021 to take effect immediately following the conclusion of the May 12, 2020 board meeting.

AGENDA

COMMITTEE ON CAMPUS PLANNING, BUILDINGS AND GROUNDS

Meeting: 12:00 p.m., Tuesday, May 12, 2020
Virtually via Teleconference

Rebecca D. Eisen, Chair
Romey Sabalius, Vice Chair
Larry L. Adamson
Jane W. Carney
Wenda Fong
Maryana Khames
Jeffrey R. Krinsk
Jack McGrory
Peter J. Taylor

- Consent** 1. Approval of Minutes of the Meeting of March 24, 2020, *Action*
- Discussion** 2. California Polytechnic State University, San Luis Obispo Master Plan Revision and Enrollment Ceiling Increase, *Action*

**MINUTES OF THE MEETING OF THE
COMMITTEE ON CAMPUS PLANNING, BUILDINGS AND GROUNDS**

**Trustees of the California State University
Office of the Chancellor
Glenn S. Dumke Auditorium*
401 Golden Shore
Long Beach, California**

March 24, 2020

Members Present

Rebecca D. Eisen, Chair
Romey Sabalius, Vice Chair
Larry L. Adamson
Jane W. Carney
Wenda Fong
Maryana Khames
Jeffrey Krinsk
Jack McGrory
Peter J. Taylor
Adam Day, Chair of the Board
Timothy P. White, Chancellor

Trustee Rebecca D. Eisen called the meeting to order.

Public Comment

Due to the virtual format of the March 24, 2020 meeting, all public comment took place at the beginning of the meeting's open session prior to all committees. Public comment pertaining to the Committee on Campus Planning, Buildings and Grounds was made regarding the proposed California State University, Sacramento Off-Campus Center in Placer County. Overall, 29 speakers provided comments. Comments against the project included concerns over environmental planning, health, and transportation. Comments in support included opportunities for joint academic programs and services between local high schools, Sierra College, and CSU Sacramento, and the need for skilled workforce in the county. Speakers in support of the project included members of the Placer County Board of Supervisors and the President and Vice Presidents of Student Services, Administrative Services, and Instruction from Sierra College.

***PLEASE NOTE: Due to the Governor's proclamation of a State of Emergency resulting from the threat of COVID-19, and pursuant to the Governor's Executive Orders N-25-20 and N-29-20 issued on March 12, 2020 and March 17, 2020, respectively, all members of the Board of Trustees may participate in meetings remotely, either by telephonic or video conference means. Out of consideration for the health, safety and well-being of the members of the public and the Chancellor's Office staff, the March 24, 2020 meeting of the CSU Board of Trustees was conducted entirely virtually via Zoom teleconference.**

Approval of Minutes

The minutes of the November 19, 2019 meeting of the Committee on Campus Planning, Buildings and Grounds were approved as submitted.

California State University, Sacramento Proposed Off-Campus Center in Placer County

A proposal for CSU Sacramento to create an off-campus center in Placer County, was presented for approval. The assistant vice chancellor of Capital Planning, Design & Construction shared that 49 public comments were provided to the Board of Trustees; the first set of letters was sent on Friday and a second set of letters was sent on Monday, the day prior to the meeting.

Following the presentation, the trustees inquired further about some of the concerns voiced in the letters and during public comment and requested to be kept informed of mitigation efforts and impacts. The assistant vice chancellor reviewed areas of concern and some of the proposed mitigation measures included in the environmental impact report. They also asked about the naming of the center should it become a stand-alone campus in the future.

The committee recommended approval of the proposed resolution (RCPBG 03-20-01).

Trustee Eisen adjourned the Committee on Campus Planning, Buildings and Grounds.

COMMITTEE ON CAMPUS PLANNING, BUILDINGS AND GROUNDS

California Polytechnic State University, San Luis Obispo Master Plan Revision and Enrollment Ceiling Increase

Presentation By

Steve Relyea
Executive Vice Chancellor and
Chief Financial Officer

Jeffrey D. Armstrong
President
California Polytechnic State University

Elvyra F. San Juan
Assistant Vice Chancellor
Capital Planning, Design and Construction

Summary

The California State University Board of Trustees requires a long-range physical master plan for each campus, showing existing and anticipated facilities necessary to accommodate a specified academic year full-time equivalent student enrollment. Under the California Environmental Quality Act (CEQA), the Board of Trustees serves as the Lead Agency who acts to certify the CEQA document, and considers significant changes to the proposed campus master plan.

This agenda item requests the Board of Trustees approve the following actions for California Polytechnic State University, San Luis Obispo (Cal Poly):

- Certification of the 2035 Master Plan Final Environmental Impact Report (FEIR) dated May 2020;
- Approval of the proposed 2035 Cal Poly Master Plan (2035 Master Plan), including an increase in the enrollment ceiling to 22,500 full-time equivalent students (FTE¹)

Under CEQA, the Board of Trustees must certify that the FEIR is adequate and complete as a prerequisite to approving the 2035 Master Plan. Because the FEIR has concluded that the proposed 2035 Master Plan would result in significant and unavoidable impacts, a Statement of Overriding

¹ Campus master plan ceilings are based on academic year full-time equivalent student (FTE) enrollment excluding students enrolled in such off-site classes and on-line instruction.

Considerations is required to address these impacts relating to aesthetics, agricultural resources, air quality, historical resources, and noise.

The FEIR, Mitigation Monitoring and Reporting Program (MMRP), Findings of Fact, and Statement of Overriding Considerations are available for review by the Board of Trustees and the public at afd.calpoly.edu/facilities/planning-capital-projects/ceqa/master-plan/.

Attachment A provides a summary of issues identified through public review of the Draft EIR, including public comment received, responses, and alternatives considered. Attachment B is the proposed 2035 Master Plan. Attachment C is the existing campus master plan, with the last revision approved by the Board of Trustees in May 2017².

Cal Poly 2035 Master Plan

The 2035 Master Plan is a long-range planning document that guides the development and use of Cal Poly's main campus. The 2035 Master Plan Area, as evaluated in this FEIR, consists of 1,339 acres (referred to herein as the "Master Plan Area" or "campus") and includes the 855-acre main campus, which is comprised of four subareas, including the Academic Core, East Campus, North Campus, and West Campus subareas that contain academic, housing, student support, administration, athletic and other facilities. The remaining 484 acres are comprised of rangeland and steep terrain that are used as open space and for outdoor teaching and learning. By 2035, the campus anticipates developing new and replacement academic buildings, additional on-campus student housing, recreation and athletic facilities, student life, and other support facilities to accommodate anticipated enrollment growth and emerging requirements for a supportive learning environment. The proposed 2035 Master Plan will provide academic, administrative, and support space to support a future student population of 22,500 FTE by 2035.

The 2035 Master Plan establishes goals that will shape Cal Poly's future within the academic setting, the community, and the environment. The 2035 Master Plan supports the university's intention to:

1. Conceptualize the land use, circulation, and physical development of the campus to accommodate a future student enrollment of 22,500 FTES;
2. Enhance academic quality and student success through Cal Poly's motto "Learn by Doing";
3. Increase the diversity of students, faculty, and staff;
4. Strengthen the campus' compact, cross-disciplinary academic core;
5. House more students in residential communities on campus;
6. Offer more vibrant evening and weekend events and activities on campus;
7. Attain a modal shift from cars to more pedestrian, bicycle, and transit use;
8. Reinforce campus wide environmental sustainability;
9. Generate revenues from public and private sources to realize the above goals.

² The May 2017 Campus Master Plan Revision included the replacement and expansion of the Equine Center.

Approximately five years of planning went into 2035 Master Plan through engagement of the campus and San Luis Obispo area communities, making decisions on where and how to grow both academically and physically, and identifying campus priorities. The Master Plan process has included over 200 campus and community meetings that addressed academic program demand, physical and environmental constraints, and opportunities to support a future student enrollment of 22,500 FTES.

The 2035 Master Plan is designed to implement the university's strategic Vision 2022. The central focus of Cal Poly's academic plan is to reinforce its identity as a premier Learn by Doing undergraduate community of the 21st century and expand its visibility as a leader in higher education. The campus approach promotes workforce ready graduates in the applied sciences and other fields. The 2035 Master Plan includes space for academic, recreation and athletic facilities, additional on-campus housing, and other support facilities to accommodate increased student and university demands for facilities and services.

Implementation of the 2035 Master Plan would eventually result in approximately 7,200 new student beds; an additional 1.29 million gross square feet (GSF) of academic, administrative, and support space; 380 residential units intended primarily for faculty/staff with supporting uses (retail and recreational space); and a 200-unit university-based retirement community. In addition, 455,000 GSF of existing academic, administrative, and support space would be redeveloped and replaced with new facilities. The 2035 Master Plan also proposes circulation infrastructure improvements, to provide for the safe and efficient movement of pedestrians, bicycles, and vehicles around campus, while also encouraging a more complete shift to an active transportation approach. Further, utilities infrastructure improvements, such as new water, wastewater, and storm drainage infrastructure, are also proposed to accommodate growth under the 2035 Master Plan. The major elements of the proposed Campus Master Plan revision are described below.

Academic, Administrative, and Support Space Facilities

The Master Plan projects future demand for 4.165 million GSF (of which 1.29 million GSF would be new construction) of academic, administrative, and support facilities based on the proposed increase in students. Proposed new academic facilities include a near-term classroom and offices building, a multidisciplinary academic facility, an engineering projects building, expansion of the Kennedy Library, renovation of/addition to the H.P. Davidson Music Center; and expansion of the Beef Cattle Evaluation Center. Academic and instructional support facilities would largely be built within the Academic Core, with agricultural teaching facilities concentrated in the West Campus.

Student Housing

A major goal of the 2035 Master Plan is to construct enough student housing to house all freshman and sophomore students on campus, as well as approximately 30 percent of upper-division students. To do so, the 2035 Master Plan provides for a total of approximately 15,000 student beds on campus (7,200 new beds).

Faculty/Staff and Retirement Housing

The 2035 Master Plan would provide workforce housing for University faculty and staff, as well as non-University-related residents pending availability, within the East Campus at the intersection of Slack Street and Grand Avenue. This residential neighborhood would consist of 380 rental units, and 525 parking spaces.

The Master Plan also proposes a University-Based Retirement Community of approximately 200 senior living units, including independent living, assisted living, and memory care, located west of State Route 1 on a 25-acre parcel owned by Cal Poly. The community would house approximately 225 residents and 60 employees with possible amenities including craft studios, community gardens, and gathering space. Priority occupancy could be reserved for retired Cal Poly faculty, staff, and alumni, with units for the general public if available.

Recreation and Athletic Facilities

The Master Plan proposes renovation of existing recreation and athletic facilities and construction of new facilities including the following: renovation and expansion of the existing Spanos Stadium by 4,000 to 16,000 seats, plus an enlarged field; development of a new recreational center, Creekside Village for passive outdoor and indoor recreational areas; new sports fields in the North Campus including a running track, soccer fields, softball fields, and volleyball courts; and potential improvements to Mount Bishop Road between Highland Drive and Stenner Creek Road, including dedicated right-of-way, for use as part of the Chorro Valley Trail.

Open Space and Landscaping

The Master Plan would enhance campus open space including landscaped areas, throughout the Master Plan area. Existing major, iconic open space areas within the main campus would be improved, including Dexter Lawn expansion in the academic core of campus and new landscaping and seating within Centennial Meadow to connect the University Union and Academic Core. In addition, the 2035 Master Plan proposes the creation of new open space areas, with power and technology connections where possible, in conjunction with new buildings; and where possible, the alignment and siting of roads, pathways, and new buildings to preserve important scenic views.

Circulation and Parking

The 2035 Master Plan proposes circulation infrastructure and related programs intended to facilitate the safe and efficient movement of pedestrians, bicycles, and vehicles around campus, while encouraging a shift from personal vehicles to walking, biking, and public transportation. Key components include redesign of campus roadways to restrict through-traffic; new pedestrian grade-separated railway crossings; new pedestrian and bicycle paths; and new transit services and multi-modal transit center near the proposed Creekside Village recreation area, at terminus of Highland Drive and University Road. The campus currently provides 8,019 parking spaces; the Master Plan proposes only a modest net increase of 174 spaces.

Utility Infrastructure

The 2035 Master Plan emphasizes sustainability as a major goal in the design and operation of infrastructure to serve the expanded campus. Utility improvements would modernize existing systems to serve new facilities and to ensure reliable and sustainable utility service. Proposed improvements include: construction of a new Water Reclamation Facility to recycle water for irrigation use; storm drain system improvements; and expanded recycling and composting.

Proposed Master Plan Revisions

The specific components of the 2035 Master Plan, as summarized above, are shown on Attachment B and are noted below. Attachment B includes a full campus master plan map to show all proposed components, and a second campus master plan map is included in color to highlight the land use types within the campus core.

- Hexagon 1.* University Based Retirement Community, #152
- Hexagon 2.* Farm Shop, #49
- Hexagon 3.* Water Reclamation Facility, #128
- Hexagon 4.* Rodeo Support Facilities, #77A
- Hexagon 5.* Technology Park Expansion, #84
- Hexagon 6.* Northwest Campus Parking Structure, #132
- Hexagon 7.* Via Carta Parking Structure, #138
- Hexagon 8.* Irrigation and Training Research Center (ITRC) Practice Fields, #136B
- Hexagon 9.* Student Housing, #177, 178, 179
- Hexagon 10.* Northwest Polytechnic Center, #193
- Hexagon 11.* Creekside Village, #142A-C; New Transit Center, #142D
- Hexagon 12.* Northeast Academic Complex, #143A-G
- Hexagon 13.* Math and Science, #144A-C
- Hexagon 14.* Engineering Projects Building, #191
- Hexagon 15.* Facilities Operations Complex #151
- Hexagon 16.* Stadium Expansion, #61A
- Hexagon 17.* Athletics Facility, #62
- Hexagon 18.* Engineering West Expansion, #21
- Hexagon 19.* South Via Carta Academic Complex, #184A-C
- Hexagon 20.* Health and Wellbeing Center Expansion #27A
- Hexagon 21.* Dining Commons Addition, #19A
- Hexagon 22.* Student Support Services, #182A-B
- Hexagon 23.* Student Housing, #173, 174, 175
- Hexagon 24.* Faculty and Staff Workforce Housing, #176
- Hexagon 25.* Athletics Center Expansion, #42B

Near-Term Horizon Implementation

The 2035 Master Plan provides for implementation of the planned facilities and expansion phased through the 2035 planning horizon. The 14 facilities envisioned to be developed in the near term (first 10 years) include:

- Faculty and Staff Workforce Housing (#176)
- Water Reclamation Facility (#128)
- Student Housing (#177, #178)
- Engineering Projects Building (#191)
- Classroom and Offices Building (#TBD³)
- IT Services Consolidation (#82E)
- Technology Park Expansion (#84)
- Farm Shop (#49)
- University-Based Retirement Community (#152)
- Student Center Addition (#19A)
- Beef Cattle Evaluation Center (BCEC) Expansion (#55E)
- Interim Replacement Surface Parking/Facilities Operations Complex (#151)
- Health and Wellbeing Center (#27, 27A)

Fiscal Impact

Approximately \$3.2 billion will be required to address existing building deficiencies and provide needed site and facility improvements as proposed in the 2035 Master Plan.

California Environmental Quality Act (CEQA) Action

The FEIR has been prepared pursuant to the CEQA (Public Resources Code [PRC] Section 21000 *et seq.*) and the State CEQA Guidelines (California Code of Regulations, Title 14, Chapter 3, Section 15000 *et seq.*) to evaluate the physical environmental effects of the proposed 2035 Master Plan. The Board of Trustees is the lead agency for this project and has the responsibility for approving and carrying out the project and for ensuring that the requirements of CEQA have been met. After the FEIR is prepared and the public-review process is complete, the Board of Trustees is the party responsible for reviewing and certifying that the FEIR adequately evaluates the impacts of the project.

The Draft EIR was distributed for public comment for a 45-day period concluding on February 3, 2020. The Final EIR, including the Draft EIR, all public comments received on the Draft EIR, and responses to those comments are available online at: afd.calpoly.edu/facilities/planning-capital-projects/ceqa/master-plan/

³ Specific project location to be determined

In addition to comments submitted during the Draft EIR comment period and addressed in the Final EIR, a number of late comment letters expressing support of or concern about the proposed Master Plan and EIR have been submitted to the Office of the Chancellor by members of the Cal Poly campus community as well as the broader San Luis Obispo community. These letters are being collected for transmittal to the Board of Trustees ahead of the May 2020 meeting.

The FEIR is a ‘Program EIR’ as defined by Section 15168 of the State CEQA Guidelines. As described in CEQA Guidelines Section 15168(a), a program EIR may be prepared for a series of actions that can be characterized as one large project and are related either:

1. geographically;
2. as logical parts in the chain of contemplated actions;
3. in connection with the issuance of rules, regulations, plans, or other general criteria to govern the conduct of a continuing program; or
4. as individual activities carried out under the same authorizing statutory or regulatory authority and having generally similar environmental impacts which can be mitigated in similar ways.”

A program EIR can be used as the basic, general environmental assessment for an overall program of projects developed over a multi-year planning horizon, and therefore is an appropriate review document for the 2035 Master Plan. A program EIR provides a basic reference document to avoid unnecessary repetition of facts or analysis in subsequent project-specific assessments. At the time each facility improvement is considered (typically at schematic design approval), each individual improvement will be reviewed for CEQA compliance with CEQA, including to determine whether the FEIR fully addresses potential environmental impacts arising from the individual Master Plan improvement and identified appropriate and applicable mitigation measures.

Issues identified during the public review period are fully discussed in the FEIR and impacts have been analyzed in accordance with CEQA requirements. Where a potentially significant impact is identified, mitigation measures have been proposed to reduce the impact to the extent feasible. The FEIR concluded that the implementation of the 2035 Master Plan would result in significant and unavoidable impacts related to aesthetics, air quality, agricultural and historical resources, and noise. CEQA requires the decision-making Board of Trustees to balance, as applicable, the economic, legal, social, technological, or other benefits of the project against its unavoidable environmental risks when determining whether to approve the 2035 Master Plan. If the specific benefits of the 2035 Master Plan outweigh the unavoidable adverse environmental effects, those effects may be considered “acceptable” and the agency is then required to adopt a Statement of Overriding Considerations in order to approve the project. Because the 2035 Master Plan FEIR has determined that the master plan would result in significant and unavoidable effects, a Statement of Overriding Considerations has been prepared for Board of Trustees’ consideration.

Recommendation

The following resolution is presented for approval:

RESOLVED, by the Board of Trustees of the California State University, that:

1. The Board of Trustees finds that the 2020 FEIR is complete and has been prepared in accordance with the requirements of the California Environmental Quality Act.
2. The FEIR addresses the proposed Campus Master Plan revision and all the discretionary actions related to the project as identified in the FEIR.
3. The Board of Trustees hereby certifies the FEIR for the California Polytechnic State University, San Luis Obispo Campus Master Plan revision dated May 2020.
4. Prior to the certification of the FEIR, the Board of Trustees reviewed and considered the FEIR and finds that it reflects the independent judgment of the Board of Trustees. The Board of Trustees hereby certifies the FEIR as complete and adequate and finds that it addresses all potentially significant environmental impacts of the project and fully complies with the requirements of CEQA. For purposes of CEQA and the State CEQA Guidelines, the administrative record includes the following:
 - a. The 2020 Draft EIR for the California Polytechnic State University, San Luis Obispo Campus Master Plan;
 - b. The FEIR, including comments received on the Draft EIR, responses to comments, and revisions to the Draft EIR in response to comments received;
 - c. The proceedings before the Board of Trustees relating to the subject Campus Master Plan revision, including testimony and documentary evidence introduced at such proceedings; and
 - d. All attachments, documents incorporated, and references made in the documents as specified in items (a) through (c) above.
5. This resolution is adopted pursuant to the requirements of Section 21081 of Public Resources Code and Section 15091 of the State CEQA Guidelines which require that the Board of Trustees make findings prior to the approval of a project.
6. The Board of Trustees hereby adopts the CEQA Findings of Fact and Mitigation and Monitoring Reporting Program, including all mitigation measures identified therein, for Agenda Item 2 of the May 12, 2020 meeting of the Committee on Campus Planning, Buildings and Grounds, which identifies the specific impacts of the proposed Campus Master Plan and related mitigation measures, which are hereby incorporated by reference. The mitigation measures

- identified in the Mitigation and Monitoring Reporting Program shall be implemented, monitored and reported in accordance with the requirements of CEQA.
7. The Board of Trustees hereby adopts the Statement of Overriding Considerations stating that the project benefits to the California State University outweigh the remaining significant and unavoidable aesthetic, agricultural resources, air quality, historical, and noise impacts from implementation of the 2035 Master Plan as disclosed in the FEIR.
 8. The Final EIR has identified potentially significant impacts that may result from implementation of the proposed Campus Master Plan revision. However, the Board of Trustees, by adopting the Findings of Fact, finds that the inclusion of certain mitigation measures as part of the project approval will reduce most, but not all, of those effects to less than significant levels. Those impacts which are not reduced to less than significant levels are identified as significant and unavoidable and are overridden due to specific project benefits to the CSU identified in the Findings of Fact and Statement of Overriding Considerations.
 9. The project will benefit the California State University.
 10. The California Polytechnic State University, San Luis Obispo 2035 Campus Master Plan revision dated May 2020 is approved.
 11. The chancellor or his designee is requested under Delegation of Authority granted by the Board of Trustees to file the Notice of Determination for the Final Environmental Impact Report for the California Polytechnic State University, San Luis Obispo 2035 Master Plan.

This attachment provides summary information on the primary issues identified through public review of the Draft Environmental Impact Report (EIR) for the proposed California Polytechnic State University, San Luis Obispo (Cal Poly) Campus Master Plan revision. In addition, this attachment provides a summary of the project alternatives identified and evaluated in the Final EIR (FEIR) for the 2035 Master Plan.

I. Summary of Issues Identified Through Public Review of the Draft Environmental Impact Report

On December 19, 2019, Cal Poly released the 2035 Master Plan Draft EIR for public review and comment. The Draft EIR was circulated for a period of 45 days in accordance with the requirements of the California Environmental Quality Act, Public Resources Code section 21000 *et seq.* (CEQA) during which time interested agencies and members of the public were encouraged to provide comments on the analysis set forth in the Draft EIR. When the public comment period closed on February 3, 2020, 37 comment letters had been received, including four letters from state agencies, nine letters from local/regional agencies, and 24 letters from individuals. The comments received included requests for extension of the public comment period, suggestions for potential revisions to the 2035 Master Plan and the Draft EIR, and expressed concerns regarding the scope or content of the technical analysis provided in the Draft EIR.

Cal Poly prepared formal responses to all comments, which are included as part of the FEIR. A Mitigation Monitoring and Reporting Program and a description of amendments/revisions to the Draft EIR as a result of public comments received are also included as part of the FEIR.

As part of the response to comments, the FEIR includes six “Master Responses” which addresses topic areas that were frequently commented upon by state or local agencies and/or members of the public. These Master Responses address comments relating to: 1) Extension of the Public Review Period; 2) Level of Detail Required for a Program EIR, 3) Enrollment Projections and Housing for the 2035 Master Plan, 4) Vehicle Miles Traveled Analysis and Transportation Demand Management, 5) Socioeconomic Impacts, and 6) the linkage of the Chorro Valley Trail through the 2035 Master Plan Area. Overall, local agencies, including the City of San Luis Obispo, expressed their support for the planned development contemplated in the 2035 Master Plan, particularly with respect to the provision of additional on-campus housing. However, these agencies also raised concerns regarding the availability of water and wastewater service, particularly by the City of San Luis Obispo and concerns regarding future coordination and cooperation with the City of San Luis Obispo and other local agencies on the provision of public services.

Extension of Public Review Period

Several comments expressed concern regarding the degree of public notice that was provided upon release of the Draft EIR in mid-December, and that the ability to review the Draft EIR may have been impaired because the public review period included the Cal Poly’s winter break

period. As a result, several commenters requested an extension of the public review period to provide additional time for review and comment. While Cal Poly understands that the timing of public review may have inconvenienced some people, others may have benefited from it spanning an academic break where students and faculty would have more time to review and comment on the Draft EIR. In accordance with Section 15105 of the State CEQA Guidelines, a Draft EIR is required to be available for review for a period of no less than 45 calendar days. The Draft EIR satisfied this requirement. With more than six weeks to review, including four weeks following the beginning of the Winter term on January 6, 2020, it is reasonable to assume that most interested parties were able to find an adequate window of time to review and comment on the document.

It is also important to highlight that Cal Poly has been engaged in its 2035 Master Plan process for four years, during which there has been extensive formal outreach to its campus community and local partners. Cal Poly has hosted four open house/informational sessions to solicit the input of stakeholders and interested parties, including the campus community, with two sessions being held on-campus and two off-campus in downtown San Luis Obispo. Cal Poly publicly posted the draft Master Plan on its website in June 2019 for review by interested parties. In addition, over the summer and fall 2019, the revised Master Plan was presented to, and input solicited from, university students, faculty and staff representatives and stakeholders. The 2035 Master Plan was also presented to the San Luis Obispo City, County and Chamber of Commerce.

With respect to public noticing of the availability of the Draft EIR for review, Cal Poly exceeded CEQA requirements (Section 15087 of the State CEQA Guidelines). On December 19, 2019, Cal Poly posted a public notice in the San Luis Obispo Tribune of the release of the Draft EIR for public review. In addition, Cal Poly provided an electronic mail notification to all contacts on the Master Plan EIR distribution list, including over 300 individual student/faculty/staff/neighbor email addresses. The list includes several campus organizations and all individuals who requested notice about the master planning process.

Further, there were no specific environmental issues raised in the comments requesting an extension of public review to suggest that Cal Poly did not comply with CEQA's posting and noticing requirements, and they do not suggest any unusual circumstances that prevented timely review of the Draft EIR by stakeholders and interested members of the public, such that the comment period warranted an extension in excess of the legally required review period under CEQA.

Level of Detail Required for a Program EIR

Several comments were received regarding the level of detail provided in the Draft EIR regarding on-campus development and enrollment growth under the 2035 Master Plan, including phasing, project-specific details, and commitments to development. The analysis in the FEIR presents a programmatic assessment of the potential impacts of the 2035 Master Plan, focusing on the potential impacts of development that may occur to accommodate growth in Cal Poly's student, faculty, and staff campus population while preserving and enhancing the quality of

campus life. The Master Plan identifies the initial sites for development of future campus buildings. However, the proposed Master Plan structures and facilities have not yet been designed and/or engineered and, accordingly, the FEIR does not address individual development sites in detail. Rather, the FEIR focus is on the entire 2035 Master Plan and the potential impacts of construction and operation of anticipated land uses consistent with the Plan. For those projects identified as near-term projects, additional detail and analysis is provided where appropriate.

A program EIR allows the lead agency to consider broad policy alternatives and program-wide mitigation measures early in the planning process, when the agency has greater flexibility to deal with basic problems or cumulative impacts. Accordingly, a program EIR is distinct from a project EIR, which must examine in detail site-specific considerations.

The 2035 Master Plan addresses land use development for the next several years within the Cal Poly “Master Plan Area” or “main campus.” Accordingly, the FEIR analyzes implementation of the proposed plan at a programmatic level, taking into consideration the potential environmental impacts that can reasonably be determined at this time. The 2035 Master Plan makes reasonable predictions about, but does not mandate, the phasing and level of growth that would occur. It is intended to serve as a guide to the land development patterns and associated physical infrastructure that could be built to support a forecasted level of enrollment and growth.

The 2035 Master Plan EIR is intended to be used in conjunction with review of individual Master Plan projects, consistent with CEQA’s tiering provisions. If, and when, individual Master Plan projects are proposed for development, additional project-level studies and CEQA review will be conducted, as necessary. This may include the development of “within-the-scope” findings pursuant to State CEQA Guidelines Section 15168(c), tiered initial studies, negative declarations, mitigated negative declarations, focused or other EIRs, or other supplemental/subsequent environmental analysis, consistent with CEQA requirements. All subsequent analysis would require consideration of project-level impacts and consideration of alternatives and additional mitigation, where appropriate.

Enrollment Projections and Housing for 2035 Master Plan

Several comments requested background information to better understand the enrollment growth projection in the 2035 Master Plan and why that was considered a reasonable projection. The 2035 Master Plan planning effort anticipates that the on-campus student population (i.e., “enrollment”) could grow from a baseline of 17,500 FTES (in the 2018-2019 academic year) to approximately 22,500 FTES by the 2035-2036 academic year, and that its faculty and staff population could increase from 3,266 to 3,935 during the same time frame.

Several comments questioned whether a higher rate of enrollment growth should be anticipated based on past enrollment growth rates. These comments assert that past enrollment growth rates could indicate a potential for enrollment growth that would exceed the 2035 Master Plan projection of 22,500 FTES by the 2035–2036 academic year. The projection of enrollment

growth under the 2035 Master Plan is based on historical enrollment data and conservative forecasting that takes into consideration evolving education trends.

Cal Poly has also identified a number of other factors it anticipates will lead to a modest slowing (between 0 and 5 percent) of historical rates of enrollment growth, such as increased use of on-line learning technology, shifting preferences to community college transfer opportunities, and potential decreases in federal funding supporting university research. Similar to other universities within the CSU and University of California (UC) systems, Cal Poly expects that increased on-line learning, which has increased by 25 percent over the past 3 years within the California State University system, could change overall campus population levels. Based on these trends, Cal Poly anticipates that, while enrollment will increase during the implementation period of the 2035 Master Plan, the rate of enrollment growth will be slower than in the recent past.

Additionally, comments received on the 2035 Master Plan Draft EIR suggest that increased student enrollment prior to the development of on-campus housing to accommodate the enrollment increase will result in additional impacts in the local communities, including the City of San Luis Obispo. Vacancy rates in the vicinity of campus have fluctuated between 9.28 percent and 13.59 percent between 1990 and 2016 (SLOCOG 2017) and the current (2018) vacancy rate for the County, including the City of San Luis Obispo, is 12.3 percent with 15,015 vacant units (California Department of Finance 2019). An additional 450 residential units are proposed to be developed within one-half mile of campus in the near term. Provision of additional on-campus housing under the 2035 Master Plan would result in approximately 2,000 additional student beds by 2022. Prior to that, Cal Poly anticipates that fewer than 300 additional students may seek off-campus housing, which could be accommodated by existing and future available housing within the City and/or County of San Luis Obispo, based on the aforementioned vacancy rates.

It should also be noted that Cal Poly will continue to actively manage student housing on campus, and will appropriately utilize the elasticity built into the campus' current housing stock. This includes transitioning one bed units to two bed units, and two bed units to three bed units, as appropriate. Cal Poly has utilized this practice successfully in the past to accommodate on-campus housing needs, particularly for freshman and sophomore students. Thus, to the extent there would be any meaningful shortage of housing in the surrounding community as enrollment increases on campus (which, as explained above, is not anticipated), Cal Poly has mechanisms available to further accommodate on-campus housing through its existing housing facilities and thereby reduce student-generated pressure on the local housing market.

Other comments raised objections asserting flaws in the Draft EIR analysis because enrollment-increasing academic facilities could be constructed ahead of planned student housing facilities. These comments run counter to Cal Poly's demonstrated commitment to the provision of on-campus housing. In the past 20 years, Cal Poly has increased its on-campus housing supply by 4,973 beds, which has outpaced enrollment growth by more than 600 beds or approximately three years of annual student enrollment increase.

CEQA prescribes the process to address potential environmental impacts in the event enrollment inducing academic Master Plan projects proceed ahead of the projected on-campus housing projects. As individual 2035 Master Plan projects are proposed for development, those projects will undergo project-level studies and CEQA compliance review which will, as appropriate, tier from the 2035 Master Plan EIR. This project-specific CEQA analysis will take into consideration the project's consistency with the Master Plan EIR's projected schedule of development and identify any significant conflict with that schedule, including as it relates to the provision of on-campus housing relative to enrollment inducing academic or other Master Plan projects. If this analysis reveals a new significant impact, consistent with the requirements of CEQA, the project is required to implement appropriate feasible mitigation measures and/or alternatives to reduce this impact to a less than significant level. The FEIR accurately reflects expected environmental impacts of the 2035 Master Plan with respect to housing.

Vehicle Miles Traveled Analysis and Transportation Demand Management

Several comments were received that requested additional information regarding the Transportation Demand Management (TDM) plan to be developed and implemented as part of FEIR's Mitigation Measures (Mitigation Measure 3.13-1). The Master Plan targets increasing transit and other alternative modes of transportation with the specific goal of achieving a minimum Vehicle Miles Traveled (VMT) per service population target of 19.22 (15 percent below existing regional VMT per service population). In addition to the VMT reducing benefits that will be achieved through the balanced development contemplated in the Master Plan, the Master Plan and FEIR require the preparation and implementation of transportation demand management measures and mitigation that would further reduce project VMT by at least 5.04 VMT per service population and achieve the performance threshold of 19.22 VMT per service population. To reach this goal, the EIR requires preparation and implementation of a TDM Plan based on the menu of TDM options outlined in the CSU TDM Manual and in the FEIR, which are intended to evolve over the life of the Master Plan, taking into consideration campus travel mode patterns, success (or lack thereof) of TDM measures, and evolving technologies. The mainstay of the TDM Plan and its implementation will be continuous monitoring to ensure the performance threshold of 19.22 VMT is achieved and maintained. In addition to the campus directed TDM measures, Cal Poly also appreciates and is committed to working and further coordinating with local transit agencies that connect/share multimodal facilities, including the City of San Luis Obispo, the County of San Luis Obispo, and Caltrans.

Several comments requested a more robust discussion of which mitigations are realistic and a timeline for implementation. The TDM menu provided constitutes a robust set of measures proven effective in achieving reductions in single occupant vehicle trips, increasing the use of alternative travel modes, and achieving lower per capita VMT. Consistent with Mitigation Measure 3.13-1, Cal Poly will develop a campus-specific TDM Plan that considers campus-specific travel attributes and, as shown by its existing practices, ongoing coordination with San Luis Obispo Regional Transit Authority and SLO Transit. The Cal Poly TDM Plan will identify specific strategies Cal Poly will implement to reduce campus-wide vehicle travel, with an

emphasis on proven measures. In addition, the TDM Plan would address new mobility options including bike sharing, e-bike sharing, and e-scooter sharing, which are emerging transit modes that increase the viable travel choices in a community while reducing vehicle trips.

As currently envisioned, the TDM Plan would be developed and implemented immediately following approval of the 2035 Master Plan (summer 2020) with monitoring and annual surveys beginning in the fall 2020. The efficacy of the measures/actions would be evaluated every two years and adjustments to the various TDM actions and their level of implementation would be made to ensure that Cal Poly achieves the FEIR's performance standard.

Socioeconomic Impacts

Several commenters raised concerns regarding the economic components of the 2035 Master Plan, including, the campus' payment for the provision of expanded services. These comments fail to distinguish between potential physical impacts and economic impacts. For example, a project that triggers the expansion of public facilities, such as a police or fire station, must evaluate whether that expansion in turn could have significant adverse environmental impact under CEQA. However, CEQA does not require an EIR to consider the cost of providing such services, as that is not an environmental impact under CEQA but is instead an economic consideration outside the scope of CEQA. CEQA is concerned solely with whether a project may have adverse physical environmental effects. Accordingly, Section 15131 of the CEQA Guidelines states that "economic and social effects of a project shall not be treated as significant effects on the environment...."

In evaluating the project's environmental impacts, an EIR must evaluate direct and indirect physical effects of a project. Direct effects are effects that are caused by a project and occur in the same time and place. An indirect environmental effect is a change in the physical environment that is not immediately caused by a project, but can be linked to a project. The EIR prepared for the 2035 Master Plan appropriately evaluated the potential direct and indirect impacts associated with implementation of the project, in accordance with CEQA requirements.

Chorro Valley Trail through the Master Plan Area

Several comments were received related to the regional Chorro Valley Trail and the potential need for the 2035 Master Plan to identify a trail alignment through campus. The Chorro Valley Trail was originally evaluated as part of a San Luis Obispo Council of Governments (SLOCOG)-funded study in 2014 and would connect to existing trail networks within and between the cities of San Luis Obispo and Morro Bay. None of the future campus facilities identified in the 2035 Master Plan would conflict with trail alignments identified in the SLOCOG documents, nor would they limit implementation of the Chorro Valley Trail. Cal Poly supports completion of the Chorro Valley Trail and is supportive of such active transportation improvements; however, it is not a component of the Master Plan.

Several commenters suggested that the Chorro Valley Trail would provide additional mitigation associated with operational air quality emissions. The trail is not expected to serve a significant commuter population for users traveling to and from campus, and its development through campus would not substantially reduce air emissions through a change in commute patterns.

Other Potentially Contested Issues

Water Supply Availability/Resiliency and Wastewater Treatment

During EIR preparation, the City of San Luis Obispo raised concerns about the resiliency of Cal Poly's existing water supply to meet future demand associated with planned enrollment growth and new campus development under the 2035 Master Plan, and about effects on the City's own water treatment plant and distribution system. The City also raised concerns about the ability of Cal Poly and the City's water resource recovery facility and wastewater treatment system to handle future wastewater generation associated with 2035 Master Plan buildout. The FEIR determined that 2035 Master Plan buildout would result in less than significant impacts related to these issues with implementation of the proposed project features and/or appropriate mitigation, as described in detail in the FEIR. Nonetheless, the City of San Luis Obispo and Cal Poly have met continually during Draft and Final EIR preparation to discuss these issues, including Cal Poly's financial contributions for the water and wastewater services the City provides to the campus, and have mutually agreed to continue cooperating and negotiating in good faith toward achievement of related shared objectives.

Enrollment Growth and Campus Housing/Parking/Transportation Demand Management

Other primary issues raised by the City include the balance between the development of on-campus academic facilities and housing; collaboration over strategies to address campus spillover parking that affects residential neighborhoods; Cal Poly's participation in implementing off-campus transportation, safety and mobility improvements that benefit the campus; and the details and timing of implementation of Cal Poly's TDM program.

II. Summary of Project Alternatives

The alternatives considered to the 2035 Master Plan include the following:

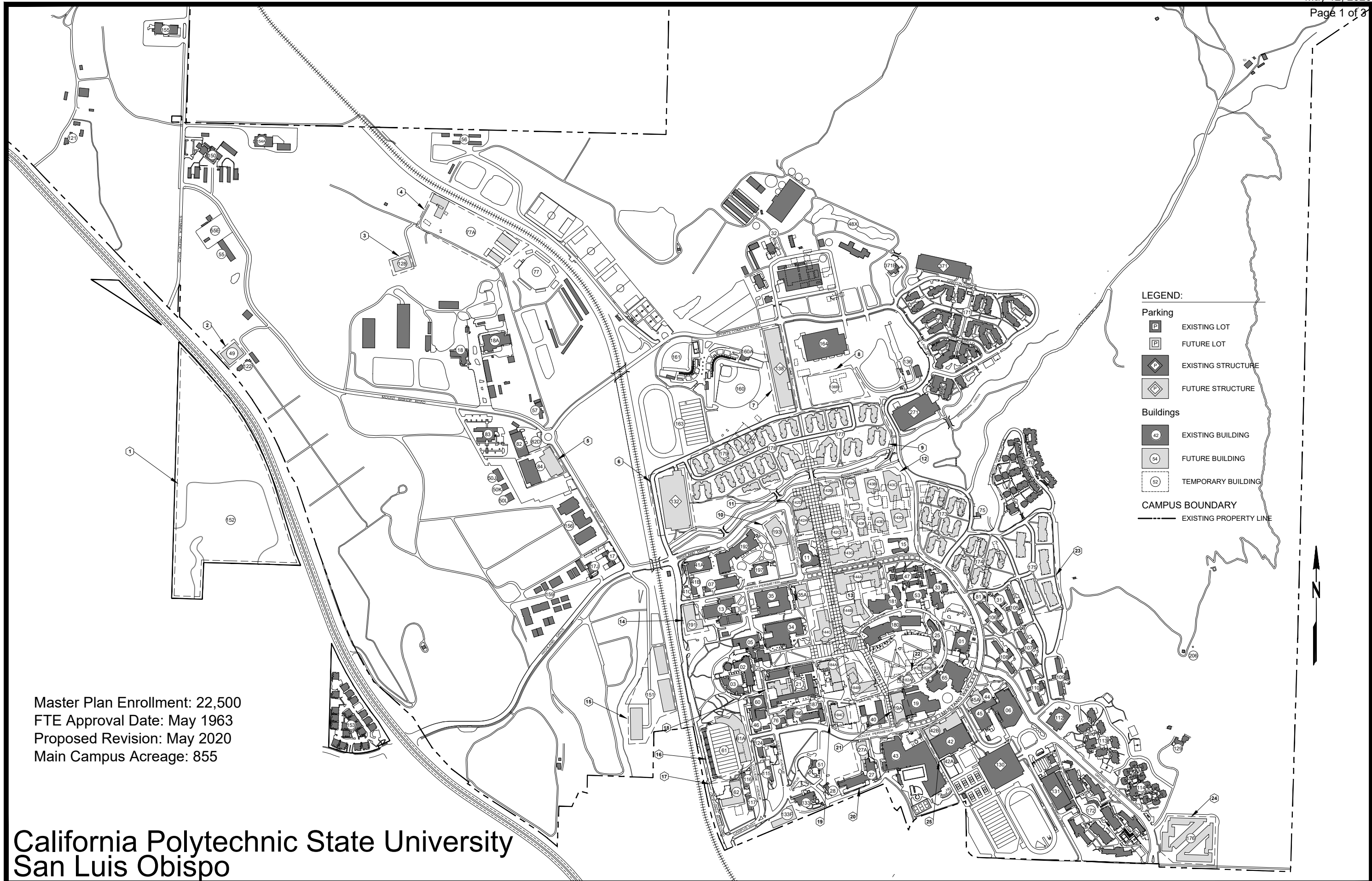
Alternative 1: No Project Alternative: This alternative would involve the continued implementation of the 2001 Master Plan. Planned growth as expressed in the 2001 Master Plan would continue up to its planned capacity (an additional 500,000 GSF), primarily associated with new academic/administrative space. Enrollment growth would be at the same levels projected in the 2035 Master Plan. No additional on-campus housing would be provided. This alternative would represent the least amount of development compared to existing conditions and thus would have the least potential physical environmental impacts. This alternative would be considered the environmentally superior alternative because it would avoid the significant adverse impacts resulting from the construction and operation of new facilities under the 2035

Master Plan. However, it would not be as consistent with applicable air quality plans and may result in increased emissions (air quality and GHG) and VMT as on-campus population increases, without the provision of new housing to serve the new student, faculty and staff populations.

Alternative 2: Reduced Administrative/Academic Development Program: Under this alternative, Cal Poly would implement a master plan with an overall reduction in planned campus development of administrative/academic space. Approximately 500,000 gross square feet (GSF) of new academic/administrative space would be provided, compared to approximately 1,290,000 GSF of new academic/administrative space under the 2035 Master Plan, resulting in less ground disturbance and other development-related impacts. Further, approximately 455,000 GSF of renovations would occur within existing structures under this alternative, for a total development/renovation of 955,000 GSF. Proposed growth in on-campus student housing (approximately 7,200 student beds) and growth in enrollment would be the same as the 2035 Master Plan.

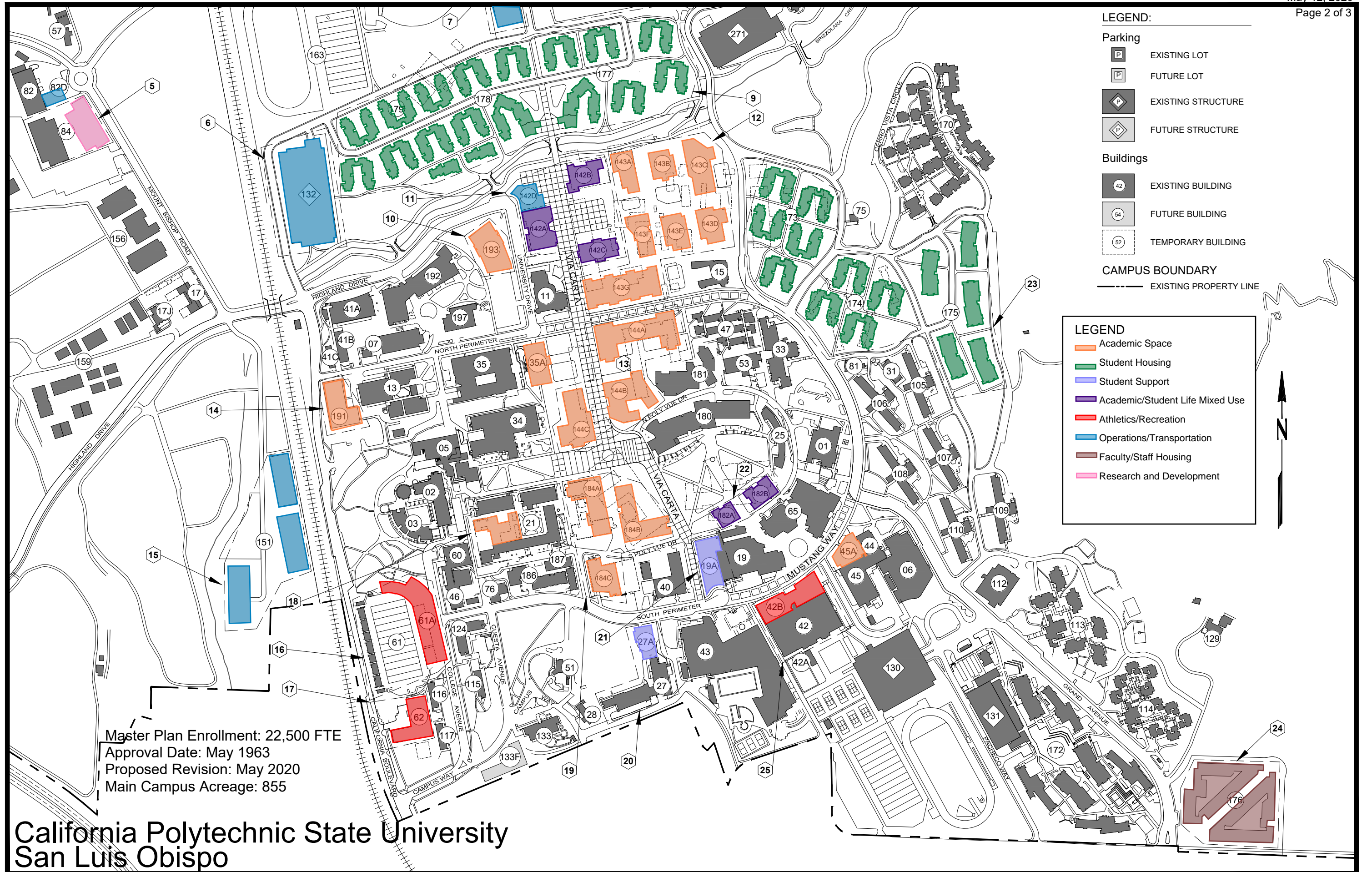
Alternative 3: Net Student Growth Only: Under Alternative 3, Cal Poly would implement a master plan that reduces the level of student housing development compared to the project's proposed increase of approximately 7,200 student beds. This alternative would provide up to 3,188 new student beds, which would correspond to the projected increase in student enrollment at Cal Poly. The 1,750,000 GSF of new academic/administrative space proposed under the 2035 Master Plan would remain the same under this alternative. Under this alternative, the faculty, staff and workforce housing at Slack Street and Grand Avenue and the University-Based Retirement Community would not be constructed.

Alternative 4: No Development along City Interface: This alternative would include development of the campus similar to that under the 2035 Master Plan; however, no development would occur within 500 feet of the campus's southern boundary with the city of San Luis Obispo. Projects proposed in these areas under the 2035 Master Plan would be relocated within the undeveloped areas of the Master Plan Area, predominately in the North and West Campus subareas. The Farm Shop, University-Based Retirement Community, Facilities Operations Complex (and interim parking lot) within the West Campus, and faculty, staff and workforce housing site at Slack Street and Grand Avenue in the East Campus would not be constructed in their current locations but would be more centrally located within the Master Plan Area. The Stadium expansion would still occur under this alternative, as it would be an expansion of an existing facility that could not be relocated to an alternative site within the interior campus.



Master Plan Enrollment: 22,500
FTE Approval Date: May 1963
Proposed Revision: May 2020
Main Campus Acreage: 855

California Polytechnic State University San Luis Obispo



California Polytechnic State University, San Luis Obispo

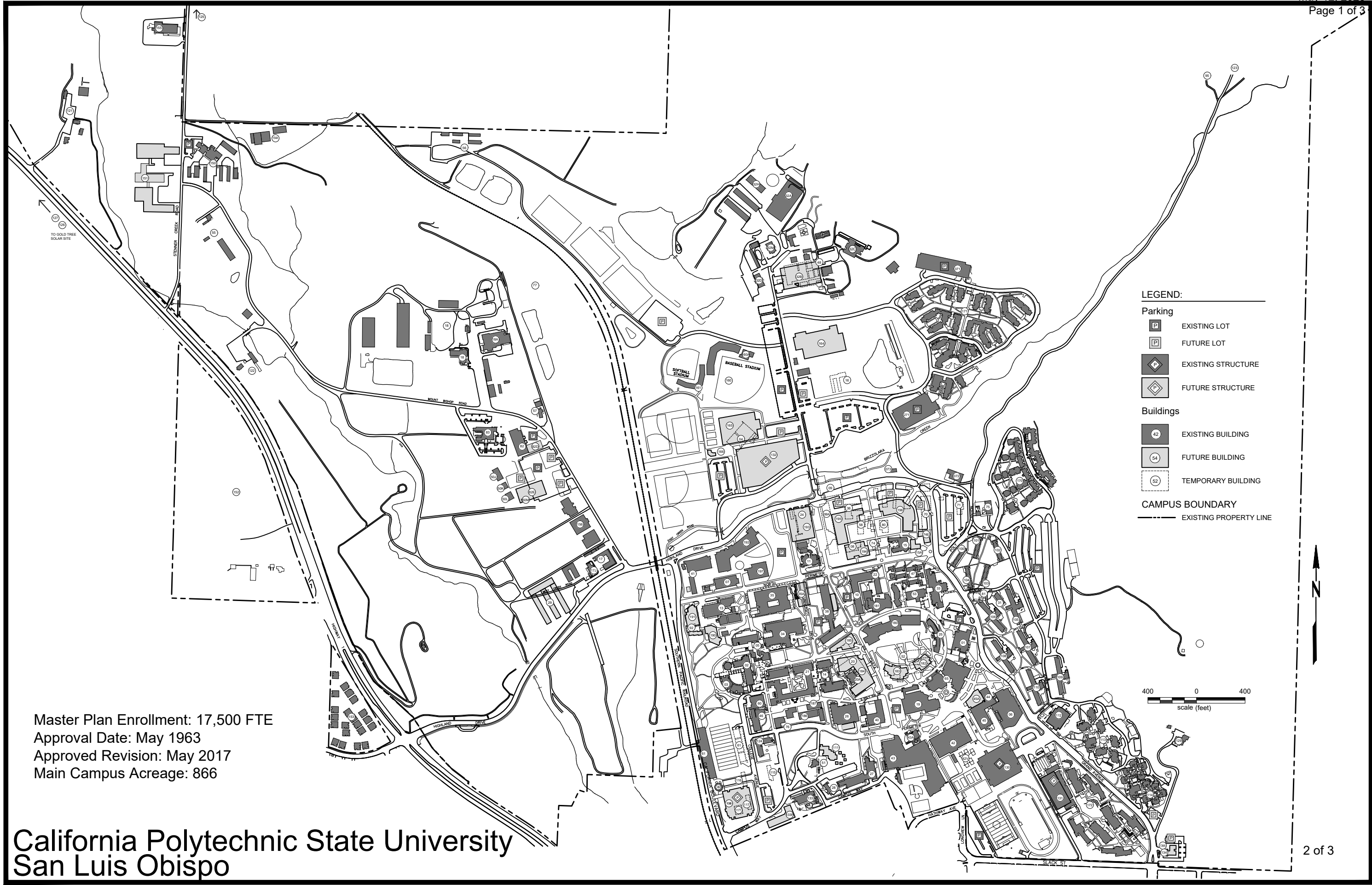
Proposed Master Plan Enrollment: 22,500 FTE

Master Plan approved by the Board of Trustees: May 1963

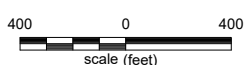
Proposed Revision: May 2020

LEGEND: Existing Facility / Proposed Facility

1.	Administration	106.	Santa Lucia Hall
2.	Cotchett Education	107.	Muir Hall
3.	Business	108.	Sequoia Hall
5.	Architecture and Environmental Design	109.	Fremont Hall
6.	Christopher Cohan Center	110.	Tenaya Hall
7.	Advanced Technology Laboratories	112.	Vista Grande
11.	Agricultural Sciences	113.	Sierra Madre Hall
13.	Engineering	114.	Yosemite Hall
15.	Cal Poly Corporation Administration	115.	Chase Hall
17.	Crop Science	116.	Jespersen Hall
17J.	Crop Science Lab	117.	Heron Hall
18.	Dairy Science	121.	Cheda Ranch
18A.	Leprino Foods Dairy Innovation Institute	122.	Parker Ranch
19.	Dining Complex	124.	Student Services
19A.	<i>Dining Commons Addition</i>	128.	<i>Water Reclamation Facility</i>
21.	Engineering West	129.	Avila Ranch
25.	Faculty Offices East	130.	Grand Avenue Parking Structure
27.	Health Center	131.	Parking Structure 131
27A.	<i>Health and Wellbeing Center Addition</i>	132.	<i>Northwest Campus Parking Structure</i>
28.	Albert B. Smith Alumni and Conference Center	133.	Orfalea Family and ASI Children's Center
31.	University House	133F.	<i>Children's Center Addition</i>
32.	Oppenheimer Family Equine Center	136.	Irrigation and Training Research Center
33.	Clyde P. Fisher Science Hall	136B.	<i>Irrigation and Training Research Center Practice Fields</i>
34.	Walter F. Dexter Building	138.	<i>Via Carta Parking Structure</i>
35.	Robert E. Kennedy Library	142A-C.	<i>Creekside Village</i>
35A.	<i>Academic Center Library Addition</i>	142D.	<i>Transit Center</i>
40.	Engineering South	143A-G.	<i>Northeast Academic Complex</i>
41A.	Grant M. Brown Engineering	144A-C.	<i>Math and Science</i>
41B.	Baldwin and Mary Reinhold Aerospace Engineering	150.	Poultry Science Instructional Center
41C.	Aero Propulsion Lab	151.	Facilities Operations Center
42.	Robert A. Mott Physical Education	152.	<i>University-Based Retirement Community</i>
42A.	Anderson Aquatic Center	153.	Bella Montana
42B.	<i>Robert A. Mott Athletics Center Expansion</i>	154A.	Animal Nutrition Center
43.	Recreation Center	155.	J and G Lau Family Meat Processing Center
44.	Alex and Faye Spanos Theatre	156.	JUSTIN and J. LOHR Center for Wine and Viticulture
45.	H. P. Davidson Music Center	159.	<i>Environmental Horticulture Science</i>
45A.	<i>Davidson Music Center Renovation/Addition</i>	160.	Baggett Stadium
46.	Old Natatorium	160A.	Dignity Health Baseball Clubhouse
47.	Faculty Offices North	161.	Bob Janssen Field
48X.	Leaning Pine Arboretum	163.	<i>Sports Complex Lower Fields</i>
50J.	Mount Bishop Warehouse	164.	Oppenheimer Equestrian Center
50K.	Communications Services Storage	170.	Cerro Vista Apartments
50L.	Rose Float Lab	171.	Poly Canyon Village
51.	University House	172.	yak?itvutu
53.	Science North	173.	<i>Student Housing</i>
55.	Beef Cattle Evaluation Center	174.	<i>Student Housing</i>
55E.	<i>Beef Cattle Evaluation Center Expansion</i>	175.	<i>Student Housing</i>
56.	Swine Unit	176.	<i>Faculty and Staff Workforce Housing</i>
57.	Veterinary Hospital	177.	<i>Student Housing</i>
60.	Crandall Gymnasium	178.	<i>Student Housing</i>
61.	Alex G. Spanos Stadium	179.	<i>Student Housing</i>
61A.	<i>Alex G. Spanos Stadium Expansion</i>	180.	Warren J. Baker Center for Science and Mathematics
62.	<i>Spanos Athletic Facility</i>	181.	William and Linda Frost Center for Research and Innovation
65.	Julian A. McPhee University Union	182A-B.	<i>Student Support Services</i>
75.	Mustang Substation	184A-C.	<i>South Via Carta Academic Complex</i>
76.	Old Power House	186.	Construction Innovations Center
77.	Rodeo Arena.	187.	Simpson Strong-Tie Material Demonstration Lab
77A.	<i>Rodeo Support Facilities.</i>	191.	<i>Engineering Projects Building</i>
81.	Hillcrest	192.	Engineering IV
82.	Corporation Warehouse	193.	<i>Northwest Polytechnic Center</i>
82D.	<i>IT Services Consolidation</i>	197.	Bonderson Engineering Project Center
83.	Technology Park	271.	Village Drive Parking Structure
84.	<i>Technology Park Expansion</i>	371.	Canyon Circle Parking Structure
105.	Trinity Hall	371B.	University Housing Depot

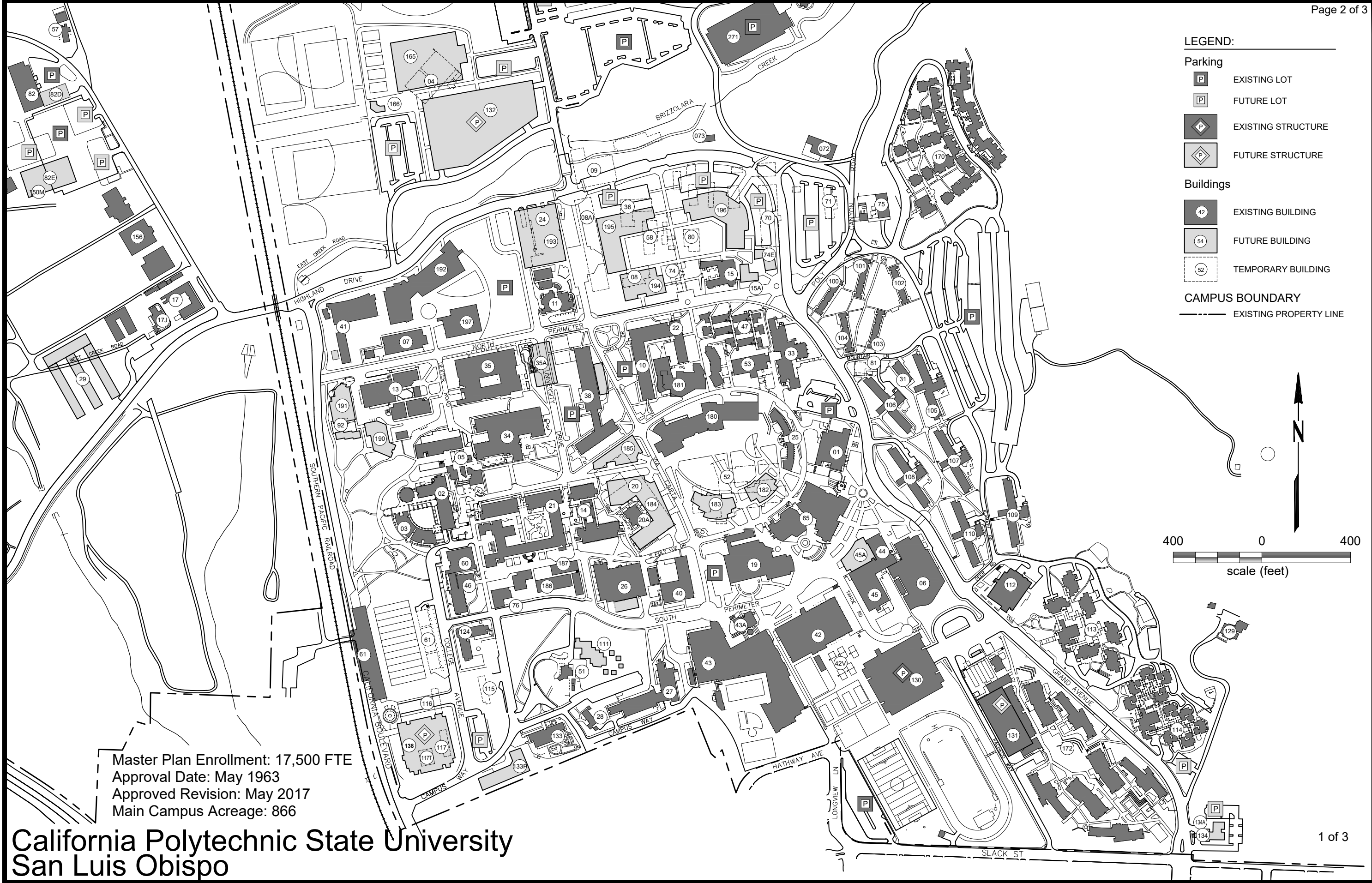


- LEGEND:**
- Parking**
- EXISTING LOT
 - FUTURE LOT
 - EXISTING STRUCTURE
 - FUTURE STRUCTURE
- Buildings**
- EXISTING BUILDING
 - FUTURE BUILDING
 - TEMPORARY BUILDING
- CAMPUS BOUNDARY**
- EXISTING PROPERTY LINE



Master Plan Enrollment: 17,500 FTE
Approval Date: May 1963
Approved Revision: May 2017
Main Campus Acreage: 866

California Polytechnic State University San Luis Obispo



LEGEND:

Parking

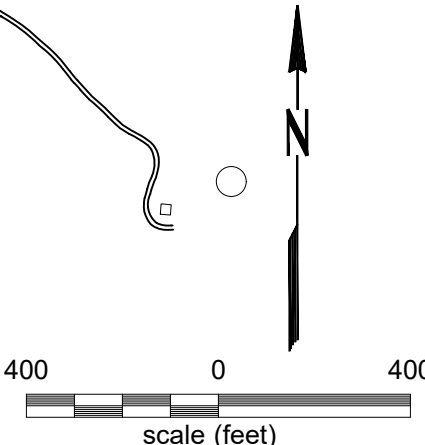
- EXISTING LOT (P in square)
- FUTURE LOT (P in square)
- EXISTING STRUCTURE (P in diamond)
- FUTURE STRUCTURE (P in diamond)

Buildings

- EXISTING BUILDING (42 in square)
- FUTURE BUILDING (54 in square)
- TEMPORARY BUILDING (52 in square)

CAMPUS BOUNDARY

- EXISTING PROPERTY LINE (dashed line)



Master Plan Enrollment: 17,500 FTE
Approval Date: May 1963
Approved Revision: May 2017
Main Campus Acreage: 866

California Polytechnic State University San Luis Obispo

Master Plan Enrollment: 17,500 FTE

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Master Plan approved by the Board of Trustees: May 1963

Master Plan Revision approved by the Board of Trustees: May 2017

LEGEND: Existing Facility / Proposed Facility

1. Administration	47. Faculty Offices North	127. Escuela Ranch Beef Center
2. Cotchett Education Building	48. Environmental Horticultural Science	129. Avila Ranch
3. Business	50J. Mount Bishop Warehouse	130. Grand Avenue Parking Structure
4. Research Development Center	50K. Communications Services Storage	131. Student Housing South Parking Structure
5. Architecture & Environmental Design	50L. Rose Float Lab	132. <i>Parking Structure 3</i>
6. Christopher Cohan Center	51. University House	133. Orfalea Family and ASI Children's Center
7. Advanced Technology Laboratories	52. Science	133F. <i>Children's Center Addition</i>
8. Bioresource and Agricultural Engineering	53. Science North	134. Visitor Information
8A. Bioresource and Agricultural Engineering Shop	55. Beef Cattle Evaluation Center	134A. <i>Visitor Center</i>
9. Farm Shop	56. Swine Unit	138. <i>Parking Structure 4</i>
10. Alan A. Erhart Agriculture	57. Veterinary Hospital	150. Poultry Science Instructional Center
11. Agricultural Sciences	58. Welding	151. <i>New Corporation Yard</i>
13. Engineering	60. Crandall Gymnasium	152. <i>Faculty / Staff Housing North</i>
14. Frank E. Pilling Building	61. Alex G. Spanos Stadium	153. Bella Montana
15. Cal Poly Corporation Administration	65. Julian A. McPhee University Union	154A. Animal Nutrition Center
15A. <i>Cal Poly Corporation Administration Addition</i>	70. Facilities	155. J & G Lau Family Meat Processing Center
16. Beef Unit	71. Transportation Services	156. JUSTIN and J. LOHR Center for Wine & Viticulture
17. Crop Science	72. Plant Conservatory	160. Baggett Stadium
17J. Crop Science Lab	73. Eucalyptus House	160A. Dignity Health Baseball Clubhouse
18. Dairy Science	74. Building 74	161. Bob Janssen Field
18A. Leprino Foods Dairy Innovation Institute	74E. <i>University Police</i>	164. <i>Agriculture Pavilion</i>
19. Dining Complex	75. Mustang Substation	165. <i>Athletic Field House</i>
20. Engineering East	76. Old Power House	166. <i>Athletic Field Facility</i>
20A. Bert and Candace Forbes Center for Engineering Excellence	77. Rodeo Arena	170. Cerro Vista Apartments
21. Engineering West	80. Environmental Health & Safety	171. Poly Canyon Village
22. English	81. Hillcrest	172. yak?itvutu Housing Complex
24. Food Processing	82. Corporation Warehouse	180. Warren J Baker Center for Science and Mathematics
25. Faculty Offices East	82D. <i>Corporation Warehouse Addition</i>	181. Science and Agriculture Teaching and Research Complex
26. Graphic Arts	82E. <i>New Farm Shop/Transportation Services</i>	182. <i>Centennial Building 2</i>
27. Health Center	83. Technology Park	183. <i>Centennial Building 3</i>
28. Albert B. Smith Alumni and Conference Center	92. Poly Grove	184. <i>Engineering East Replacement Building</i>
29. <i>Plant Science</i>	95. Architectural Canyon	185. <i>Centennial Building 5</i>
31. University Housing	100. Shasta Hall	186. Construction Innovations Center
32A-M. Oppenheimer Family Equine Center	101. Diablo Hall	187. Simpson Strong-Tie Material Demonstration Lab
33. Clyde P. Fisher Science Hall	102. Palomar Hall	190. <i>Architecture 3</i>
34. Walter F. Dexter Building	103. Whitney Hall	191. <i>Northwest Polytechnic Center</i>
35. Robert E. Kennedy Library	104. Lassen Hall	192. Engineering IV
35A. <i>Academic Center and Library</i>	105. Trinity Hall	193. <i>Center for Technology/Enhanced Learning</i>
36. University Police	106. Santa Lucia Hall	194. <i>Agriculture Learning Center</i>
38. Mathematics and Science	107. Muir Hall	195. <i>Northeast Polytechnic Center 1</i>
40. Engineering South	108. Sequoia Hall	196. <i>Northeast Polytechnic Center 2</i>
41. Engineering III	109. Fremont Hall	197. Bonderson Engineering Project Center
42. Robert A. Mott Physical Education	110. Tenaya Hall	271. Village Drive Parking Structure
42V. Mustang Beach Volleyball Complex	111. <i>Alumni Center/Professional Development Conference Center</i>	371. Canyon Circle Parking Structure
43. Recreation Center	112. Vista Grande Replacement	400. Gold Tree PV
43A. Kinesiology	113. Sierra Madre Hall	
44. Alex and Faye Spanos Theatre	114. Yosemite Hall	
45. H. P. Davidson Music Center	115. Chase Hall	
45A. <i>Davidson Music Center Addition</i>	116. Jespersen Hall	
46. Old Natatorium	117. Heron Hall	
	117T. CAD Research Center	
	121. Cheda Ranch	
	122. Parker Ranch	
	123. Peterson Ranch	
	124. Student Services	
	125. Serrano Ranch	
	126. Chorro Creek Ranch	

AGENDA

COMMITTEE ON GOVERNMENTAL RELATIONS

Meeting: 12:30 p.m., Tuesday, May 12, 2020
Virtually via Teleconference

Silas H. Abrego, Chair
Juan F. Garcia, Vice Chair
Douglas Faigin
Debra S. Farar
Jean P. Firstenberg
Jeffrey R. Krinsk
Jack McGrory
Romey Sabalius

Consent 1. Approval of Minutes of the Meeting of March 24, 2020, *Action*
Discussion 2. State Legislative Update, *Information*

**MINUTES OF THE MEETING OF
COMMITTEE ON GOVERNMENTAL RELATIONS**

**Trustees of the California State University
Office of the Chancellor
Glenn S. Dumke Auditorium*
401 Golden Shore
Long Beach, California**

March 24, 2020

Members Present

Silas H. Abrego, Chair
Juan F. Garcia, Vice Chair
Douglas Faigin
Debra S. Farar
Jean P. Firstenberg
Jeffrey R. Krinsk
Jack McGrory
Romey Sabalius
Adam Day, Chair of the Board
Timothy P. White, Chancellor

Trustee Abrego called the meeting to order.

Approval of Minutes

The minutes of January 28, 2020, were approved as submitted.

State Legislative Update

Trustee Romey Sabalius asked for the item to be pulled from the consent agenda for discussion.

Mr. Garrett Ashley, vice chancellor for university relations and advancement, reported that staff met with the chair and vice chair of the governmental relations committee prior to this meeting and presented their recommended positions on pending legislation and supporting analysis, as specified in the board's legislative principles.

***PLEASE NOTE: Due to the Governor's proclamation of a State of Emergency resulting from the threat of COVID-19, and pursuant to the Governor's Executive Orders N-25-20 and N-29-20 issued on March 12, 2020 and March 17, 2020, respectively, all members of the Board of Trustees may participate in meetings remotely, either by telephonic or video conference means. Out of consideration for the health, safety and well-being of the members of the public and the Chancellor's Office staff, the March 24, 2020 meeting of the CSU Board of Trustees was conducted entirely virtually via Zoom teleconference.**

Gov. Rel.
Agenda Item 1
May 12, 2020
Page 2 of 2

More than 2,300 new bills have been introduced in the legislature since the January board meeting. The Advocacy and State Relations team has engaged with experts in the Chancellor's Office to produce this initial analysis, giving special attention to legislation that directly impacts the CSU.

Ms. Nichole Muñoz-Murillo, assistant vice chancellor for advocacy and state relations, provided an explanation on the different positions.

In the interest of time, Chair Day asked Trustee Sabalius if he had a specific question. Trustee Sabalius asked for staff to comment on SB 1083, which he believes is a successor to SB 660. Chair Day asked for this to be brought back at a future meeting.

Trustee Abrego adjourned the meeting.

COMMITTEE ON GOVERNMENTAL RELATIONS

State Legislative Update

Presentation By

Garrett P. Ashley
Vice Chancellor
University Relations and Advancement

Nichole Muñoz-Murillo
Assistant Vice Chancellor
Advocacy and State Relations

Summary

This report provides an update on the status of legislation that most directly impacts the CSU. This report is organized as follows:

- Bills introduced in 2020
- Bills introduced in 2019

All bill summaries are accurate as of April 28, 2020.

Background

On March 19, Governor Newsom issued a shelter-in-place order for all Californians to minimize the effects of COVID-19. In response to this action, the Senate and the Assembly recessed and members returned to their districts, cancelling policy and fiscal committee hearings. Consequently, few bills introduced in 2020 have been heard in policy committees.

The Assembly is expected to return from recess on May 4, while the Senate is expected to return on May 11. It is anticipated that when the Legislature reconvenes, they will adopt a modified legislative calendar and limit each policy committee to holding only one hearing to consider legislation introduced in 2020.

Legislative leaders have conveyed that the priority for this session will be the state's response to COVID-19 and a limited number of other immediate issues, such as wildfires and homelessness. Members have been requested to evaluate their bill list in light of these priorities. As a result, members are reviewing and prioritizing their bill package. It is anticipated that there will be a substantial reduction in the number of bills that will advance this year.

Bills Introduced in 2020

Senate Bills

SB 874 (Hill) – Public Postsecondary Education: Community Colleges: Statewide Baccalaureate Degree Pilot Program

This bill makes the community college baccalaureate degree pilot program permanent, removes the limit of 15 programs statewide, and expands community college requirements during the review consultation and approval process. It maintains the prohibition on duplication of programs and program curricula with the CSU and UC.

- **CSU Position:** Pending
- **Status:** This bill was referred to the Senate Education Committee.

SB 1083 (Pan) – Postsecondary Education: Mental Health Counselors

This bill states legislative intent to require that every CSU and CCC campus maintain a ratio of one full-time mental health counselor with a valid license per 1,500 students enrolled.

- **CSU Position:** Pending
- **Status:** This bill was referred to the Senate Rules Committee.

SB 1211 (Glazer) – Public Postsecondary Education: California Promise Program: CSU Students

This bill requires campuses participating in the California Promise pilot program, effective 2021-2022, to have at least 5% of each incoming class participate in the CA Promise program and that 70% of participating students be either low-income, first-generation or from underrepresented communities.

- **CSU Position:** Pending
- **Status:** Hearing postponed by the Senate Education Committee.

SB 1444 (Durazo) – CSU: Food Service Contracts and Hotel Development Projects

This bill prohibits a CSU campus from entering into a contract for food services or with a hotel if the vendor does not have a labor peace agreement.

- **CSU Position:** Oppose
- **Status:** This bill was referred to the Senate Education Committee.

Assembly Bills

AB 1836 (Quirk-Silva) – Public Postsecondary Education: CSU: Reporting

This bill requires the CSU to annually report on specified parking and transportation-related information and discretionary account information.

- **CSU Position:** Pending
- **Status:** Hearing postponed by the Assembly Higher Education Committee.

AB 1862 (Santiago) – Public Postsecondary Education: CSU: Tuition

This bill prohibits the CSU from charging mandatory systemwide tuition or fees for two academic years to any California Community College transfer student who has completed an Associate Degree for Transfer or received a fee waiver under the California College Promise while at the CCC.

- **CSU Position:** Oppose
- **Status:** Hearing postponed by the Assembly Higher Education Committee.

AB 1930 (Medina) – UC and CSU: Student Eligibility Policy

This bill requires the CSU and requests the UC to engage in a multi-step process when considering and/or changing the university's admissions requirements.

- **CSU Position:** Pending
- **Status:** Hearing postponed by the Assembly Higher Education Committee.

AB 1970 (Jones-Sawyer) – Public Postsecondary Education: Pilot Program for Free Tuition and Fees: Working Group

This bill establishes a working group to consider the creation of a pilot program that would provide free postsecondary education in the state. The working group would identify one or more public institutions for participation in the pilot program and determine a funding source that would be available for the first 15 to 20 years of the pilot program.

- **CSU Position:** Tracking
- **Status:** Hearing postponed by the Assembly Higher Education Committee.

AB 2023 (Chiu) – Education Equity: Student Records: Name and Gender Changes

This bill requires public universities to update a former student's records to include the student's updated legal name or gender if the student provides government-issued documents, and requires institutions to offer an option for graduating students to request their diploma be issued with a student's chosen name.

- **CSU Position:** Neutral
- **Status:** Hearing postponed by the Assembly Higher Education Committee.

AB 2114 (Rodriguez) – Higher Education Employer-Employee Relations Act: Procedures Relating to Employee Termination or Discipline

This bill requires a higher education employer to provide a procedure for all non-probationary employees, who are not members of the academic senate, to challenge a termination of employment or disciplinary action by the employer.

- **CSU Position:** Oppose
- **Status:** This bill was referred to the Assembly Public Employment and Retirement Committee.

AB 2175 (Gipson) – School Nurses: Credentialing

This bill authorizes the Commission on Teacher Credentialing to approve local education agencies (K-12) to offer school nurse credentialing programs.

- **CSU Position:** Oppose
- **Status:** Hearing postponed by the Assembly Education Committee.

AB 2176 (Holden) – Free Student Transit Passes: Eligibility for State Funding

This bill requires a transit agency that receives specified state funding to provide free service to CCC, CSU or UC students. The bill also prohibits public postsecondary institutions from charging a student for any free transit service.

- **CSU Position:** Support
- **Status:** This bill was referred to the Assembly Transportation and Higher Education Committees.

AB 2495 (Choi) – Public Postsecondary Education: Undergraduate Tuition and Mandatory Systemwide Fees

This bill requires the CSU Board of Trustees, and requests the UC Board of Regents, to utilize a cohort tuition model by restricting tuition increases for at least six years for each incoming class.

- **CSU Position:** Pending
- **Status:** Hearing postponed by the Assembly Higher Education Committee.

AB 2578 (Irwin) – Public Postsecondary Education: CSU: Proficiency Level of Entering Students

This bill expands existing reporting requirements to include specified information that assesses levels of college readiness and the CSU's plan to address equity gaps.

- **CSU Position:** Pending
- **Status:** Hearing postponed by the Assembly Higher Education Committee.

AB 2584 (Holden) – Student Athletes: Transfer

This bill forbids an institution of higher education from upholding any rule, requirement, standard or other limitation that prohibits a student athlete who transfers to that institution from participating in intercollegiate athletic competition immediately upon the student’s transfer.

- **CSU Position:** Pending
- **Status:** This bill was referred to the Assembly Committee on Arts, Entertainment, Sports, Tourism, and Internet Media and the Higher Education Committee.

AB 2776 (Lackey) – Community Colleges: Statewide Baccalaureate Degree Pilot Program

This bill grants permanent authority to the Antelope Valley Community College District to offer baccalaureate degree programs, including programs or curricula to meet local needs unmet by the same CSU or UC baccalaureate programs that are regularly at enrollment capacity.

- **CSU Position:** Oppose
- **Status:** Hearing postponed by the Assembly Higher Education Committee.

AB 2972 (Limón) – Public Postsecondary Education: Undocumented Students

This bill states legislative intent to establish a systemwide training program for public higher education administrators related to undocumented students.

- **CSU Position:** Pending
- **Status:** This bill was referred to the Assembly Higher Education Committee.

ACA 5 (Weber) – Government preferences

This amendment to the State’s Constitution repeals the provision that prohibits the state from discriminating against, or granting preferential treatment to, any individual or group on the basis of race, sex, color, ethnicity or national origin in the operation of public employment, public education or public contracting.

- **CSU Position:** Pending
- **Status:** Hearing postponed by the Assembly Public Employment and Retirement Committee.

Active Bills Introduced in 2019 (i.e. two-year bills)

Active Senate Bills

SB 2 (Glazer) – Statewide Longitudinal Student Database

This bill, subject to an appropriation, establishes the Statewide Longitudinal Student Database to collect and store individual student P-20 and workforce data, and creates a review committee that includes the CSU and other education leaders to advise on its establishment and administration.

- **CSU Position:** Tracking
- **Status:** This bill is in the Assembly Education Committee.

SB 3 (Allen) – Office of Higher Education Coordination, Accountability and Performance

This bill establishes the Office of Higher Education Coordination, Accountability and Performance for the purposes of statewide postsecondary education planning, oversight, data collection and coordination.

- **CSU Position:** Tracking
- **Status:** This bill is on the Assembly Appropriations Committee Suspense File.

SB 148 (Glazer) – Public Postsecondary Education: The California Promise: Student Success and On-time Completion Fund

This bill authorizes the trustees to provide specified grants to students who participate in the Promise program subject to the provisions of funding for this purpose. The bill also requires the CSU to waive systemwide tuition fees for a participating student unable to complete their degree within 4 years, due to limited space or no course offerings.

- **CSU Position:** Neutral
- **Status:** This bill is on the Assembly Appropriations Committee Suspense File.

SB 461 (Roth) – Student Financial Aid: Cal Grants: Summer Term Students

This bill creates a Summer Cal Grant award for eligible students to take up to nine units of courses during the summer term.

- **CSU Position:** Support
- **Status:** This bill is in the Assembly Higher Education Committee.

SB 493 (Jackson) – Education: Sex Equity

This bill requires colleges to have specified protections from sexual harassment in place for their students.

- **CSU Position:** Oppose Unless Amended
- **Status:** This bill is on the Assembly Appropriations Committee Suspense File.

SB 660 (Pan) – Postsecondary Education: Mental Health Counselors

This bill requires the CSU Board of Trustees and each community college district to adopt a goal of having a ratio of one mental health counselor per every 1,500 students. The bill also defines mental health counselor and contains reporting requirements.

- **CSU Position:** Oppose
- **Status:** This bill is on the Assembly Appropriations Committee Suspense File.

SB 776 (Skinner) – College Admissions: Criminal History Inquiry: Prohibition

This bill prohibits colleges from inquiring about a prospective student’s criminal history during the admissions process.

- **CSU Position:** Pending
- **Status:** This bill is at the Assembly Desk.

Active Assembly Bills

AB 313 (Frazier) – Road Maintenance and Rehabilitation Account: UC and CSU Reports

This bill requires the UC and the CSU to annually submit a report detailing expenditures for state funded transportation research to the Transportation Agency and legislature.

- **CSU Position:** Neutral
- **Status:** This bill is in the Senate Rules Committee.

AB 369 (Weber) – CSU: Support Staff Employees: Merit Salary Adjustments

This bill requires the CSU to use existing resources to provide a 5% annual step in salary to each support staff employee and incorporate said provision into any pertinent collective bargaining agreement entered into or renewed by the CSU, and sunsets these provisions in July 2030.

- **CSU Position:** Oppose
- **Status:** This bill is on the Senate Inactive File.

AB 930 (Gloria) – CSU: Executive Compensation: Campus Budget Quarterly Reporting

This bill prohibits the CSU Board of Trustees from considering an increase in executive compensation in a year when student tuition has increased.

- **CSU Position:** Oppose
- **Status:** This bill was held on the Senate Appropriations Committee Suspense File.

AB 1155 (Rodriguez) – Postsecondary Education: Campus-Affiliated Sorority and Fraternity Transparency Act

This bill requires each higher education institution to annually collect information from each sorority and fraternity and to make the information available on the institution’s website.

- **CSU Position:** Pending
- **Status:** This bill is in the Senate Rules Committee.

AB 1229 (Wicks) – End Foster Youth Student Hunger in California Act of 2019

This bill establishes the Transition Age Foster Youth Meal Plan Program, to be administered by the Student Aid Commission, to provide foster youth enrolled at a public postsecondary educational institution with a monetary award equal to the cost of campus-based fees and a campus meal plan.

- **CSU Position:** Tracking
- **Status:** This bill was held on the Senate Appropriations Committee Suspense File.

AB 1314 (Medina) – Student Financial Aid: Cal Grant Reform Act

The bill enacts legislation, known as the Cal Grant Reform Act, to accomplish specified goals as it pertains to expanding the eligibility and duration of Cal Grant awards.

- **CSU Position:** Pending
- **Status:** This bill is in the Senate Education Committee.

AB 1460 (Weber) – CSU: Graduation Requirement: Ethnic Studies

This bill requires CSU students to complete a 3-unit course in ethnic studies in order to graduate.

- **CSU Position:** Oppose
- **Status:** This bill is on the Senate Floor.

AGENDA

COMMITTEE ON FINANCE

Meeting: 1:00 p.m., Tuesday, May 12, 2020
Virtually via Teleconference

Lillian Kimbell, Chair
Jack McGrory, Vice Chair
Larry L. Adamson
Jane W. Carney
Rebecca D. Eisen
Juan F. Garcia
Hugo N. Morales
Romey Sabalius
Lateefah Simon
Peter J. Taylor

- Consent**
1. Approval of Minutes of the Meeting of March 24, 2020, *Action*
 2. California State University Quarterly Investment Report, *Information*
- Discussion**
3. California State University Fiscal Status Report, *Information*
 4. California State University Doctorate of Occupational Therapy Tuition, *Information*

**MINUTES OF THE MEETING OF THE
COMMITTEE ON FINANCE**

**Trustees of the California State University
Office of the Chancellor
Glenn S. Dumke Auditorium*
401 Golden Shore
Long Beach, California**

March 24, 2020

Members Present

Lillian Kimbell, Chair
Jack McGrory, Vice Chair
Larry L. Adamson
Jane W. Carney
Rebecca D. Eisen
Juan F. Garcia
Hugo N. Morales
Romey Sabalius
Lateefah Simon
Peter J. Taylor
Adam Day, Chair of the Board
Timothy P. White, Chancellor

Trustee Lillian Kimbell called the meeting to order.

Public Comment

No public comment was made relating to this committee.

Approval of Minutes and Consent Agenda

The minutes of the January 28, 2020 meeting of the Committee on Finance and consent agenda were approved as submitted.

Trustee Kimbell adjourned the meeting of the Finance Committee.

***PLEASE NOTE: Due to the Governor's proclamation of a State of Emergency resulting from the threat of COVID-19, and pursuant to the Governor's Executive Orders N-25-20 and N-29-20 issued on March 12, 2020 and March 17, 2020, respectively, all members of the Board of Trustees may participate in meetings remotely, either by telephonic or video conference means. Out of consideration for the health, safety and well-being of the members of the public and the Chancellor's Office staff, the March 24, 2020 meeting of the CSU Board of Trustees was conducted entirely virtually via Zoom teleconference.**

COMMITTEE ON FINANCE

California State University Quarterly Investment Report

Presentation By

Steve Relyea
Executive Vice Chancellor and
Chief Financial Officer

Robert Eaton
Assistant Vice Chancellor
Financing, Treasury, and Risk Management

Summary

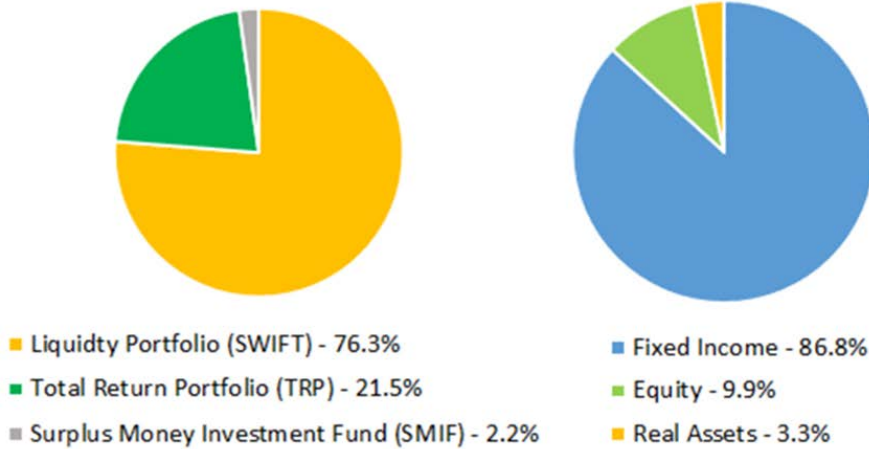
This item provides the quarterly investment report to the California State University Board of Trustees for the fiscal quarter ended December 31, 2019. The information in Attachment A provides the entire quarterly investment report regarding CSU investments as required by Education Code 89726.

Background

Pursuant to the California State University Master Investment Policy (included as Attachment B) CSU investments as of December 31, 2019 consisted of investments in the Liquidity Portfolio (Systemwide Investment Fund Trust or SWIFT), the Total Return Portfolio (TRP), and the State of California Surplus Money Investment Fund (SMIF). Except for amounts held at the State in SMIF, all CSU investments are held by US Bank, the custodian bank for the CSU. Neither state general fund nor CSU auxiliary funds are included in CSU investments. In addition, this report does not include approximately \$1.14 billion in bond proceeds, which by state law are required to be held by the state and are invested in SMIF.

**CSU Investments – Balances, Allocations, and Returns
 December 31, 2019**

	<u>Balance</u>	<u>% of CSU Investments</u>	<u>Twelve Month Returns</u>
Liquidity Portfolio (SWIFT)	\$3.487 billion	76.3%	3.05%
Total Return Portfolio (TRP)	\$985.1 million	21.5%	19.99%
Surplus Money Investment Fund (SMIF)	\$101.1 million	2.2%	2.03%
CSU Investments	\$4.57 billion	100%	



CSU Investment Portfolios

For detailed information on the investment performance and characteristics of the CSU investment portfolios please see Attachment A.

CSU Liquidity Portfolio (Systemwide Investment Fund Trust or SWIFT)

The purpose of the Liquidity Portfolio is to provide sufficient and immediate liquidity to meet the operating needs of the CSU. The investment objective is safety of principal and liquidity.

The Liquidity Portfolio is managed through contracts with two investment management firms, US Bancorp Asset Management and Wells Capital Management, each of whom provides investment management services for the program. While the custodian, US Bank, holds the funds invested in the Liquidity Portfolio, for investment management purposes additions to the portfolio are split evenly between the investment managers and invested according to permitted investments outlined in the Government Code of the State and the Liquidity Portfolio investment policy. Consistent with state law, the Liquidity Portfolio is restricted to high quality, fixed income securities.

CSU Total Return Portfolio (TRP)

Legislation effective January 1, 2017, expanded the CSU investment authority to allow investment in mutual funds (including equity mutual funds) and real estate investment trusts. The Total Return Portfolio was created to take advantage of the new investment authority.

The purpose of the TRP is to provide opportunity for additional risk adjusted returns on CSU funds over a full market cycle. The investment objective is to achieve a prudent return within a moderate risk level.

Under State law, investment of funds in the TRP is subject to the CSU meeting certain conditions regarding investment oversight, reporting, and use of earnings, and may not be more than thirty percent of eligible CSU investments. The CSU Investment Advisory Committee (IAC), established by the Board of Trustees in September 2017, oversees the TRP and focuses on key issues such as investment policy, asset allocation, investment manager oversight, and investment performance.

The TRP investment policy provides a framework for the investment of portfolio funds in the TRP and includes the following key elements as further described in the TRP Investment Policy:

Investment Objectives	Investment Manager Selection
Spending Policy	Roles & Responsibilities
Time and Investment Horizon	Environmental, Social and Governance Framework
Risk Tolerance	Risk Management
Expected Return	Monitoring and Control Procedures
Asset Allocation	
Benchmarks	

The IAC has adopted an investment schedule for the TRP that utilizes a dollar-cost averaging approach and provides regular monthly contributions to the TRP. An initial investment of \$33.5 million into the TRP was made on April 1, 2018, with the goal of reaching the fiscal year 2018-2019 statutory limit of \$600 million in the first half of 2019. Since June 30, 2019, a new investment schedule was adopted by the IAC and staff, with the goal of funding the TRP to as much as 30 percent of CSU investments by mid-2020. The investment schedule may also be adjusted by the IAC at any time depending on market conditions.

Since the TRP inception date¹ through December 31, 2019, the TRP investment earnings were approximately \$85.1 million. During this period, the TRP total return exceeded the Liquidity Portfolio (SWIFT) total return by 6.65 percent annualized (net of fees) or \$64.8 million cumulative during the time period.

¹ The TRP inception date was April 1, 2018.

Finally, in October 2019, the IAC approved the first annual TRP Spending Dividend of approximately \$22.4 million which was allocated to the campuses for capital outlay or maintenance. Consistent with state law and specifically, Education Code 89726, additional moneys earned through investments in the TRP shall be used only for capital outlay or maintenance and shall not be used for ongoing operations.

Surplus Money Investment Fund (SMIF)

The State Treasurer also provides investment vehicles that may be used for CSU funds. The Surplus Money Investment Fund (SMIF) is used by the State Treasurer to invest state funds, or funds held by the state on behalf of state agencies, in a short-term pool. In order to facilitate certain expenditures, the CSU maintains small amounts of funds with the State. The portfolio includes Certificates of Deposit, Treasuries, Commercial Paper, Corporate Bonds, and U.S. Government Agencies.

Next Steps

Staff will continue implementing the TRP investment schedule with oversight from the IAC. Staff is also working on developing the Intermediate Duration Portfolio, consistent with the CSU Master Investment Policy, however the implementation timeline for this portfolio has been delayed due to developments related to COVID-19. The next investment report is scheduled for the September 2020 Board of Trustees meeting and will provide information on the fiscal quarter ending March 31, 2020.

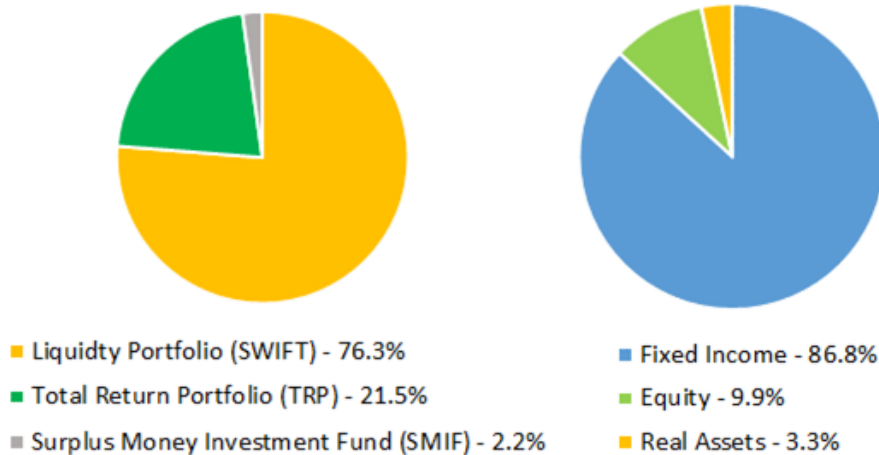
CSU Quarterly Investment Report

For the Fiscal Quarter Ended December 31, 2019

CSU investments as of December 31, 2019 consisted of investments in the CSU Liquidity Portfolio (Systemwide Investment Fund Trust or SWIFT), the Total Return Portfolio (TRP), and the State of California Surplus Money Investment Fund (SMIF). All CSU investments (except for funds invested in SMIF) are held by US Bank, the custodian bank for the CSU. Neither state general fund nor CSU auxiliary funds are included in CSU investments. In addition, this report does not include approximately \$1.14 billion in bond proceeds, which by state law are required to be held by the state and are invested in SMIF.

Balances and Allocations as of December 31, 2019

	Balance	% of CSU Investments
Liquidity Portfolio (SWIFT)	\$3.487 billion	76.3%
Total Return Portfolio (TRP)	\$985.1 million	21.5%
Surplus Money Investment Fund (SMIF)	\$101.1 million	2.2%
CSU Investments	\$4.57 billion	100%



For the quarter ended December 31, 2019, direct investment management fees¹, advisory, and custodial fees totaled just over \$1.1 million, or about 0.024 percent (2.4 basis points) on CSU investments total balance as of December 31, 2019.

¹ Direct investment management fees exclude TRP mutual fund investment management fees. TRP mutual fund investment management fees are included as mutual fund expenses and reported as a percent of total fund assets. See TRP Fund Expense Ratio (Fee) in the table on page 4.

CSU Consolidated Investment Portfolio Returns

The performance returns below are for the CSU Consolidated Investment Portfolio which includes the Liquidity Portfolio (SWIFT) and the Total Return Portfolio (TRP).²

	<u>CSU Consolidated Investment Portfolio</u>
1 Year Annualized Return ³	4.98%
3 Year Annualized Return	2.37%
5 Year Annualized Return	1.74%
10 Year Annualized Return	1.17%
Annualized Since Inception Return ⁴	1.54%

CSU Liquidity Portfolio (Systemwide Investment Fund Trust or SWIFT)

The purpose of the Liquidity Portfolio is to provide sufficient and immediate liquidity to meet the operating needs of the CSU. The investment objective is safety of principal and liquidity. Consistent with state law, the portfolio is restricted to high quality, fixed income securities.

	<u>CSU Liquidity Portfolio</u>	<u>Benchmark⁵</u>
1 Year Annualized Return ⁶	3.05%	3.25%
3 Year Annualized Return	1.91%	1.83%
5 Year Annualized Return	1.47%	1.34%
10 Year Annualized Return	1.03%	1.08%
Annualized Since Inception Return ⁷	1.43%	1.68%
Yield	1.75%	1.62%
Duration (Years)	1.13	1.48
Average Credit Rating	AA-	Aaa/AA+

Holdings by Asset Type (% of CSU Liquidity Portfolio):

Treasuries	42.72%	CD's and Cash Equivalents	6.94%
U.S. Corporate Bonds	28.26%	Asset-Backed Securities	2.16%
U.S. Government Agencies	19.84%	Municipal Obligations	0.08%

² CSU Consolidated Investment Portfolio returns will also include the Intermediate Duration Portfolio (IDP) once this portfolio is inception. CSU Consolidated Investment Portfolio returns exclude SMIF.

³ CSU Consolidated Investment Portfolio Returns reported gross of fees and as total return, including income and gains (realized and unrealized).

⁴ Inception Date for the CSU Consolidated Investment Portfolio (SWIFT) was July 1, 2007.

⁵ Benchmark for the CSU Liquidity Portfolio (SWIFT) is the Bank of America Merrill Lynch 0-3 Year Treasury Index.

⁶ CSU Liquidity Portfolio (SWIFT) Returns reported gross of fees and as total return, including income and gains (realized and unrealized).

⁷ Inception Date for the CSU Liquidity Portfolio (SWIFT) was July 1, 2007.

CSU Total Return Portfolio (TRP)

The purpose of the Total Return Portfolio is to provide opportunity for additional risk adjusted returns on CSU funds over a full market cycle. The investment objective is to achieve a prudent return within a moderate risk level. Consistent with state law, the TRP is invested in mutual funds subject to registration by, and under the regulatory authority of the United States Securities and Exchange Commission or in United States registered real estate investment trusts.

	CSU Total Return Portfolio	Strategic Benchmark⁸	Policy Benchmark⁹
3 Months Return	4.91%	1.26%	5.14%
1 Year Return	19.99%	6.85%	19.53%
Annualized Since Inception Return ¹⁰	9.32%	6.42%	8.00%

Since the TRP Inception date through December 31, 2019, the TRP investment earnings were approximately \$85.1 million. During this period, the TRP total return exceeded the Liquidity Portfolio (SWIFT) total return by 6.65% annualized (net of fees) or \$64.8 million cumulative during the time period.

Finally, in October 2019, the CSU Investment Advisory Committee approved the first annual TRP Spending Dividend of approximately \$22.4 million. The TRP Spending Dividend was allocated to the campuses for capital outlay or maintenance in November 2019. Consistent with state law and specifically, Education Code 89726, additional moneys earned through investments in the TRP shall be used only for capital outlay or maintenance, and shall not be used for ongoing operations.

Holdings by Asset Type (% of CSU Total Return Portfolio):

Equity Mutual Funds	46.1%	Passive Index Mutual Funds	74%
Fixed Income Mutual Funds	38.8%	Actively Managed Mutual Funds	26% ¹¹
Real Asset Mutual Funds	15.1%		

⁸ The TRP Strategic Benchmark is Inflation (Core Consumer Price Index) plus 4.5% per annum. The long-term rate of inflation is assumed at 2.5% per annum.

⁹ The TRP Policy Benchmark is a blend of passive indices whose weights match the TRP target asset allocation.

¹⁰ TRP Inception Date was April 1, 2018.

¹¹ The percent of Actively Managed Mutual Funds is likely to increase in the future while the percent of Passive Index Mutual Funds would decrease consistent with the TRP implementation plan.

Values, Holdings & Fees (CSU Total Return Portfolio)

Asset Class	Strategy Name	Ticker	Value (millions)	% of Total Return Portfolio	TRP Fund Expense Ratio (Fee)	Universe Median Expense Ratio (Fee)
Fixed Income						
	Vanguard Total Bond Market Index Fund	VBMPX	215.5	22%	0.030%	0.450%
	Vanguard Inflation-Protected Securities Fund	VIPIX	48.9	5%	0.070%	0.450%
	Lord Abbett High Yield Fund	LHYOX	59.0	6%	0.600%	0.720%
	Pacific Funds Floating Rate Income Fund	PLFRX	29.3	3%	0.720%	0.755%
	Payden Emerging Markets Bond Fund	PYEIX	14.7	1.5%	0.690%	0.850%
	T. Rowe Emerging Markets Bond Fund	TREBX	14.7	1.5%	0.700%	0.850%
Equity						
	Vanguard Total Stock Market Index Fund	VSMPX	236.4	24%	0.020%	0.760%
	Vanguard Developed Markets Index Fund	VDIPX	118.1	12%	0.040%	0.900%
	Driehaus Emerging Markets Growth Fund	DIEMX	59.8	6%	1.180%	1.150%
	DFA Emerging Markets Value Fund	DFEVX	29.6	3%	0.540%	1.150%
	RWC Global Emerging Equity Fund	RWCEX	10.0	1%	1.250%	1.150%
Real Assets						
	Vanguard Real Estate Index Fund	VGSNX	69.8	7%	0.100%	0.900%
	Vanguard Energy Index Fund	VENAX	20.0	2%	0.100%	0.990%
	Vanguard Materials Index Fund	VMIAX	19.8	2%	0.100%	0.950%
	First State Global Listed Infrastructure Fund	FLIIX	39.3	4%	0.950%	0.990%
Cash			0.2	0%	NA	NA
Total			985.1	100%		

Surplus Money Investment Fund (SMIF)

The Surplus Money Investment Fund (SMIF) is managed by the State Treasurer to invest State funds, or funds held by the State on behalf of State agencies, in a short-term pool. The portfolio includes Certificates of Deposit, Treasuries, Commercial Paper, Corporate Bonds, and U.S. Government Agencies.

<u>Apportionment Annual Yield¹²</u>	
Trailing 12 month as of 12/31/19	2.03%
Average (FYE 06/30/07 – FYE 12/31/19)	1.14%

¹² Annual Yield calculated by CSU Treasury Operations based on the quarterly apportionment yield rates published by the State Controller's Office.



Master Investment Policy For The California State University

Approved on November 8, 2017
By The Board of Trustees of The California State University

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I. Scope and Purpose

This California State University Master Investment Policy (“MIP”) governs the investment of California State University (“CSU”) funds. CSU investments are centrally managed on behalf of the CSU System and its campuses.

The purpose of the MIP, together with investment policies that govern individual Portfolios (as defined in Section IV) and are created pursuant to delegated authority contained herein, is to provide a framework for the investment of CSU funds consistent with the goals of the CSU Board of Trustees (the “Board”) and the educational mission of the CSU.

The MIP sets forth objectives, guidelines, and responsibilities that the Board deems to be appropriate and prudent in consideration of the needs of, and the legal requirements applicable to, the CSU’s investment program. The MIP is also intended to ensure that the Board, and any parties to whom the Board delegates authority, are fulfilling their fiduciary responsibilities in the oversight of CSU investments.

The MIP is a dynamic document and will be reviewed from time to time. The MIP will be modified, if necessary, to reflect the changing nature of the CSU’s assets and investment program, organizational objectives, and economic conditions.

II. Compliance with Law and Adherence to Policy

CSU investments are to be managed in full compliance with all applicable laws, rules, and regulations from various local, state, federal, and international political entities that may impact the CSU’s assets, including but not limited to the provisions of the California Education Code and California Government Code applicable to the investment of CSU funds, and in accordance with the policy objectives, guidelines, and responsibilities expressed herein.

III. Background and Investment Objectives

The investment objectives for the investment of CSU funds have been established in conjunction with a comprehensive review of current and projected financial requirements. The Board desires to provide the Chancellor, the Chancellor’s Staff, and the IAC (as defined in Section V) with the greatest possible flexibility to maximize investment opportunities. However, as agents of the Board, the Chancellor, the Chancellor’s Staff, and the IAC must recognize the fiduciary responsibility of the Board to conserve and protect the assets of the CSU investment program, and, by prudent management, prevent exposure to undue and unnecessary risk.

The following objectives shall govern the investment of CSU funds:

1. Safeguard the principal.
2. Meet the liquidity needs of the CSU.
3. Obtain the best possible return commensurate with the degree of risk the CSU is willing to assume in obtaining such return.

The Board acknowledges that these objectives may be weighted or prioritized differently for individual Portfolios depending upon the purpose of the Portfolio.

IV. Investment Portfolios

Consistent with its investment objectives, the Board has determined that CSU funds may be invested in three investment portfolios (individually, a “Portfolio” and together, the “Portfolios”) created by the CSU, with oversight by the Chancellor, the Chancellor’s Staff, and the IAC, and each with its own investment policy.

The three Portfolios and general purpose of each Portfolio are as follows:

Liquidity Portfolio (Systemwide Investment Fund—Trust or “SWIFT”)

The purpose of this Portfolio is to provide sufficient and immediate liquidity to meet the operating needs of the CSU. The investment objectives for this Portfolio shall be safety of principal and liquidity. The existing CSU Systemwide Investment Fund—Trust (SWIFT) shall serve as the Liquidity Portfolio and shall be comprised of investments authorized pursuant to California Government Code Sections 16330 or 16430.

Intermediate Duration Portfolio (IDP)

The purpose of this Portfolio is to provide opportunity for modest, additional risk adjusted returns on CSU funds not needed for immediate liquidity. The investment objectives for this Portfolio shall be safety of principal, liquidity and return. The Intermediate Duration Portfolio shall be comprised of investments authorized pursuant to California Government Code Sections 16330 or 16430.

Total Return Portfolio (TRP)

The purpose of this Portfolio is to provide opportunity for additional risk adjusted returns on CSU funds over a full market cycle. The investment objectives for this Portfolio shall be to achieve a prudent total return within a moderate risk level. The Total Return Portfolio shall be comprised of investments authorized pursuant to

California Government Code Sections 16330, or 16430 or California Education Code Sections 89724 or 89725.

The acceptable allocations for the Portfolios are as follows:

<u>Portfolio</u>	<u>Min – Target – Max</u>
Liquidity – Systemwide Investment Fund Trust (“SWIFT”)	5% - 20% - 100%
Intermediate Duration Portfolio (“IDP”)	0% - 52% - 95%
Total Return Portfolio (“TRP”)	0% - 28% - 30%¹

In addition, the CSU may invest any amount (from 0% to 100%), in any California State Treasury investment option, available now, or in the future, that the IAC and the Staff deem prudent, including, but not limited to:

- Surplus Money Investment Fund (SMIF)
- Local Agency Investment Fund (LAIF)

V. Roles and Responsibilities

Board of Trustees

The Board assumes fiduciary responsibility to conserve and protect the investment assets of the CSU, and by prudent management, to prevent exposure to undue and unnecessary risk. However, the Board also acknowledges investments are inherently risky with risk of loss and, as such, are viewed with a long-term time horizon.

As a fiduciary, the primary responsibilities of the Board are to:

1. Maintain and approve the MIP.

¹ Percentage allocations to the TRP are subject to annual phase-in restrictions through June 30, 2020 per state law.

2. Ensure that CSU investments are prudently diversified in order to obtain the best possible return commensurate with the degree of risk that the CSU is willing to assume.
3. Report annually to the California state legislature and the California Department of Finance regarding the investment of CSU funds.

The Board shall have oversight responsibility for investment of the assets and has delegated investment authority to the Chancellor, the Chancellor's Staff, and the IAC.

Chancellor and Chancellor's Staff

As agents of the Board, the Chancellor, the Executive Vice Chancellor and Chief Financial Officer, the Assistant Vice Chancellor responsible for CSU investments, and their designees (the "Chancellor's Staff"), recognize the fiduciary responsibility of the Board to conserve and protect the investment assets of the CSU and, by prudent management, to prevent exposure to undue and unnecessary risk.

The Chancellor and the Chancellor's Staff are hereby authorized to establish policies and procedures to implement the provisions of this MIP, including, but not limited to, the following activities:

1. Overseeing and implementing general administrative and investment operations for the Portfolios.
2. Informing the IAC on the overall investments of the CSU and each of the Portfolios to assist the IAC in fulfilling its duties.
3. Developing and implementing policies that are suitable for achieving the strategic objectives for each Portfolio, including coordination with the IAC in developing and implementing policies for the TRP.
4. Selecting, contracting with, and monitoring third party service providers, including, but not limited to, investment advisors, investment managers, and custodians. For the TRP, such actions will be based on the recommendations of the IAC.
5. Directing the investment of funds, including the ordering of purchase and sale transactions to, from and between the Portfolios to meet investment objectives and strategic asset allocations.

6. Monitoring and reviewing the performance of the Portfolios to their stated objectives.
7. Reporting to the Board regarding the investment of CSU funds as requested, but no less than quarterly.
8. Controlling and accounting for all investment, record keeping, and administrative expenses associated with the Portfolios.
9. Identifying the need for updates, monitoring the Portfolios for legal and policy compliance, and acting on the recommendations of the IAC, as appropriate.
10. All other duties designated or delegated by the Board or the IAC.

Investment Advisory Committee

As required by state law, the CSU has created an Investment Advisory Committee (the "IAC"), to provide investment advice and expertise to the Board, particularly with respect to the management of the TRP.

The IAC shall be an advisory body and shall make recommendations, as appropriate, to the Board for approval or to the Chancellor and the Chancellor's Staff for implementation. The IAC shall be responsible for overseeing all aspects of the TRP and is hereby authorized to recommend policies and procedures for the creation and implementation of the TRP, including, but not limited to, the following activities:

1. Understanding the overall investments of the CSU and each of the Portfolios as informed by the Chancellor's Staff, investment advisors and/or investment managers.
2. Developing and approving an IAC charter to establish guidelines for operations of the IAC.
3. Developing, approving, and overseeing the implementation of an investment policy statement for the TRP.
4. Reviewing and approving target asset allocations and ranges for the TRP.
5. Monitoring and reviewing the performance of the TRP to its stated objectives.
6. Prudently reviewing, selecting, monitoring, and replacing investment management firms engaged to manage the TRP's assets.
7. Monitoring and supervising all service vendors and fees for the TRP.

8. Any other investment or administrative duties deemed necessary to prudently oversee the investment program for the TRP.

Prudence, Ethics and Conflict of Interest

All participants in the investment process shall act responsibly. The standard of prudence applied by the Board, the Chancellor, the Chancellor's Staff, and the IAC, as well as any external service providers, shall be the "prudent investor" rule. The "prudent investor" rule in part, states, "A trustee shall invest and manage trust assets as a prudent investor would, by considering the purposes, terms, distribution requirements, and other circumstances of the trust. In satisfying this standard, the trustee shall exercise reasonable care, skill and caution. A trustee's investment and management decisions respecting individual assets must be evaluated not in isolation but in the context of the trust portfolio as a whole and as part of an overall investment strategy having risk and return objectives reasonably suited to the trust."

Furthermore, all participants in the investment process shall use the same care, skill, prudence, and due diligence under the circumstances then prevailing that a prudent person acting in a like capacity and fully familiar with such matters would use in the conduct of an enterprise of like activities for like portfolios with like aims and in accordance and compliance and all other applicable laws, rules and regulations.

All investment personnel shall refrain from personal business activity which could create a conflict with proper execution of the investment program, or which could impair the ability to execute impartial investment decisions. All investment personnel shall disclose to the Chancellor's Staff or the IAC any material financial interests in financial institutions which conduct business within the jurisdiction and shall disclose any material financial investment positions which could be related in a conflicting manner to the performance of the Portfolios. All investment personnel shall report any potential conflicts of interest consistent with Government Code Section 87200. Further, the Chancellor shall report to the Board in writing any issues that could reflect any conflict in the performance of the Portfolios.

Document Acceptance of the Investment Policy Statement

The Chancellor's Staff shall provide a copy of this MIP, and the relevant Portfolio investment policy, to each firm retained to provide investment services to the CSU and each such firm shall acknowledge in writing receipt of the document and accept its content.

VI. Environmental, Social and Governance Framework

Attachment B

Finance - Agenda Item 2

May 12, 2020

Page 9 of 9

The Board acknowledges the importance of understanding the potential risks and value that environmental, social, and governance (“ESG”) factors may have on CSU investments. Therefore, the Board expects that the consideration of ESG factors shall be integrated into the investment decision processes of the CSU.

Approved:

The California State University Board of Trustees

November 8, 2017

COMMITTEE ON FINANCE

California State University Fiscal Status Report

Presentation By

Steve Relyea
Executive Vice Chancellor and
Chief Financial Officer

Ryan Storm
Assistant Vice Chancellor
Budget

Robert Eaton
Assistant Vice Chancellor
Financing, Treasury, and Risk Management

Summary

The Coronavirus (COVID-19) pandemic has adversely impacted the state and the California State University in many areas and ways. This information item and presentation will focus primarily on the financial impacts of COVID-19 on the CSU. Due to the rapidly changing circumstances, an updated report from several business and finance subject matter experts will be presented at the May 2020 meeting. The presentation will include information on: campus impact and actions, federal stimulus and relief programs, the state budget, financial markets and investments, and cash position.

Background

Campus Fiscal Impact and Actions

Since the start of COVID-19, a number of intentional actions and unintentional impacts have affected the 23 campuses in these three general areas: CSU operations (e.g. academic programs, student services, and central plant), CSU enterprise programs (e.g. housing, parking, and professional and continuing education) and non-profit auxiliary organizations (e.g. associated students, foundations, bookstores and other business services). Each area has been affected differently.

Beginning with CSU operations, campuses report \$50 million of adverse negative impact with much of that in the form of unanticipated costs. Concerns over the virus itself have created additional cleaning and overtime costs. There has also been a shift to virtual/distance instruction and work at home arrangements, which has increased technology needs for hardware, software,

and licenses. While the \$50 million represents less than one percent of the annual operating budget, there is significant risk to operations in the future. State tax revenue will suffer and support of the university could be reduced later this year while at the same time costs will be increasing. This is creating tremendous fiscal and enrollment planning challenges for system and campus leaders.

CSU enterprise programs losses reported by campuses total \$173 million resulting from very significant, negative impacts. Revenue loss is the primary challenge. For example, most students have vacated on-campus housing and few students and employees are utilizing on-campus parking. CSU enterprise programs like student housing and parking are self-supported by user fee revenue. Employees who work in enterprise programs are state employees and many are covered by collective bargaining agreements. If existing conditions persist into the summer and fall, one of the many challenges the CSU could face is the potential for additional, significant, and precipitous revenue drops. It would be challenging for campuses to reduce costs because of the adverse impact it would have on the employees of those enterprise programs.

Auxiliary organizations, which are separate 501(c)(3) non-profit organizations, have also suffered significant revenue losses totaling \$114 million. Auxiliary organizations have been especially affected by the loss of “foot traffic” and in-person gatherings on campuses, resulting in steep revenue losses, reduced hours, and in some cases layoffs. Like CSU operation and self-support enterprise programs, auxiliary organization operations confront significant risks if existing conditions persist.

As a result of COVID-19, campuses and auxiliary organizations estimate a total of \$337 million of new costs and revenue losses for the 2020 Spring term.

Campuses are taking steps to mitigate these impacts. They are analyzing their operations, their liquidity, and adjusting their costs to the best respond to the effects of the virus.

State Budget

COVID-19 and its effect on the U.S. and California economies will have negative ripple effects on the state budget and the CSU.

One effect is on proposed expenditures. In March, the governor’s administration shared that the severe drop in economic activity could have an immediate impact on tax revenues affecting the 2019-2020 fiscal year, and will impact the 2020-2021 fiscal year and beyond. As a result, the governor’s administration reports that it will reevaluate all budget proposals included in the governor’s January budget, which included the proposed \$199 million recurring for the CSU in 2020-2021. This is a striking development since Fall 2019 when the trustees requested a \$563.8 million recurring state funding increase. The state’s economy and tax revenues had been climbing steadily since 2012-2013 and the prospects for continued economic growth for 2020-2021 were good at the time the trustees finalized their request and when the governor proposed his 2020-2021 budget plan in January 2020.

Another effect is on the timing of the state budget. The typical state budget timeline has been delayed by a minimum of three months. The federal Internal Revenue Service and the state Franchise Tax Board extended the tax filing date from April 15 to July 15. At the time of this writing, it appears that the state will adopt a two-phase approach to the 2020-2021 state budget. Phase 1 will include the typical governor's May Revision and the likely adoption of an interim, baseline state budget in June. Phase 2 would be an amendment to the June baseline budget that will likely include a governor's "August Revision" and, presumably, a final, amended budget sometime in Fall 2020.

There are several key questions that will not be answered for several months: (1) How severe will the effects of COVID-19 be on the current and future state budget, and what will that mean for state investments in the CSU? (2) To what extent will the state's multi-billion dollar reserve mitigate the need for budget reductions? (3) How will a final Fall 2020 state budget—effectively a mid-year budget—disrupt CSU campuses in budget planning and immediate operations?

Federal Stimulus and Relief Programs

To combat the effects of COVID-19, House Resolution 748, the Coronavirus Aid, Relief, and Economic Security (CARES) Act, became law on March 27, 2020. The law provided approximately two trillion dollars of nationwide financial relief and made many changes to federal law to address the crisis. Specific to federal financial assistance available to the CSU, two items stand out.

First, the U.S. Department of Education is providing grants directly to CSU campuses. Overall, the CARES Act provided approximately \$12.6 billion to all institutions of higher education. CSU campuses will receive \$525.3 million of this one-time funding. Of this total amount, \$262.7 million of CARES Act funds (or 50 percent) must be used for campus COVID-19-related expenses, which is 2.5 percent of the CSU's annual total budget (i.e. operations and enterprises). Campuses are to use these funds to cover lost revenue and reimburse expenses already incurred. Additionally, the other half of the CARES Act funds are for emergency grants for students whose lives have been disrupted by COVID-19. On this latter 50 percent, federal guidance precludes federal funds being provided to undocumented and international students. To ensure that all students are eligible for emergency grants—including undocumented and international students – and receive the financial assistance they need, the chancellor and presidents have elected to augment the federal funds with campus resources to create a "CSU CARES" program. Campuses have applied for these federal funds, are developing or have developed their implementation plans, and some campuses have drawn the funding from their federal accounts.

Second, some CSU auxiliaries have secured loans through the federal Small Business Administration's Paycheck Protection Program, which offers emergency loans for eligible nonprofit organizations and small businesses permitting them to cover costs of payroll, operations, and debt service, and providing that the loans will be forgiven under certain circumstances. CSU

auxiliaries are nonprofit organizations that provide supplemental services and support to campuses such as student bookstores, dining, some student housing, institutes and recreation centers. Many auxiliary organizations applied for this federal program, but only some received an award. The program was first-come, first serve with limited funding available. As of the publication date of this agenda, auxiliaries have been awarded \$34.8 million. This federal funding certainly is helpful to some auxiliaries, but of no help to many others. Further, as reported above, the scope of reported costs and revenue losses to auxiliaries is very large compared to total amount of federal awards.

There are other CARES Act provisions that would have a much smaller direct or indirect impact on the CSU.

Financial Markets

As a result of COVID-19 and its impact on the global and US economy, the financial markets suffered severe disruption, particularly in March of this year. Equity values plunged dramatically, and the debt markets saw significant dislocation with very little ability for corporate and public entities to issue debt. Short term debt markets effectively froze with paper being placed for very short periods of time at very high interest rates. However, efforts by the federal government to pump money into the economy and liquidity into the financial markets have helped open up the financial markets and improve investor confidence. Since April, financial market conditions have improved with equity market values recovering some of their losses and debt issuance activity increasing, albeit at levels lower than pre-COVID-19 conditions.

With respect to accessing the debt markets, the CSU has not been materially impacted by these developments in the financial markets. The CSU issued long term bonds in February, when the financial markets were still operating normally and when long term rates were at record lows. Our next long-term bond sale has been targeted for July 2020 and staff will continue to monitor market conditions, as well as the readiness of projects, to determine if a bond sale at that time is warranted or should be postponed until conditions are better. The CSU also issued commercial paper prior to COVID-19 and the financial market dislocation. As of the publication date of this agenda item, the commercial paper was scheduled to come due on May 6 and given the improvement in financial market conditions, staff expected that the commercial paper would be remarketed without any issues.

With respect to our investments, initially, the overall value of our investments was adversely impacted with the disruption in the financial markets, but as of the publication date of this agenda, CSU investments had improved and the total \$4.7 billion market value of CSU investments included an unrealized gain of approximately \$17 million.

An update on further developments in the financial markets and their impact on the CSU's access to the capital markets and investments since the time of the agenda publication will be provided at the meeting.

Operational Liquidity

In response to COVID-19, the CSU has made a number of key decisions in the interest of serving the educational needs of students, while also protecting the health and safety of students, faculty, and staff. As noted above, some of these decisions have resulted in adverse financial impacts on the CSU and its operations for the fiscal year 2019-2020.

Over a number of years, the CSU has prudently grown reserves to meet certain strategic goals, one of which has been preparation for possible economic uncertainty. The CSU has drawn upon these reserves to maintain operations in programs impacted by COVID-19. The use of reserves cannot be a permanent solution, but they are a valuable asset that can be utilized until such time as other resources become available—for example, CARES Act funding—or until we have a clearer picture of our operational future and can make financial adjustments accordingly.

The CSU has been able to utilize reserves to meet these needs due to the liquidity of our investments. Because of the financial impact of COVID-19 on CSU operations, staff has positioned our investments for greater liquidity than normal in order to meet ongoing operations as needed. As new funds move into the portfolio or as existing securities mature, the funds are invested for much shorter maturities, building cash and liquid assets to meet expected cash outflows in the face of reduced revenues or increased expenditures. In addition, in April 2020, the CSU Investment Advisory Committee decided to reduce the previously planned transfer of \$150 million from the liquidity portfolio into the Total Return Portfolio for the final fiscal quarter of 2019-2020, and instead approved a much more modest transfer plan of \$5 million per month through August 2020 in order to preserve liquidity in the liquidity portfolio.

Staff has also postponed the implementation of the new Intermediate Duration Portfolio to preserve liquidity in the liquidity portfolio.

COMMITTEE ON FINANCE

California State University Doctorate of Occupational Therapy Tuition

Presentation By

Steven Relyea
Executive Vice Chancellor
Administration and Finance

Ryan Storm
Assistant Vice Chancellor
Budget

Summary

This information item is presented to the Board of Trustees to recommend authorization of a California State University Doctor of Occupational Therapy Tuition rate. The tuition rate is recommended in order to implement provisions of Assembly Bill (AB) 829 (Bloom), which authorized the CSU to award Doctor of Occupational Therapy (OTD) degrees. Chaptered in the Statutes of 2019, the law authorizes the CSU to charge tuition for occupational therapy doctoral programs at a rate no higher than the University of California's (UC) fee for doctoral programs. It is anticipated that OTD degree programs will be implemented as early as summer/fall term 2023.

Background

The Board of Trustees may establish, adjust, and abolish systemwide tuition and fees, including those for the newly authorized OTD degree programs. On August 30, 2019, Governor Newsom signed into law AB 829, which was codified as California Education Code 66043 and 66043.1 and allows the CSU to offer independently a doctoral degree in occupational therapy. Prior to the passage of the bill, the CSU could only offer OTD programs in partnership with the UC or a California private institution of higher education. CSU OTD degree programs were authorized to focus on preparing occupational therapists to provide health care services.

The recommended OTD tuition rate supports curriculum development and delivery, highly specialized faculty resources, doctoral advising and mentoring, required low-faculty-to-student ratios in supervised clinical settings, program administration, facilities, library resources, provisions for carrying out professional mandates and national professional accreditation, and the creation of a Western Association of Schools and Colleges Senior College and University Commission (WSCUC) - required "doctoral culture," typified by academic rigor, intellectual exchange, and a research-and-scholarship environment appropriate to a doctoral-granting institution.

Education Code 66043 stipulates that the OTD degree programs offered by the CSU shall be distinguished from doctoral programs at the UC. Education Code 66043.1 stipulates that the CSU shall provide any startup and operation funding needed for the degree programs from within existing budgets for academic program support, without diminishing the quality of program support offered to CSU undergraduate programs. Currently the UC does not offer any independent occupational therapy doctoral programs.

Proposed Doctorate of Occupational Therapy Tuition Rate

Based on program-cost analysis conducted in consultation among the Chancellor's Office and CSU campuses planning to offer the OTD, the CSU Occupational Therapy Doctorate Tuition rate is recommended to be set at \$8,598 per term.

The tuition rate recommendation includes the following:

- 1) The tuition rate of \$8,598 per term will be established as the CSU Occupational Therapy Doctorate Tuition for students enrolled in CSU Occupational Therapy Doctorate degree programs.
- 2) Students enrolled in CSU Occupational Therapy Doctorate programs shall also be subject to campus-based mandatory fees.
- 3) Proposals to the Board of Trustees to recommend increases in the CSU Occupational Therapy Doctorate Tuition Fee will be based on increased costs of the programs.
- 4) As the Occupational Therapy Doctorate is a postbaccalaureate-entry degree program and students will not enter from established professional careers, the financial aid set-aside from OTD tuition will be 25 percent of the academic year fee rate. After need-based aid has been distributed to qualifying students, remaining funds from the set-aside will be used for general operating support of the OTD program.

The tuition model recommended is comparable to other allied health doctoral programs in the CSU. Per semester, the Doctor of Audiology (AuD) is \$7,371, the Doctor of Nursing Practice (DNP) is \$7,635, and the Doctor of Physical Therapy is \$8,598.

The OTD tuition rate model recommended will generate sufficient revenue to fund total program cost while holding the CSU firmly within the legislative guidelines established in statute. An action agenda item will be presented at the July 2020 Board of Trustees meeting to request approval of the recommended OTD tuition rate.

AGENDA

COMMITTEE ON EDUCATIONAL POLICY

Meeting: 2:00 p.m., Tuesday, May 12, 2020
Virtually via Teleconference

Peter J. Taylor, Chair
Jane W. Carney, Vice Chair
Silas H. Abrego
Rebecca D. Eisen
Douglas Faigin
Debra S. Farar
Wenda Fong
Juan F. Garcia
Maryana Khames
Lillian Kimbell
Romey Sabalius
Christopher Steinhauser

- Consent** 1. Approval of Minutes of the Meeting of March 24, 2020, *Action*
- Discussion** 2. Recommended Amendment to Title 5 Regarding Residency Reclassification –
Financial Independence, *Information*
3. Recommended Amendment to Title 5 Regarding Ethnic Studies and Social Justice,
Information

**MINUTES OF MEETING OF
COMMITTEE ON EDUCATIONAL POLICY**

**Trustees of The California State University
Office of the Chancellor
Glenn S. Dumke Auditorium*
401 Golden Shore
Long Beach, California**

March 24, 2020

Members Present

Peter J. Taylor, Chair
Jane W. Carney, Vice Chair
Silas H. Abrego
Rebecca D. Eisen
Douglas Faigin
Debra S. Farar
Wenda Fong
Juan F. Garcia
Maryana Khames
Lillian Kimbell
Romey Sabalius
Adam Day, Chair of the Board
Timothy P. White, Chancellor

Trustee Taylor called the meeting to order.

Approval of Minutes

The minutes from January 29, 2020 were approved as submitted.

Amendments to Title 5 Regarding Occupational Therapy Doctorate Degree Programs

A summary was provided of the January board presentation by Loren J. Blanchard, executive vice chancellor, and Alison Wrynn, associate vice chancellor, Academic Programs, Innovation and Faculty Development, regarding Occupational Therapy Doctorate Degree Programs. The presentation highlighted how CSU occupational therapy programs meet a growing workforce need and proposed amendments that would bring Title 5 regulations into alignment with amended

***PLEASE NOTE: Due to the Governor's proclamation of a State of Emergency resulting from the threat of COVID-19, and pursuant to the Governor's Executive Orders N-25-20 and N-29-20 issued on March 12, 2020 and March 17, 2020, respectively, all members of the Board of Trustees may participate in meetings remotely, either by telephonic or video conference means. Out of consideration for the health, safety and well-being of the members of the public and the Chancellor's Office staff, the March 24, 2020 meeting of the CSU Board of Trustees was conducted entirely virtually via Zoom teleconference.**

Ed. Pol.
Agenda Item 1
May 12, 2020
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California Education Code regarding CSU Occupational Therapy Doctorate Degree Programs. Four amendments, including listing degree requirements and identifying criteria for the admission of applicants, were proposed to the committee as an action item for board approval.

Trustee Taylor shared that during the 45-day period of this action item no written comments were received by the Chancellor's Office. The committee recommended approval of the proposed resolution. **(REP 03-20-03)**.

Trustee Taylor adjourned the Committee on Educational Policy.

COMMITTEE ON EDUCATIONAL POLICY

Recommended Amendment to Title 5 Regarding Residency Reclassification – Financial Independence

Presentation By

Loren J. Blanchard
Executive Vice Chancellor
Academic and Student Affairs

Ray Murillo
Director, Student Programs
Student Affairs and Enrollment Management

Summary

In accordance with Education Code Section 68040, a California State University campus determines each student's California residency status for tuition purposes at the time of admission. A student is designated either a resident or nonresident for tuition purposes. The initial classification of residency requires that a student meet the requirements of physical presence in California and an intent to remain in California. A student who fails to demonstrate physical presence and intent to remain is classified as a nonresident.

A student who is deemed a nonresident for tuition purposes may request a reclassification to residency for a subsequent term. In addition to demonstrating physical presence and intent to remain in California at that time, a student must also satisfy financial independence requirements. The financial independence requirements include not being claimed by a parent as a dependent exemption for state and federal tax purposes, not having received more than \$750 in financial assistance from a parent and not having lived with a parent for more than six weeks.

Currently, a lack of financial independence is an automatic disqualifier for a nonresident student seeking reclassification. As a result, reclassification requests must be denied even in a few circumstances where compelling arguments exist to support reclassification for the student. The proposed amendment delineates the circumstances where financial independence shall not be considered in a reclassification analysis.

At the July, 2020 meeting, an action item will be presented to the Board of Trustees for a vote to approve the proposed amendment to Title 5.

Proposed Revision

Title 5. Education
Division 5. Board of Trustees of the California State Universities
Chapter 1. California State University
Subchapter 5. Administration
Article 4. Nonresident Tuition

§ 41905.5. Residence Reclassification - Financial Independence Requirement.

~~Any~~ A nonresident student requesting reclassification to resident for tuition purposes must demonstrate financial independence. A student shall be considered financially independent if ~~s/he~~ the student has not and will not be claimed as an exemption for state and federal tax purposes by ~~his/her~~ a parent or legal guardian in the calendar year the reclassification application is made and in any of the three calendar years prior to the reclassification application; has not and will not receive more than seven hundred fifty dollars (\$750) per year in financial assistance from ~~his/her~~ a parent or legal guardian in the calendar year the reclassification application is made and in any of the three calendar years prior to the reclassification application, and; has not lived and will not live for more than six weeks in the home of ~~his/her~~ a parent or legal guardian during the calendar year the reclassification application is made and in any of the three calendar years prior to the reclassification application. ~~A nonresident student who has been appointed to serve as a graduate student teaching assistant, graduate student research assistant, or graduate student teaching associate on any California State University campus and employed on a 0.49 or more time basis is exempt from this requirement.~~

Financial independence shall not be considered in a reclassification analysis if the student meets at least one of the following criteria:

- A. Dependent on a parent who has California residence (pursuant to Cal.Educ. §68060 through §68062) for more than one year immediately preceding the residence determination date for the academic term for which reclassification is requested
- B. Enrolled in a graduate or post-baccalaureate program, regardless of age
- C. At least 24 years of age by the residence determination date for the academic term for which reclassification is requested
- D. Married or in registered domestic partnership as of the residence determination date, regardless of age
- E. All active duty (including National Guard members and reservists) members serving in the U.S. Armed Forces
- F. Veteran of the U.S. Armed Forces
- G. Has a legal dependent other than a spouse or registered domestic partner
- H. Status as a ward of the court or foster youth at any time since turning the age of 13, or both parents are deceased

- I. Declared by a court to be an emancipated minor
- J. Status as an unaccompanied youth who is homeless or at risk of becoming homeless pursuant to federal financial aid rules

Note: Authority cited: Sections 68044 and 89030, Education Code. Reference: Section 68044, Education Code.

COMMITTEE ON EDUCATIONAL POLICY

Recommended Amendment to Title 5 Regarding Ethnic Studies and Social Justice

Presentation By

Loren J. Blanchard
Executive Vice Chancellor
Academic and Student Affairs

Alison M. Wrynn
Associate Vice Chancellor
Academic Programs, Innovations, and Faculty Development

Summary

The amendment to Title 5 introduced at this meeting and presented for board action at a future meeting of the Board of Trustees proposes to amend Title 5 § 40405.1. California State University General Education – Breadth Requirements. The proposed amendment specifies that California State University (CSU) undergraduate students will be required to complete one lower-division course in Ethnic Studies and Social Justice as part of CSU General Education (GE) Breadth.

The goal of the proposed Ethnic Studies and Social Justice requirement is to better prepare students to live and work in a multicultural society through an academic experience that will develop their intercultural cognizance, sensitivity and commitment to social justice. Courses that meet this requirement shall either focus on the intersection of race and ethnicity and describe how resistance, social justice and liberation as experienced by communities of color are relevant to current issues (communal, national and international); or they shall focus on other factors in understanding hierarchy and oppression, such as class, gender, sexuality, religion, spirituality, national origin, immigration status, ability and/or age.

Background

Ethnic studies is the interdisciplinary and comparative study of race and ethnicity. The cultures, lived conditions, and histories of Native Americans, African Americans, Asian Americans, Latina/o Americans, and other racialized groups ground and center its scholarship, teaching and learning. Ethnic studies involves social engagement (service and struggle), social change and social justice—generating cooperative and collaborative initiatives between campus and community. The value of ethnic studies includes its role in giving voice to marginalized groups and producing systems of knowledge that equitably support a democratic and multicultural society. Further, the inclusion of social justice leads to the application of these concepts to multiple forms

of oppression and discrimination within institutional structures that are hierarchical. This context includes considerations of actions to identify, mitigate and eliminate these forces through the elevation of activism, free speech, leadership development, policy development and democratic processes.

The CSU is indeed a nationally recognized leader in the field of ethnic studies. San Francisco State University is regarded as the birthplace of the discipline in 1969. Today these courses exist across the curriculum and academic departments at the CSU.

In July 2016, the California State University Task Force on the Advancement of Ethnic Studies delivered a report to Chancellor Timothy P. White summarizing its key findings and recommendations regarding supporting a multicultural quality education. Chaired by then CSU Bakersfield President Horace Mitchell, the Task Force was comprised of a wide range of stakeholders across the system, including students, faculty members of the university system's Ethnic Studies Council and administrators. The final report and subsequent summaries of campus progress in adopting the report's recommendations are available at <https://www2.calstate.edu/impact-of-the-csu/diversity/advancement-of-ethnic-studies>.

Chancellor White shared the Task Force's report with the CSU community and directed each campus to review the findings and use the recommendations to strengthen the institution in the context of their mission, priorities and campus culture. During their annual summer conferences in 2017, each CSU president discussed with Chancellor White his/her campus' programmatic and staffing actions that were being undertaken in response to the Task Force's work.

The first recommendation of the 2016 report was to make ethnic studies a general education requirement throughout the CSU system. As was referenced in Chancellor White's letter accompanying the Task Force report, the recommendations were expected to inform – but not constrain – the regular planning process of each campus. While no systemwide change to general education was enacted at the time of the report's release, campus curricular actions captured in subsequent updates to the report reflect increased campus support and activities. Those actions included: ensuring ethnic studies courses are well represented in general education categories, incorporating themes and language from the Task Force report into campus-based general education policy and strengthening graduation requirements to include ethnic studies courses on a number of campuses.

These changes were the result of campus-based shared governance and decision making—not the imposition of a systemwide directive. And as result, key accomplishments across the system include a nearly 9% increase in enrollment in Ethnic Studies courses in the past two years and the hiring of 71 new tenure-track faculty in Ethnic Studies departments across the CSU in the past four years. In 2019, the CSU's second College of Ethnic Studies was established at California State University, Los Angeles. Yet despite campus efforts and actions to broaden the inclusion of ethnic

studies across the system as described above, Assemblywoman Dr. Shirley Weber authored Assembly Bill (AB) 1460 that would establish a new Ethnic Studies graduation requirement for all CSU undergraduate students. The bill would require a three-unit course requirement in ethnic studies offered through specific academic departments: Native American Studies; African American Studies; Asian American Studies; Latino/a Studies. The bill is sponsored by the California Faculty Association (CFA).

The Academic Senate CSU (ASCSU), the legally-recognized faculty body on matters of curriculum, along with the CSU Office of the Chancellor, oppose legislative intrusion into university curriculum, including AB 1460. In January 2020, the ASCSU passed a resolution ([AS-3403-19/AA](#)) requesting that Chancellor White consider a requirement in ethnic studies. The original resolution, which closely aligned with language in AB 1460, was distributed to CSU campuses for feedback by the Office of the Chancellor in February 2020. An analysis of the feedback received from all 23 campuses demonstrated support for a more broadly defined curricular requirement and greater campus autonomy than described in AB 1460 or in AS-3403-19/AA. Based on this feedback, the Office of the Chancellor suggested modifications to the original resolution that aligned with input received from campuses.

At its March 2020 plenary, the ASCSU issued a new resolution ([AS-3420-20/AA](#)) agreeing with a number of recommendations made by the Office of the Chancellor. Specifically, the ASCSU agreed that (1) the requirement should be broader than the four disciplines listed in AB 1460; and (2) campuses should operationalize the ethnic studies requirement and associated learning outcomes through normal curricular processes in a manner appropriate to its campus culture and context. Table 1 provides a summary of the three proposals—AB 1460, AS-3420-20/AA and the proposal from the Office of the Chancellor.

Table 1: Summary Chart of the Proposed Ethnic Studies Requirements

	AB 1460	ASCSU AS-3420-20/AA	CSU Proposal
Title	Ethnic Studies	Ethnic Studies	Ethnic Studies and Social Justice
Lower division requirement	3 units	3 units, lower-division	3 units, lower-division
Upper division requirement	Not specified	A “reflective element” at the upper-division	Campus decision
Where would this requirement be placed?	In CSU GE as recommended by the Ethnic Studies Task Force	In CSU GE Breadth	In CSU GE Breadth
Learning outcomes created centrally?	Yes. Created by CSU Ethnic Studies Council and ASCSU	Yes. Created as part of the ASCSU resolution.	No. Created at the campus level consistent with all areas of CSU GE Breadth.
Who decides which courses meet the requirement?	Ethnic Studies faculty would have final approval over courses that met this requirement.	Existing campus curriculum or general education committees in a manner appropriate to its campus culture and context.	Existing campus curriculum or general education committees in a manner appropriate to its campus culture and context.
Departments that could offer these courses	Native American Studies; African American Studies; Asian American Studies; Latino/a Studies; (All CSU campuses would have to offer courses from these departments.)	A broader range of departments than AB 1460, including the experiences of additional historically oppressed groups (e.g., Jewish, Muslim, LGBTQ, women, etc.) but should otherwise retain the core definition of ethnic studies.	Any academic departments that can meet the course learning outcomes as defined by campus.
Potential cost to enact	Approximately \$16.5 million for CSU; additional unknown costs for the CCC	Approximately \$8 million	Approximately \$3 million to \$4 million
Year the requirement would begin	2021-22	2023-24	2023-24

As the table illustrates, there is a great deal of congruity between what is recommended by the ASCSU and the Office of the Chancellor. In those areas where there is less overlap, the Office of the Chancellor has deferred to the 23 CSU campuses that called for greater campus autonomy on the establishment of learning outcomes for this requirement and determining the establishment of an additional upper-division reflective requirement.

However, the need for a systemwide framework is evident to address previous inconsistencies across campuses and to ensure students are not negatively impacted. For example, half of all CSU undergraduates arrive at the university as transfer students, predominantly from a California community college. The Office of the Chancellor seeks to ensure the transferability of such an ethnic studies requirement, particularly in light of the increased popularity of the Associate Degree for Transfer (ADT). Established by in 2010, the ADT is comprised of three components—39 units of General Education, 18 units of lower-division major preparation and three units of electives. Completion of the 60 units of an ADT guarantees transfer to the CSU and the requirement of only another designated 60 units to complete the baccalaureate degree. To continue to facilitate transfer via the ADT, the newly proposed Ethnic Studies and Social Justice requirement would need to be included within CSU GE Breadth.

Following the extensive input received from the ASCSU and the 23 campuses, the Office of the Chancellor recommends an amendment to Title 5 § 40405.1 adding a new three-unit lower-division requirement in Ethnic Studies and Social Justice to CSU GE Breadth. As a result of this addition, there will be six undefined lower division units in the Social Science section of CSU GE Breadth (Area D) to accommodate the new three-unit lower-division Ethnic Studies and Social Justice requirement. This will allow CSU GE Breadth to remain at 48 total semester units. Area D currently includes nine undefined lower-division units in social science while other general education subject areas (e.g., written communication, physical sciences, lifelong learning and self-development) only require three units. Courses satisfying the new Ethnic Studies and Social Justice requirement could be offered in ethnic studies, the arts, humanities, natural or social sciences or other disciplines. This will result in no impact to the 120-unit limit on BA and BS degrees or the ADT pathway.

Correspondingly, amendments to the following Title 5 section are recommended.

- **§ 40405.1. California State University General Education - Breadth Requirements.**

This amendment establishes a 3-unit lower division requirement in Ethnic Studies and Social Justice in CSU General Education Breadth requirements. In order to keep CSU GE Breadth requirements at 48 total semester units, three units are removed from section (a)(4).

5 CCR § 40405.1

§ 40405.1. California State University General Education - Breadth Requirements.

(a) Each recipient of the bachelor's degree completing the California State University General Education-Breadth Requirements pursuant to this subdivision (a) shall have completed a program which includes a minimum of 48 semester units or 72 quarter units of which 9 semester units or 12 quarter units shall be upper division level and shall be taken no sooner than the term in which the candidate achieves upper division status. At least 9 of the 48 semester units or 12 of the 72

quarter units shall be earned at the campus granting the degree. The 48 semester units or 72 quarter units shall be distributed as follows:

- (1) A minimum of 9 semester units or 12 quarter units in communication in the English language, to include both oral communication and written communication, and in critical thinking, to include consideration of common fallacies in reasoning.
- (2) A minimum of 12 semester units or 18 quarter units to include inquiry into the physical universe and its life forms, with some immediate participation in laboratory activity, and into mathematical concepts and quantitative reasoning and their applications.
- (3) A minimum of 12 semester units or 18 quarter units among the arts, literature, philosophy and foreign languages.
- (4) A minimum of ~~12~~ 9 semester units or ~~18~~ 12 quarter units dealing with human social, political, and economic institutions and behavior and their historical background.
- (5) A minimum of 3 semester units or 4 quarter units in study designed to equip human beings for lifelong understanding and development of themselves as integrated physiological, social, and psychological entities.
- (6) A minimum of 3 semester units or 4 quarter units at the lower-division in study designed to understand ethnic studies and social justice.

The specification of numbers of units implies the right of discretion on each campus to adjust reasonably the proportions among the categories in order that the conjunction of campus courses, credit unit configurations and these requirements will not unduly exceed any of the prescribed semester or quarter unit minima. However, the total number of units in General Education-Breadth accepted for the bachelor's degree under the provisions of this subdivision (a) shall not be less than 48 semester units or 72 quarter units unless the Chancellor grants an exception.

(b) The president or an officially authorized representative of a college which is accredited in a manner stated in Section 40601 (d) (1) may certify the extent to which the requirements of subdivision (a) of this section have been met up to a maximum of 39 semester units (or 58 quarter units). Such certification shall be in terms of explicit objectives and procedures issued by the Chancellor.

(c) In the case of a baccalaureate degree being pursued by a post-baccalaureate student, the requirements of this section shall be satisfied if:

- (1) The student has previously earned a baccalaureate or higher degree from an institution accredited by a regional accrediting association; or

(2) The student has completed equivalent academic preparation, as determined by the appropriate campus authority.

Note: Authority cited: Section 89030, Education Code. Reference: Sections 66055.8 and 89030, Education Code.

TRUSTEES OF THE CALIFORNIA STATE UNIVERSITY

**California State University
Office of the Chancellor
Glenn S. Dumke Auditorium*
401 Golden Shore
Long Beach, CA 90802**

May 12, 2020

Presiding: Adam Day, Chair

3:15 p.m. Board of Trustees Virtually via Teleconference

Call to Order

Roll Call

Chair's Report

Chancellor's Report

Report of the Academic Senate CSU: *Chair—Catherine Nelson*

Report of the California State Student Association: *President—Michael Wiafe*

Report of the California State University Alumni Council: *President—Michelle Power*

Consent

Action 1. Approval of Minutes of the Meeting of March 24, 2020

Action 2. Approval of Committee Resolutions as follows:

Committee on Institutional Advancement

2. Naming of The Donald E. Gumz Enology Building – California State University, Fresno

3. Naming of Bashor Field in Aztec Stadium in Mission Valley – San Diego State University

Committee on Committees

2. Approval of Board of Trustees' Standing Committee Assignments for 2020-2021

3. Election of the Chair and Vice Chair of the Board of Trustees for 2020-2021

Committee on Campus Planning, Buildings and Grounds

2. California Polytechnic State University, San Luis Obispo Master Plan Revision and Enrollment Ceiling Increase

***PLEASE NOTE: Due to the Governor's proclamation of a State of Emergency resulting from the threat of COVID-19, and pursuant to the Governor's Executive Order N-25-20 issued on March 12, 2020, some or all of the members of the Board of Trustees may participate in this meeting remotely, either by telephonic or video conference means. The Dumke Auditorium shall nonetheless be open and available for members of the public to attend, observe the proceedings of the meeting (including the audio/video transmissions of trustees participating from remote locations), and offer public comment pursuant to the published protocol for "Addressing the Board of Trustees."**

**The Board of Trustees is a public body, and members of the public have a right to attend and participate in its meetings. This schedule of meetings is established as a best approximation of how long each scheduled meeting will take to complete its business. Each meeting will be taken in sequence, except in unusual circumstances. Depending on the length of the discussions, which are not possible to predict with precision in advance, the scheduled meeting times indicated may vary widely. For two-day meetings, items scheduled for one day may be heard either the day before or the day after depending upon the time spent on each matter. The public is advised to take this uncertainty into account in planning to attend any meeting listed on this schedule.

MINUTES OF THE MEETING OF BOARD OF TRUSTEES

**Trustees of the California State University
Office of the Chancellor
Glenn S. Dumke Auditorium*
401 Golden Shore
Long Beach, California**

March 24, 2020

Trustees Present

Adam Day, Chair
Lillian Kimbell, Vice Chair
Silas H. Abrego
Larry L. Adamson
Jane W. Carney
Rebecca D. Eisen
Douglas Faigin
Debra S. Farar
Jean Picker Firstenberg
Wenda Fong
Juan F. Garcia
Maryana Khames
Jeffrey R. Krinsk
Jack McGrory
Hugo Morales
Romey Sabalius
Lateefah Simon
Peter J. Taylor
Timothy P. White, Chancellor
Lieutenant Governor Kounalakis

Chair Day called the meeting of the Board of Trustees to order.

***PLEASE NOTE: Due to the Governor's proclamation of a State of Emergency resulting from the threat of COVID-19, and pursuant to the Governor's Executive Orders N-25-20 and N-29-20 issued on March 12, 2020 and March 17, 2020, respectively, all members of the Board of Trustees may participate in meetings remotely, either by telephonic or video conference means. Out of consideration for the health, safety and well-being of the members of the public and the Chancellor's Office staff, the March 24, 2020 meeting of the CSU Board of Trustees was conducted entirely virtually via Zoom teleconference.**

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Public Comment

Due to the virtual format of the March 24, 2020 meeting, all public comment took place at the beginning of the meeting's open session prior to all committees. For the purposes of public record, the board heard from the following individuals during the revised public comment period:

Leslie Warren, Chair, Alliance for Environmental Leadership; Annie Bowler, Placer county resident/business owner; Scott Johnson, Placer county resident; Larissa Berry, President, Defend Granite Bay; Amber Beckler, Placer county resident; Cheryl Berkema, Placer county resident; Roger Smith, Placer county resident; Charlene Daniels, Placer county resident; Kris Johnson, Placer county resident; Jane Wurst, Placer county resident; Willy Duncan, President, Sierra College; Mandy Davies, VP Student Services, Sierra College; Rebecca Bocchicchio, VP of Instruction, Sierra College; Erik Skinner, VP of Admin Services, Sierra College; Jeanne Wilson, President, CA Native Plant Society; Marilyn Jasper, Chair, Public Interest Coalition; Tina Treis, Placer county resident; Ryan Davis, Placer county resident; Robert Dugan, VP Advocacy, AGC of California; Kayla Scott, Placer county resident; Scott Yuill, Placer county resident; Pam Herman, Placer county resident; Dede Cordell, Placer county resident; Kirk Uhler, Board of Supervisors, Placer County; Robert Weygandt, Board of Supervisors, Placer County; Neil Jaklin, President, CSUEU; Pam Robertson, Vice Chair Bargaining Unit 2, CSUEU; Tessy Reese, Chair Bargaining Unit 2, CSUEU; Don Moreno, Vice Chair Bargaining Unit 5, CSUEU; Fortunato Garcia, Chair Bargaining Unit 5, CSUEU; Tony Spraggins, Chair Bargaining Unit 7, CSUEU; Martin Brenner, Vice Chair Bargaining Unit 9, CSUEU; Rocky Sanchez, VP for Representation, CSUEU; Charles Toombs, President, CFA (San Diego); Kevin Wehr, Vice President, CFA (Sacramento); Hayli Antoniewicz, Student, CSU Long Beach (SQE); Mei Curry, Student, CSU Stanislaus (SQE); Melissa Jerez, Student, CSU Chico (SQE); Rebecca Robles, CSU Long Beach Community Member; Jason Rabinowitz, Teamsters Local 2010; Drew Scott, Teamsters Local 2010; Cal Mason, Teamsters Local 2010; Robert Olson, Teamsters Local 2010; Matt Mason, Teamsters Local 2010; Christopher Rooney, Teamsters Local 2010; and Patrick Choi, President, APC.

Chair's Report

Chair Day's complete report is available online at the following link:

<https://www2.calstate.edu/csu-system/board-of-trustees/reports-of-the-chair/Pages/march-2020.aspx>

Chancellor's Report

Chancellor Timothy P. White's report is available online at the following link:

<https://www2.calstate.edu/csu-system/board-of-trustees/chancellor-reports/Pages/march-24-2020.aspx>

Report of the Academic Senate CSU

CSU Academic Senate Chair, Catherine Nelson's complete report is available online at the following link:

<https://www2.calstate.edu/csu-system/faculty-staff/academic-senate/Pages/ASCSU-Chairs-Report.aspx>

Report from the California State Student Association

CSSA President Michael Wiafe's complete report is available online at the following link:

<https://www.calstatestudents.org/public-documents/#president>

Report of the California State University Alumni Council

Past president Dia Poole provided the report on behalf of Alumni Council President Michelle Power. The report is available online at the following link:

<https://youtu.be/NDQOiJQ1Odk>

Board of Trustees

The minutes of the meeting of January 29, 2020 were approved as submitted.

Prior to the approval of the consent agenda, Lieutenant Governor Kounalakis requested that two items be removed from the consent agenda for separate discussion and approval. The following items were removed from the consent agenda:

- From the Joint Committees on Finance and Campus Planning, Buildings and Grounds: Item 2 - San Diego State University Multi-Use Stadium at Mission Valley - Approval to Amend the Capital Outlay Program; Approval of Schematic Plans; Approval to Issue Trustees of the California State University, Systemwide Revenue Bonds and Related Debt Instruments; and
- From the Committee on Campus Planning, Buildings and Grounds: Item 2 - California State University, Sacramento Proposed Off-Campus Center in Placer County.

Chair Day asked to move all the remaining consent agenda items for approval. There was a second. The Board of Trustees unanimously approved the following resolutions:

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COMMITTEE ON INSTITUTIONAL ADVANCEMENT

Naming of the Shakarian Family Student Success Center – California State University, Long Beach (RIA 03-20-03)

RESOLVED, by the Board of Trustees of the California State University, that the Student Success Center at California State University, Long Beach, be named as the Shakarian Family Student Success Center.

Recognition of Dolores Huerta (RIA 03-20-04)

RESOLVED, by the Board of Trustees of the California State University, that on the occasion of her 90th birthday on April 10, 2020, each of the 23 campuses of the California State University will pay tribute to her legacy for generations to come by planting a tree – rooted in the same earth tended by many thousands of California farmworkers, including Dolores Huerta herself – or by taking other appropriate commemorative action to honor her lifelong work in service to California and Californians.

COMMITTEE ON EDUCATIONAL POLICY

Academic Planning (REP 03-20-02)

RESOLVED, by the Board of Trustees of the California State University, that the amended projections to the Academic Plans for the California State University campuses (as identified in Agenda Item 2 of the March 24, 2020 meeting of the Committee on Educational Policy) be approved and accepted for addition to the CSU Academic Master Plan and as the basis for necessary facility planning; and be it further

RESOLVED, that those projected degree programs proposed to be included in campus academic plans be authorized for implementation, at approximately the dates indicated on Attachment A, subject in each instance to the chancellor's review, approval, and confirmation that there exists sufficient societal need, student demand, feasibility, financial support, qualified faculty, facilities and information resources sufficient to establish and maintain the programs; and be it further

RESOLVED, that degree programs not included in the campus academic plans be authorized for implementation only as pilot or fast-track programs or as modifications of existing degree programs, subject in each instance to Chancellor's Office approval and CSU policy and procedures.

**Approval of Recommended Amendments to Title 5 Regarding Occupational Therapy Doctorate Degree Programs
(REP 03-20-03)**

RESOLVED, by the Board of Trustees of the California State University, acting under the authority prescribed herein and pursuant to Section 89030 and 89030.1 of the Education Code, that sections 40050.5, 40519, 40519.1 and 41024 of Title 5 of the California Code of Regulations are amended as follows:

**Title 5, California Code of Regulations
Division 5 – Board of Trustees of the California State Universities
Chapter 1 – California State University
Subchapter 2 – Educational Programs
Article 1 – General Function**

§ 40050.5. Function: Instruction Leading to the Occupational Therapy Doctorate Degree.

Notwithstanding Section 40050, the Occupational Therapy Doctorate degree may be awarded independently of any other institution of higher education, provided that the program leading to the degree satisfies the criteria in section 40519.

Note: Authority cited: Sections 66043, 66043.1, 66600, 89030 and 89035, Education Code. Reference: Sections 66043, 66043.1, 66600, 89030 and 89035, Education Code.

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Title 5, California Code of Regulations
Division 5 – Board of Trustees of the California State Universities
Chapter 1 – California State University
Subchapter 2 – Educational Programs
Article 7 – Graduate Degrees

§ 40519. The Occupational Therapy Doctorate Degree.

(a) A California State University program leading to an Occupational Therapy Doctorate degree may be offered independently of any other institution of higher education. California State University Occupational Therapy Doctorate programs shall:

- (1) provide curriculum grounded in evidence-based practice;
- (2) prepare graduates to participate in the field of occupational therapy; and
- (3) be consistent with the requirements of a professional accrediting body and California state licensure laws.

(b) Each campus offering a program leading to an Occupational Therapy Doctorate degree shall establish requirements for admission to the program. The requirements for admission shall include, at a minimum, the requirements stated in Section 41024.

(c) The program leading to the Occupational Therapy Doctorate degree shall conform to the following specifications:

(1) The curriculum shall include learning experiences that balance research, theory, clinical education and practice. The core curriculum shall provide professional preparation focusing on critical thinking and decision making, including but not limited to: foundational sciences, clinical sciences and behavioral sciences; professional practice; patient/client management; and practice management.

(2) The postbaccalaureate pattern of study shall be composed of at least 110 semester units earned in graduate standing.

(3) At least 60 semester units shall be completed in residence at the campus awarding the degree. At the discretion of the appropriate campus authority, courses required for California State University Occupational Therapy Doctorate programs that are completed at another CSU campus may apply toward the residency requirement at the CSU campus that awards the degree.

(4) A qualifying assessment shall be required.

(5) The pattern of study shall include successful completion of a doctoral capstone in accordance with accreditation standards that is expected to contribute to knowledge in occupational therapy science or to an improvement in occupational therapy practice, policy or client outcomes.

(A) The doctoral capstone shall demonstrate the student's doctoral-level mastery of research skills, occupational science and/or current evidence-based practice. It shall demonstrate critical and independent thinking and a command of the research literature.

(B) The written component of the doctoral capstone shall demonstrate originality, evidencing critical and independent thinking. It shall be organized in an appropriate form

and shall identify the research problem and question(s), state the major theoretical perspectives, explain the significance of the undertaking, relate it to the relevant scholarly and professional literature, identify the methods of gathering and analyzing the data, analyze and interpret data and offer a conclusion or recommendation.

(C) An oral defense or presentation of the doctoral capstone may be required.

(D) No more than fifteen semester units shall be allowed for the doctoral capstone.

Note: Authority cited: Sections 66043, 66043.1, 66600, 89030 and 89035, Education Code. Reference: Sections 66043, 66043.1, 66600, 89030 and 89035, Education Code.

Title 5, California Code of Regulations
Division 5 – Board of Trustees of the California State Universities
Chapter 1 – California State University
Subchapter 2 – Educational Programs
Article 7 – Graduate Degrees

§ 40519.1. The Occupational Therapy Doctorate Degree: Requirements.

(a) Advancement to Candidacy. For advancement to candidacy for the Occupational Therapy Doctorate degree, the student shall have achieved classified graduate standing and met such particular requirements as the chancellor and appropriate campus authority may prescribe. The requirements shall include a qualifying doctoral assessment.

(b) To be eligible for the Occupational Therapy Doctorate degree, the candidate shall have completed a program of study that includes: a qualifying examination or other qualifying doctoral assessment, and a doctoral capstone that is consistent with the specifications in section 40519 and is approved by the appropriate campus authority. A grade point average of 3.00 (grade of B) or better shall have been earned in aggregate in courses taken to satisfy the requirements for the degree, except that a course in which no letter grade is assigned shall not be used in computing the grade point average.

(c) The student shall have completed all requirements for the degree within five years of achieving classified standing in the doctoral program. The appropriate campus authority may extend the time for completion of the requirements if:

(1) the student is in good standing,

(2) the extension is warranted by compelling individual circumstances, and

(3) the student demonstrates current knowledge of research and practice in occupational therapy, as required by the campus.

Note: Authority cited: Sections 66043, 66043.1, 66600, 89030 and 89035, Education Code. Reference: Sections 66043, 66043.1, 66600, 89030 and 89035, Education Code.

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Title 5, California Code of Regulations
Division 5 – Board of Trustees of the California State Universities
Chapter 1 – California State University
Subchapter 3 – Admission Requirements
Article 8 – Admission of Post-Baccalaureate and Graduate Students

§ 41024. Admission to Occupational Therapy Doctorate Programs.

(a) An applicant may be admitted with classified graduate standing to a program leading to an Occupational Therapy Doctorate degree established pursuant to Section 40519 if the applicant satisfies the requirements of each of the following numbered subdivisions:

(1) The applicant holds an acceptable baccalaureate degree earned at an institution accredited by a regional accrediting association or the applicant has completed equivalent academic preparation as determined by the appropriate campus authority.

(2) The applicant has an overall cumulative grade point average of at least 3.00 in upper-division baccalaureate study, postbaccalaureate and master's study combined.

(3) The student has completed all campus-required prerequisite coursework.

(4) The applicant must have been in good academic standing at the last institution.

(5) The applicant has met any additional requirements established by the chancellor in consultation with the faculty and any additional requirements prescribed by the appropriate campus authority.

Note: Authority cited: Sections 66043, 66043.1, 66600, 89030 and 89035, Education Code. Reference: Sections 66043, 66043.1, 66600, 89030 and 89035, Education Code.

COMMITTEE ON ORGANIZATION AND RULES

Approval of the California State University Board of Trustees' Meeting Dates for 2021 (ROR 03-20-01)

RESOLVED, by the Board of Trustees of the California State University, that the following schedule of meetings for 2021 is adopted:

2021 Meeting Dates

January 26-27, 2021	Tuesday – Wednesday	Chancellor's Office
March 23-24, 2021	Tuesday – Wednesday	Chancellor's Office
May 18-19, 2021	Tuesday – Wednesday	Chancellor's Office
July 13-14, 2021	Tuesday – Wednesday	Chancellor's Office
September 14-15, 2021	Tuesday – Wednesday	Chancellor's Office
November 9-10, 2021	Tuesday – Wednesday	Chancellor's Office

COMMITTEE OF THE WHOLE

Appointment of Five Members to the Committee on Committees for 2020-2021 (RCOW 03-20-01)

RESOLVED, by the Board of Trustees of The California State University, that the following trustees are appointed to constitute the Board's Committee on Committees for the 2020-2021 term:

Wenda Fong, Chair
Debra Farar, Vice Chair
Lateefah Simon
Christopher Steinhauser
Peter Taylor

COMMITTEE ON UNIVERSITY AND FACULTY PERSONNEL

CSU Salary Schedule (RUF 03-20-02)

RESOLVED, by the Board of Trustees of the California State University, that the CSU Salary Schedule as cited in Item 2 of the Committee on University and Faculty Personnel at the March 24, 2020 meeting of the Board of Trustees, is approved.

Prior to the approval of the consent agenda, Lieutenant Governor Kounalakis requested that two items be removed from the consent agenda for separate discussion and approval. The following items were removed from the consent agenda:

- From the Joint Committees on Finance and Campus Planning, Buildings and Grounds: Item 2 - San Diego State University Multi-Use Stadium at Mission Valley - Approval to Amend the Capital Outlay Program; Approval of Schematic Plans; Approval to Issue Trustees of the California State University, Systemwide Revenue Bonds and Related Debt Instruments; and
- From the Committee on Campus Planning, Buildings and Grounds: Item 2 California State University, Sacramento Proposed Off-Campus Center in Placer County.

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Chair Day requested a motion to approve both items together. There was a motion to approve item 2 from the Joint Committees on Finance and Campus Planning, Buildings and Grounds and item 2 from the Committee on Campus Planning, Buildings and Grounds. There was a second. There was no further discussion. Chair Day called for a roll call vote. The resolutions passed; there was one abstention (Lieutenant Governor Kounalakis). The following resolutions were approved:

**JOINT COMMITTEES ON FINANCE AND
CAMPUS PLANNING, BUILDINGS AND GROUNDS**

San Diego State University Multi-Use Stadium at Mission Valley – Approval to Amend the Capital Outlay Program; Approval of Schematic Plans; Approval to Issue Trustees of the California State University, Systemwide Revenue Bonds and Related Debt Instruments (RFIN/CPBG 03-20-02)

RESOLVED, by the Board of Trustees of the California State University, that:

1. The amendment of the 2019-2020 Capital Outlay Program to include the Multi-Use Stadium at Mission Valley project be approved.
2. The schematic plans for the San Diego State University Multi-Use Stadium at Mission Valley project are approved at a project cost of \$310,000,000 at CCCCI 6998.
3. The financing resolutions for the project as described in this Agenda Item 2 of the Joint Committees on Finance and Campus Planning, Buildings and Grounds at the March 22-24, 2020, meeting of the CSU Board of Trustees are approved. Orrick, Herrington & Sutcliffe LLP, as bond counsel, is preparing resolutions to be presented at this meeting that authorize interim and permanent financing for the projects described in this agenda. The proposed resolutions will be distributed at the meeting and will achieve the following:
 - a. Authorize the sale and issuance of the Trustees of the California State University Systemwide Revenue Bonds, and/or the sale and issuance of related Systemwide Revenue Bond Anticipation Notes, and/or the issuance of related debt instruments, including shorter term debt, variable rate debt, floating rate loans placed directly with banks, or fixed rate loans placed directly with banks, in an aggregate amount not-to-exceed \$355,490,000 and certain actions relating thereto.
 - b. Provide a delegation to the chancellor; the executive vice chancellor and chief financial officer; the assistant vice chancellor, Financial Services; and the assistant vice chancellor, Financing, Treasury, and Risk

Management; and their designees to take any and all necessary actions to execute documents for the sale and issuance of the revenue bonds, bond anticipation notes, or related debt instruments.

4. Any issuance of debt under this authorization shall be contingent upon the completion of a purchase and sale agreement with the City of San Diego for the purchase of the Mission Valley site.

COMMITTEE ON CAMPUS PLANNING, BUILDINGS AND GROUNDS

California State University, Sacramento Proposed Off-Campus Center in Placer County (RCPBG 03-20-01)

RESOLVED, by the Board of Trustees of the California State University, that the chancellor or designee is authorized to negotiate and execute the terms of a Memorandum of Understanding and Gift Agreement with Placer Ranch Inc. to accept on behalf of the Board of Trustees the interest in 301 acres of real property, known as Placer Ranch, donated to the California State University from Placer Ranch, Inc.

RESOLVED, by the Board of Trustees of the California State University, that the chancellor or designee is authorized to negotiate and execute the terms of a Memorandum of Understanding with the County of Placer related to the development of an off-campus center for Sacramento State.

RESOLVED, By the Board of Trustees of the California State University, that the proposed off-campus center for Sacramento State will be called “Sacramento State-Placer Center”.

RESOLVED, the Board, acting as a responsible agency, has analyzed:

- a. The PRSP Draft Environmental Impact Report for the Sunset Area Plan/Placer Ranch Specific Plan (https://www.placer.ca.gov/2702/Sunset-Area-Plan---Placer-Ranch-Specific#tab40562e19-7975-40f0-931a-105b173f34f3_1), Final Environmental Impact Report for the Sunset Area Plan/Placer Ranch Specific Plan, Errata, and appendices and references thereto (https://www.placer.ca.gov/2702/Sunset-Area-Plan---Placer-Ranch-Specific#tab40562e19-7975-40f0-931a-105b173f34f3_0) certified by the Placer County Board of Supervisors, as Lead Agency on December 10, 2019 by Placer County Resolution No. 2019-241; and

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- b. The Mitigation Monitoring and Reporting Program
<https://www.placer.ca.gov/DocumentCenter/View/40778/Chapter-4---Mitigation-Monitoring-and-Reporting-Program-PDF>); and the Findings of Fact and Statement of Overriding Considerations
<https://www.placer.ca.gov/DocumentCenter/View/41408/02A->.

The documents referenced in subparts (a) and (b), above, each are maintained in the files of the California State University and are fully incorporated herein by reference.

RESOLVED, The Board of Trustees of the California State University has duly considered and hereby adopts the Draft Environmental Impact Report and the Final Environmental Impact Report including all errata, and appendices and references thereto.

RESOLVED, the Board of Trustees of the California State University, acting as a Responsible Agency with respect to the Sunset Area Plan/Placer Ranch Specific Plans due to its discretionary authority over approving and developing the proposed Sacramento State – Placer Center, hereby adopts the Findings of Fact and Mitigation Monitoring and Reporting Program for the Final Environmental Impact Report, which identify the specific impacts of Sunset Area Plan/Placer Ranch Specific Plan and the related mitigation measures, which are hereby incorporated by reference. Insofar as the mitigation measures identified in the Mitigation Monitoring and Reporting Program pertain to the Sacramento State-Placer Center site and are applicable to The California State University, such measures shall be monitored and reported by The California State University to the extent required by CEQA and consistent with the Mitigation Monitoring and Reporting Program.

RESOLVED, the Board of Trustees of the California State University hereby adopts the Statement of Overriding Considerations for the Final Environmental Impact Report for the Sunset Area Plan/Placer Ranch Specific Plans, which the County of Placer, acting as the lead agency, adopted at the time of Final Environmental Impact Report certification on December 10, 2019, which states that project benefits outweigh the remaining significant and unavoidable aesthetic, agricultural, air quality, biological resource, cultural (archaeological and historic) resource, geology and soils (including paleontological resource), greenhouse gas emissions, hazards and hazardous materials, hydrology and water quality, land use, noise, population and housing, and transportation and circulation, and utility impacts.

RESOLVED, the Board of Trustees of the California State University, by adopting the Findings of Fact for the Sunset Area Plan/Placer Ranch Specific Plans in its capacity as a Responsible Agency, finds that the inclusion of certain mitigation measures as a part of the project approval will reduce most, but not all, of these effects to less than significant levels. Those impacts which are not reduced to less than significant levels are identified as significant and unavoidable and are overridden due to specific project benefits are identified in the Findings of Fact and Statement of Overriding Considerations.

RESOLVED, The Board of Trustees of the California State University has reached its own conclusions regarding whether to adopt the Environmental Impact Report, Mitigation Monitoring and Reporting Program, and Findings of Fact and Statement of Overriding Considerations, as required pursuant to CEQA Guidelines § 15096, subd. (a) and subd. (f).

RESOLVED, by the Board of Trustees of the California State University, that Chancellor or his designee is requested under Delegation of Authority granted by the Board of Trustees, and acting as a Responsible Agency with respect to the Sunset Area Plan/Placer Ranch Specific Plan project, to file a Notice of Determination for the Final Environmental Impact Report within five (5) working days, in accordance with Public Resources Code § 21152(a) and CEQA Guidelines § 15096(i).